

# Fundamentele Informatica 3

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- 8. Recursively Enumerable Languages
- 8.4. Context-Sensitive Languages and the Chomsky Hierarchy
- 8.5. Not Every Language Is Recursively Enumerable

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## Definition 8.10. Unrestricted grammars

An unrestricted grammar is a 4-tuple  $G = (V, \Sigma, S, P)$ , where  $V$  and  $\Sigma$  are disjoint sets of variables and terminals, respectively,  $S$  is an element of  $V$  called the start symbol, and  $P$  is a set of productions of the form

$$\alpha \rightarrow \beta$$

where  $\alpha, \beta \in (V \cup \Sigma)^*$  and  $\alpha$  contains at least one variable.

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## Theorem 8.14.

For every Turing machine  $T$  with input alphabet  $\Sigma$ , there is an unrestricted grammar  $G$  generating the language  $L(T) \subseteq \Sigma^*$ .

### Proof.

1. Generate (every possible) input string for  $T$  (two copies), with additional  $(\Delta\Delta)$ 's and state.
2. Simulate computation of  $T$  for this input string as derivation in grammar (on second copy).
3. If  $T$  reaches accept state, reconstruct original input string.

Ad 2. Move  $\delta(p, a) = (q, b, R)$  of  $T$

yields production  $p(\sigma_1 a) \rightarrow (\sigma_1 b)q$

Ad 3. Propagate  $h_a$  all over the string

$$h_a(\sigma_1 \sigma_2) \rightarrow \sigma_1, \text{ for } \sigma_1 \in \Sigma$$

$$h_a(\Delta \sigma_2) \rightarrow \Delta$$

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## Definition 8.16. Context-Sensitive Grammars

A *context-sensitive grammar* (CSG) is an unrestricted grammar in which no production is length-decreasing.

In other words, every production is of the form  $\alpha \rightarrow \beta$ , where  $|\beta| \geq |\alpha|$ .

A language is a context-sensitive language (CSL) if it can be generated by a context-sensitive grammar.

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## Definition 8.1. Accepting a Language and Deciding a Language

A Turing machine  $T$  with input alphabet  $\Sigma$  accepts a language  $L \subseteq \Sigma^*$  if  $L(T) = L$ .

$T$  decides  $L$ ,

if  $T$  computes the characteristic function  $\chi_L : \Sigma^* \rightarrow \{0, 1\}$

A language  $L$  is *recursively enumerable*,

if there is a TM that accepts  $L$ ,

and  $L$  is *recursive*,

if there is a TM that decides  $L$ .

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## Theorem 8.13.

For every unrestricted grammar  $G$ , there is a Turing machine  $T$  with  $L(T) = L(G)$ .

### Proof.

1. Move past input
2. Simulate derivation in  $G$  on the tape of a Turing machine
3. Equal

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## 8.4. Context-Sensitive Languages and the Chomsky Hierarchy

reg. languages	reg. grammar	FA	reg. expression
cf. languages	cf. grammar	PDA	
cs. languages	cs. grammar	LBA	
re. languages	unrestr. grammar	TM	

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## Example 8.12. A Grammar Generating $\{a^n b^n c^n \mid n \geq 1\}$

$$S \rightarrow SABC \mid LABC$$

$$BA \rightarrow AB \quad CB \rightarrow BC \quad CA \rightarrow AC$$

$$LA \rightarrow a \quad aA \rightarrow aa \quad aB \rightarrow ab \quad bB \rightarrow bb \quad bC \rightarrow bc \quad cC \rightarrow cc$$

Not context-sensitive.

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**Example 8.17.** A CSG Generating  $L = \{a^n b^n c^n \mid n \geq 1\}$

$$S \rightarrow SABC \mid ABC$$

$$BA \rightarrow AB \quad CB \rightarrow BC \quad CA \rightarrow AC$$

$$A \rightarrow a \quad aA \rightarrow aa \quad aB \rightarrow ab \quad bB \rightarrow bb \quad bC \rightarrow bc \quad cC \rightarrow cc$$

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**Definition 8.10.** Linear-Bounded Automata  
A *linear-bounded automaton* (LBA) is a 5-tuple  $M = (Q, \Sigma, \Gamma, q_0, \delta)$  that is identical to a nondeterministic Turing machine, with the following exception.

There are two extra tape symbols [ and ], assumed not to be elements of the tape alphabet  $\Gamma$ .  
The initial configuration of  $M$  corresponding to input  $x$  is  $q_0[x]$ , with the symbol [ in the leftmost square and the symbol ] in the first square to the right of  $x$ .  
During its computation,  $M$  is not permitted to replace either of these brackets or to move its tape head to the left of the [ or to the right of the ].

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**Theorem 8.19.**

If  $L \subseteq \Sigma^*$  is a context-sensitive language, then there is a linear-bounded automaton that accepts  $L$ .

**Proof.** Much like the proof of Theorem 8.13. . .

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**Theorem 8.13.**

For every unrestricted grammar  $G$ , there is a Turing machine  $T$  with  $L(T) = L(G)$ .

**Proof.**

1. Move past input
2. Simulate derivation in  $G$  on the tape of a Turing machine
3. Equal

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**Theorem 8.19.**

If  $L \subseteq \Sigma^*$  is a context-sensitive language, then there is a linear-bounded automaton that accepts  $L$ .

**Proof.** Much like the proof of Theorem 8.13, except

- two tape tracks instead of move past input
- reject also if we (want to) write on ]

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**Theorem 8.14.**

For every Turing machine  $T$  with input alphabet  $\Sigma$ , there is an unrestricted grammar  $G$  generating the language  $L(T) \subseteq \Sigma^*$ .

**Proof.**

1. Generate (every possible) input string for  $T$  (two copies), with additional  $(\Delta\Delta)$ 's and state.
2. Simulate computation of  $T$  for this input string as derivation in grammar (on second copy).
3. If  $T$  reaches accept state, reconstruct original input string.

Ad 2. Move  $\delta(p, a) = (q, b, R)$  of  $T$

yields production  $p(\sigma_1 a) \rightarrow (\sigma_1 b)q$

Ad 3. Propagate  $h_a$  all over the string

$$h_a(\sigma_1 \sigma_2) \rightarrow \sigma_1, \text{ for } \sigma_1 \in \Sigma$$

$$h_a(\Delta\sigma_2) \rightarrow \Delta$$

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**Theorem 8.20.** If  $L \subseteq \Sigma^*$  is accepted by a linear-bounded automaton  $M = (Q, \Sigma, \Gamma, q_0, \delta)$ , then there is a context-sensitive grammar  $G$  generating  $L - \{\Lambda\}$ .

**Proof.** Much like proof of Theorem 8.14. . .

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**Theorem 8.20.** If  $L \subseteq \Sigma^*$  is accepted by a linear-bounded automaton  $M = (Q, \Sigma, \Gamma, q_0, \delta)$ , then there is a context-sensitive grammar  $G$  generating  $L - \{\Lambda\}$ .

**Proof.** Much like proof of Theorem 8.14, except

- consider  $h_a(\sigma_1 \sigma_2)$  as a single symbol
- no additional  $(\Delta\Delta)$ 's needed
- incorporate [ and ] in leftmost/rightmost symbols of string

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Chomsky hierarchy

3	reg. languages	reg. grammar	FA	reg. expression
2	cf. languages	cf. grammar	PDA	
1	cs. languages	cs. grammar	LBA	
0	re. languages	unrestr. grammar	TM	

What about recursive languages?

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**Theorem 8.22.** Every context-sensitive language is recursive.

**Proof...**

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Chomsky hierarchy

3	reg. languages	reg. grammar	FA	reg. expression
2	cf. languages	cf. grammar	PDA	
1	cs. languages	cs. grammar	LBA	
0	re. languages	unrestr. grammar	TM	

$$S_3 \subseteq S_2 \subseteq S_1 \subseteq \mathcal{R} \subseteq S_0$$

(modulo  $\Lambda$ )

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8.5. Not Every Language is Recursively Enumerable

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From Fundamentele Informatica I:

**Definition 8.23.** A Set  $A$  of the Same Size as  $B$  or Larger Than  $B$

Two sets  $A$  and  $B$ , either finite or infinite, are the same size if there is a bijection  $f : A \rightarrow B$ .

$A$  is larger than  $B$  if some subset of  $A$  is the same size as  $B$  but  $A$  itself is not.

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From Fundamentele Informatica I:

**Definition 8.24.** Countably Infinite and Countable Sets

A set  $A$  is *countably infinite* (the same size as  $\mathbb{N}$ ) if there is a bijection  $f : \mathbb{N} \rightarrow A$ , or a list  $a_0, a_1, \dots$  of elements of  $A$  such that every element of  $A$  appears exactly once in the list.

$A$  is *countable* if  $A$  is either finite or countably infinite.

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**Theorem 8.25.**

Every infinite set has a countably infinite subset, and every subset of a countable set is countable.

**Proof...**

(proof of second claim is Exercise 8.35)

**Example 8.26.** The Set  $\mathbb{N} \times \mathbb{N}$  is Countable

$$\mathbb{N} \times \mathbb{N} = \{(i, j) \mid i, j \in \mathbb{N}\}$$

although  $\mathbb{N} \times \mathbb{N}$  looks much bigger than  $\mathbb{N}$

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**Example 8.28.** A Countable Union of Countable Sets Is Countable

$$S = \bigcup_{i=0}^{\infty} S_i$$

Same construction as in Example 8.26, but...

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**Example 8.29.** Languages Are Countable Sets

$$L \subseteq \Sigma^* = \bigcup_{i=0}^{\infty} \Sigma^i$$

Two ways to list  $\Sigma^*$

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**Example 8.30.** The Set of Turing Machines Is Countable

Let  $\mathcal{T}$  be set of Turing machines

There is injective function  $e : \mathcal{T} \rightarrow \{0, 1\}^*$   
( $e$  is encoding function)

Hence, set of recursively enumerable languages is countable

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**Example 8.31.** The Set  $2^{\mathbb{N}}$  Is Uncountable

Hence, because  $\mathbb{N}$  and  $\{0, 1\}^*$  are the same size, there are uncountably many languages over  $\{0, 1\}$

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**Example 8.31.** The Set  $2^{\mathbb{N}}$  Is Uncountable (continued)

$$\begin{aligned}
 A &= \{i \in \mathbb{N} \mid i \notin A_i\} \\
 A_0 &= \{0, 2, 5, 9\} \\
 A_1 &= \{1, 2, 3, 8, 12, \dots\} \\
 A_2 &= \{0, 3, 6\} \\
 A_3 &= \emptyset \\
 A_4 &= \{4\} \\
 A_5 &= \{2, 3, 5, 7, 11, \dots\} \\
 A_6 &= \{8, 16, 24, \dots\} \\
 A_7 &= \mathbb{N} \\
 A_8 &= \{1, 3, 5, 7, 9, \dots\} \\
 A_9 &= \{n \in \mathbb{N} \mid n > 12\} \\
 &\dots
 \end{aligned}$$

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**Example 8.31.** The Set  $2^{\mathbb{N}}$  Is Uncountable (continued)

No list of subsets of  $\mathbb{N}$  is complete,  
i.e., every list  $A_0, A_1, A_2, \dots$  of subsets of  $\mathbb{N}$  leaves out at least one.

Take

$$A = \{i \in \mathbb{N} \mid i \notin A_i\}$$

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**Theorem 8.32.** Not all languages are recursively enumerable. In fact, the set of languages over  $\{0, 1\}$  that are not recursively enumerable is uncountable.

**Proof...**

(including Exercise 8.38)

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