

Compilerconstructie

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<http://www.liacs.leidenuniv.nl/~vlietrvan1/coco/>

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Symbol Table / Lexical Analysis

2.7 Symbol Table

- Symbol table holds information about *source-program constructs* (e.g., identifiers)
 - string
 - additional information (type, position in storage, . . .)
- Symbol table is globally accessible (to all phases of compiler)
- Information is collected incrementally by analysis phases, and used by synthesis phases
- (Possible) implementation by Hashtable, with methods
 - *put (String, Symbol)*
 - *get (String)*

Symbol Table Per Scope

The same identifier may be declared more than once

```
1) { int x; int y;  
2)   { int w; bool y; int z;  
3)     ... w ...; ... x ...; ... y ...; ... z ...;  
4)   }  
5)   ... w ...; ... x ...; ... y ...;  
6) }
```

Symbol Table Per Scope

The same identifier may be declared more than once

```
for (int i=1;i<=3;i++)
{ for (int i=1;i<=5;i++)
    cout << "Hello inner world" << endl;
    cout << "  Hello outer world" << endl;
}
```

Symbol Table Per Scope

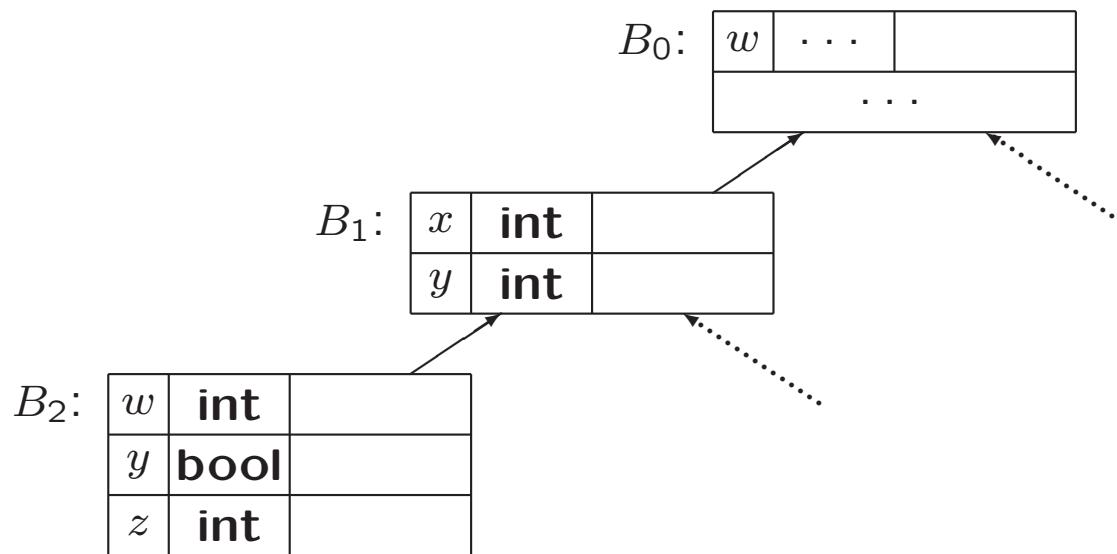
The same identifier may be declared more than once

```
Hello inner world
    Hello outer world
Hello inner world
    Hello outer world
Hello inner world
    Hello outer world
```

Symbol Table Per Scope

The same identifier may be declared more than once

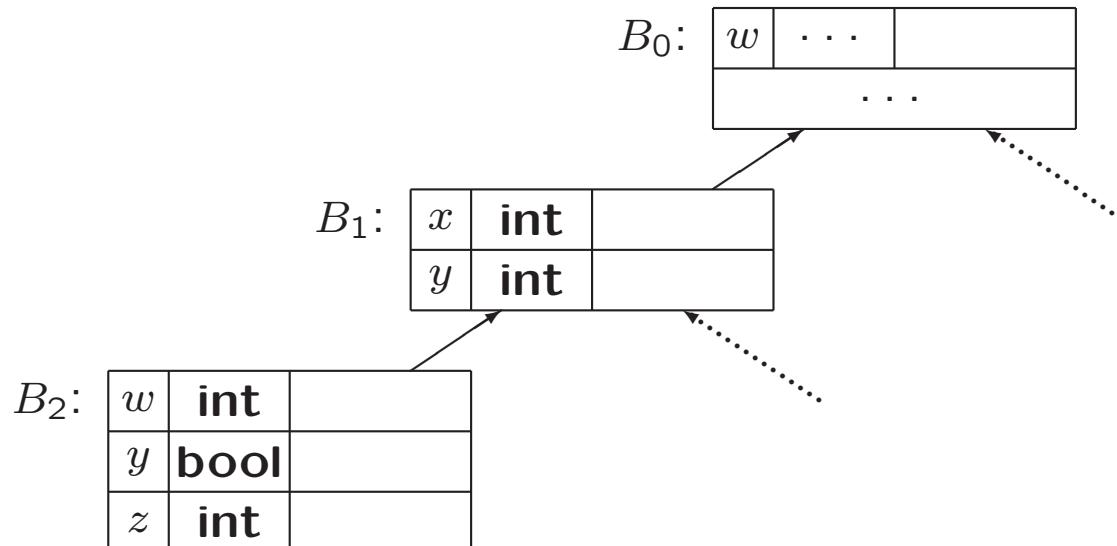
- 1) { int x1; int y1;
- 2) { int w2; bool y2; int z2;
- 3) ... w2 ...; ... x1 ...; ... y2 ...; ... z2 ...;
- 4) }
- 5) ... w0 ...; ... x1 ...; ... y1 ...;
- 6) }



Symbol Table Per Scope

The same identifier may be declared more than once

- 1) { int x1; int y1;
- 2) { int w2; bool y2; int z2;
- 3) ... w2 ...; ... x1 ...; ... y2 ...; ... z2 ...;
- 4) }
- 5) ... w0 ...; ... x1 ...; ... y1 ...;
- 6) }



Symbol tables per block can be allocated and deallocated in stack-like fashion, but...

Implementation Symbol Table

(in Java)

```
public class Env
{ private Hashtable table;
  protected Env prev;

  public Env (Env p)
  { table = new Hashtable();
    prev = p;
  }

  public void put (String s, Symbol sym)
  { table.put (s, sym);
  }

  public Symbol get (String s);
  { for (Env e=this; e!=null; e=e.prev)
    { Symbol found = (Symbol)(e.table.get(s));
      if (found != null)
        return found;
    }
    return null;
  }
}
```

Translation Scheme (Example)

(from lecture 1)

```
expr → expr1 + term {print('+')}
```

```
expr → expr1 - term {print('-')}
```

```
expr → term
```

```
term → 0 {print('0')}
```

```
term → 1 {print('1')}
```

```
...     ...
```

```
term → 9 {print('9')}
```

Example: parse tree for $9 - 5 + 2 \dots$

Implementation requires postorder traversal (**LRW**)

CFG for Program with Blocks

```
program → block
block   → '{'decls stmts '}'
decls   → decls decl
          | ε
decl    → type id;
stmts   → stmts stmt
          | ε
stmt    → block
          | factor;
```

The Use of Symbol Tables

<i>program</i>	\rightarrow	<i>block</i>	{ <i>top</i> = null ; }
<i>block</i>	\rightarrow	'{' <i>decls stmts '}'</i>	{ <i>saved</i> = <i>top</i> ; <i>top</i> = new Env (<i>top</i>); } { <i>top</i> = <i>saved</i> ; }
<i>decls</i>	\rightarrow	<i>decls decl</i> ϵ	
<i>decl</i>	\rightarrow	type id ;	{ <i>s</i> = new Symbol ; <i>s.type</i> = type.lexeme ; <i>top.put(id.lexeme, s)</i> ; }

In book (edition 2) extended for real translation

2.6 Lexical Analyser

Reads and converts the input into a stream of tokens to be analysed by the parser

Lexeme: Sequence of input characters comprising single token

Typical tasks of the lexical analyser

- Remove white space and comments
- Encode constants as tokens:

31 + 28 + 59 → ⟨num, 31⟩ ⟨+⟩ ⟨num, 28⟩ ⟨+⟩ ⟨num, 59⟩

- Recognize keywords
- Recognize identifiers:

count = count + increment; →
⟨id, "count"⟩ ⟨=⟩ ⟨id, "count"⟩ ⟨+⟩ ⟨id, "increment"⟩ ⟨;⟩

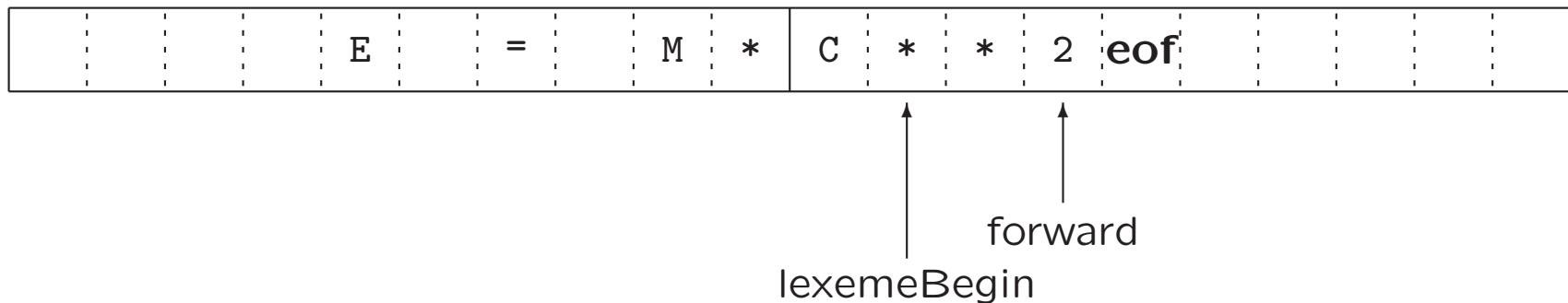
Lexical analyser may need to read ahead (with input buffer)

3.2 Input Buffering

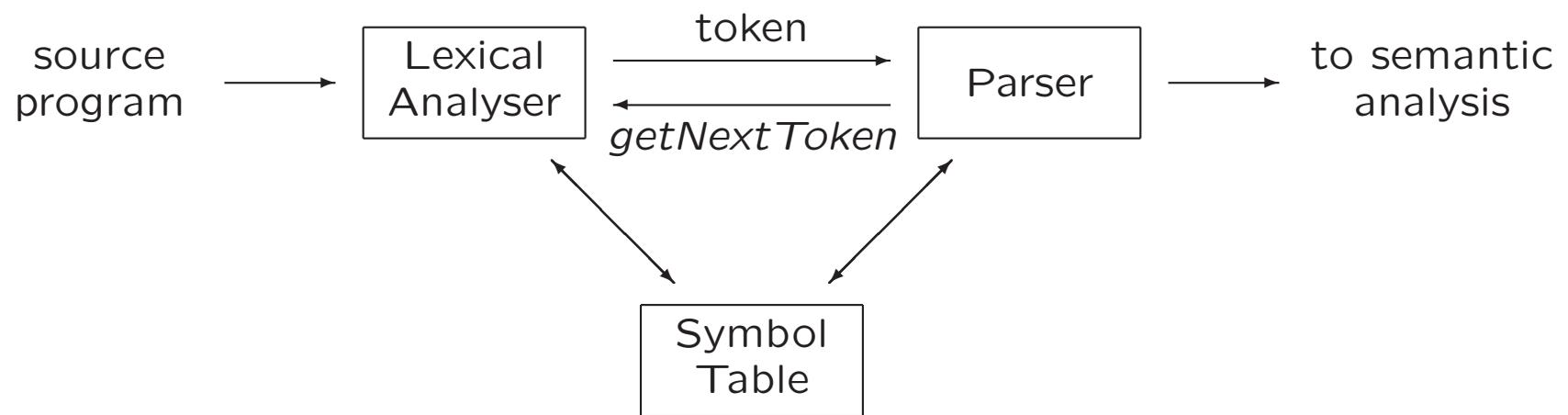
Use two buffers of size N for input

- Saves time
- Allows for looking ahead one or more characters, e.g., for
 - identifiers: ifoundit
 - relational operators: \leq

Take longest prefix of input that matches any pattern



3.1 Lexical Analyser - Parser Interaction



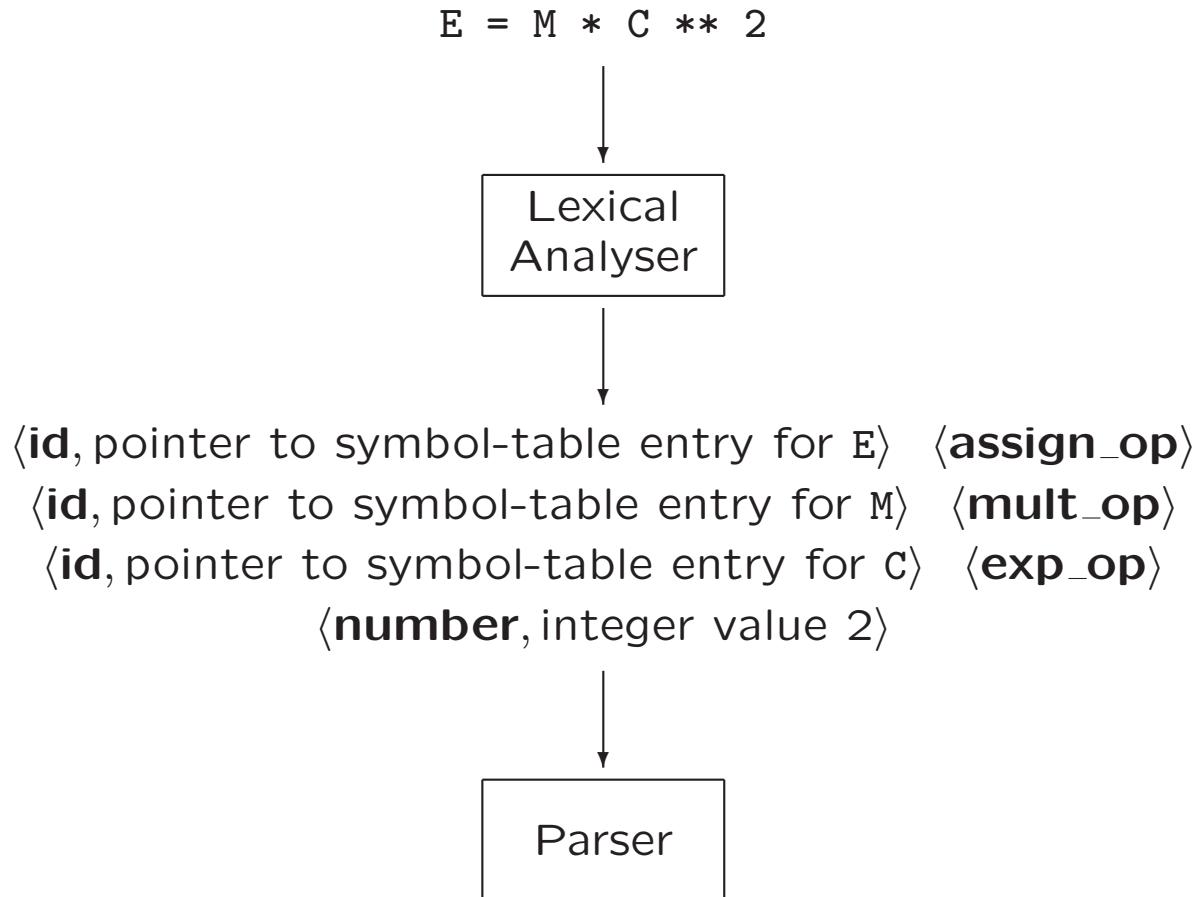
3.1.2 Tokens, Patterns and Lexemes

- **Token:** pair of token name and optional attribute value, e.g.,
`<id, 1>, <num, 31>, <assign_op>`
- **Lexeme:** specific sequence of characters that makes up token, e.g., `count, 31, =`
- **Pattern:** description of form that lexemes of a token may take

Examples of Tokens

Token	Informal Description	Sample Lexemes
if	characters i, f	if
else	characters e, l, s, e	else
comparison	< or > or <= or >= or == or !=	<=, !=
id	letter followed by letters and digits	pi, score, D2
literal	anything but ", surrounded by "'s	"core dumped"

3.1.3 Attributes for Tokens



3.1.4 Lexical Errors

- Hard to detect by lexical analyser alone, e.g.,
`fi (a == f(x)) ...`
- What if none of the patterns matches?
 - ‘Panic mode’ recovery: delete characters until you find well-formed token
 - * Delete one character from remaining input
 - * Insert missing character into remaining input
 - * Replace character by another character
 - * Transpose two adjacent characters

Implementing a Lexical Analyser

- By hand,
using transition diagram to specify lexemes
- With a lexical-analyser generator (Lex),
using regular expressions to specify lexemes:

Regular expressions →
(non-deterministic) finite automaton →
deterministic finite automaton

Input to ‘driver’

4.3.1 Why Regular Expressions For Lexical Syntax?

Instead of adding rules to grammar

- Convenient way to modularize front end
≈ simplifies design
- Regular expressions powerful enough for lexical syntax
- Regular expressions easier to understand than grammars
- More efficient lexical analysers can be constructed automatically from regular expressions than from arbitrary grammars

3.1.1 Lexical Analyser

Reasons why it is a separate phase of a compiler

- Simplifies the design of the compiler
- Provides efficient implementation
 - Systematic techniques to implement lexical analysers (by hand or automatically)
- Improves portability
 - Non-standard symbols and alternate character encodings can be more easily translated (only relevant for lexical analyser)

3.3 Specification of Tokens

Regular expressions to specify patterns for tokens

Terminology (from FI1)

- An **alphabet** Σ is a finite set of symbols (characters), e.g., $\{0, 1\}$, ASCII, Unicode
- A **string** s is a finite sequence of symbols from Σ
 - $|s|$ denotes the length of string s , e.g., $|\text{banana}| = 6$
 - ϵ denotes an empty string: $|\epsilon| = 0$
- A **language** is a set of strings over some fixed alphabet Σ

String operations

- Concatenation of strings x and y is denoted as xy
e.g., if $x = \text{dog}$ and $y = \text{house}$ then $xy = \text{doghouse}$
 $s\epsilon = \epsilon s = s$
- Exponentiation
 - Define

$$\begin{aligned}s^0 &= \epsilon \\s^i &= s^{i-1}s \quad \text{if } i > 0\end{aligned}$$

- Then

$$\begin{aligned}s^1 &= s \\s^2 &= ss \\s^3 &= sss\end{aligned}$$

Language Operations

- Union

$$L \cup D = \{s \mid s \in L \text{ or } s \in D\}$$

- Concatenation

$$LD = \{xy \mid x \in L \text{ and } y \in D\}$$

- Exponentiation

$$L^0 = \{\epsilon\}; \quad L^i = L^{i-1}L \quad \text{if } i > 0$$

- Kleene closure

$$L^* = \bigcup_{i=0, \dots, \infty} L^i$$

(zero or more concatenation)

- Positive closure

$$L^+ = \bigcup_{i=1, \dots, \infty} L^i$$

(one or more concatenation)

Language Operations (Example)

Let alphabets $L = \{A, B, \dots, Z, a, b, \dots, z\}$ and $D = \{0, 1, \dots, 9\}$

- $L \cup D$ is set of letters and digits
- LD is set of strings consisting of a letter followed by a digit
- L^4 is set of all four-letter strings
- L^* is set of all finite strings of letters, including ϵ
- $L(L \cup D)^*$ is set of all strings of letters and digits beginning with a letter ('identifiers')
- D^+ is set of all strings of one or more digits ('nonnegative integers')

Regular Expressions (Example)

In C, an identifier is a letter followed by zero or more letters or digits (underscore is considered letter):

$$\text{letter_} (\text{letter_} \mid \text{digit})^*$$

Regular Expressions (Definition)

- Each regular expression r denotes a language $L(r)$
- Defining rules:
 - ϵ is regular expression, and $L(\epsilon) = \{\epsilon\}$
 - if $a \in \Sigma$, then a is regular expression, and $L(a) = \{a\}$.
 - if r and s are regular expressions, then
 - * $(r) | (s)$ is regular expression denoting $L(r) \cup L(s)$
 - * $(r)(s)$ is regular expression denoting $L(r)L(s)$
 - * $(r)^*$ is regular expression denoting $(L(r))^*$
 - * (r) is regular expression denoting $L(r)$

Regular Expressions (Example)

- Remove unnecessary parentheses by assuming precedence relation between $*$, concatenation, and $|$, e.g.,

$$(a) | ((b)^*(c)) \quad \text{is equivalent to} \quad a | b^*c$$

- Let $\Sigma = \{a, b\}$. Then the regular expression:

- $a | b$ denotes the set $\{a, b\}$
- $(a | b)(a | b)$ denotes the set $\{aa, ab, ba, bb\}$
- a^* denotes the set $\{\epsilon, a, aa, aaa, \dots\}$
- $(a | b)^*$ denotes the sets of all strings over $\{a, b\}$
- $a | a^*b$ denotes the string a and all strings consisting of zero or more a 's followed by one b

- If r and s denote the same language L , then $r = s$, e.g., $(a | b) = (b | a)$

Regular Expressions (Example)

In C, an identifier is a letter followed by zero or more letters or digits (underscore is considered letter):

$$\text{letter_} (\text{letter_} \mid \text{digit})^*$$

Regular Definitions (Example)

- Identifiers in C

$$letter_ \rightarrow A | B | \dots | Z | a | b | \dots | z | -$$
$$digit \rightarrow 0 | 1 | \dots | 9$$
$$id \rightarrow letter_(letter_ | digit)^*$$

Regular Definitions

- A **regular definition** is a sequence of definitions of the form:

$$d_1 \rightarrow r_1$$

$$d_2 \rightarrow r_2$$

...

$$d_n \rightarrow r_n$$

where r_i is a regular expression over $\Sigma \cup \{d_1, d_2, \dots, d_{i-1}\}$

- Obtain regular expression over Σ by ...

Regular Definitions

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where r_i is a regular expression over $\Sigma \cup \{d_1, d_2, \dots, d_{i-1}\}$

- Obtain regular expression over Σ by substituting d_1, \dots, d_{i-1} in r_i by r_1, \dots, r_{i-1} ($i = 2, \dots, n$)

Regular Definitions (Example)

- Recursion is not allowed

$digit \rightarrow digit(digit)^*$	not OK
$digits \rightarrow digit(digit)^*$	OK

Notational Shorthands

- We often use the following shorthands:
 - one-or-more instance of: $r^+ = rr^*$
 - zero-or-one instance of: $r? = r \mid \epsilon$
 - character classes:
 - $[abd] = a \mid b \mid d$
 - $[a - z] = a \mid b \mid \dots \mid z$
- Example, **unsigned** numbers:
5280, 0.01234, 6.336E4, 1.89E-4

digit → [0 – 9]

digits → *digit*⁺

number → ...

Notational Shorthands

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 - one-or-more instance of: $r^+ = rr^*$
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$$[\mathbf{abd}] = \mathbf{a} \mid \mathbf{b} \mid \mathbf{d}$$
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- Example, **unsigned** numbers:
5280, 0.01234, 6.336E4, 1.89E-4
 - $digit \rightarrow [0 - 9]$
 - $digits \rightarrow digit^+$
 - $number \rightarrow digits(.digits)?(E[+-]?digits)?$

Notational Shorthands

- We often use the following shorthands:
 - one-or-more instance of: $r^+ = rr^*$
 - zero-or-one instance of: $r? = r \mid \epsilon$
 - character classes:
$$[\mathbf{abd}] = \mathbf{a} \mid \mathbf{b} \mid \mathbf{d}$$
$$[a - z] = a \mid b \mid \dots \mid z$$
- Example, **unsigned** numbers:
5280, 0.01234, 6.336E4, 1.89E-4
 - $digit \rightarrow [0 - 9]$
 - $digits \rightarrow digit^+$
 - $optionalFraction \rightarrow .digits \mid \epsilon$
 - $optionalExponent \rightarrow (E(+ \mid - \mid \epsilon) digits) \mid \epsilon$
 - $number \rightarrow digits\ optionalFraction\ optionalExponent$

3.4 Recognition of Tokens

Grammar for branching statements:

$$\begin{array}{l} \textit{stmt} \rightarrow \mathbf{if} \textit{expr} \mathbf{then} \textit{stmt} \\ | \quad \mathbf{if} \textit{expr} \mathbf{then} \textit{stmt} \mathbf{else} \textit{stmt} \\ | \quad \epsilon \\ \textit{expr} \rightarrow \textit{term} \mathbf{relop} \textit{term} \\ | \quad \textit{term} \\ \textit{term} \rightarrow \mathbf{id} \\ | \quad \mathbf{number} \end{array}$$

Terminals are **if**, **then**, **else**, **relop**, **id** and **number**.
These are the names of the tokens.

Regular Definitions for Tokens

Regular definitions describing patterns for these tokens

digit → [0 – 9]
digits → *digit*⁺
number → *digits*(.*digits*)?(*E*[*+-*]?*digits*)?
letter → [A – Za – z]
id → *letter*(*letter* | *digit*)^{*}
if → if
then → then
else → else
relop → < | > | <= | >= | = | <>

Regular definition for white space

ws → (**blank** | **tab** | **newline**)⁺

Lexemes and Their Tokens

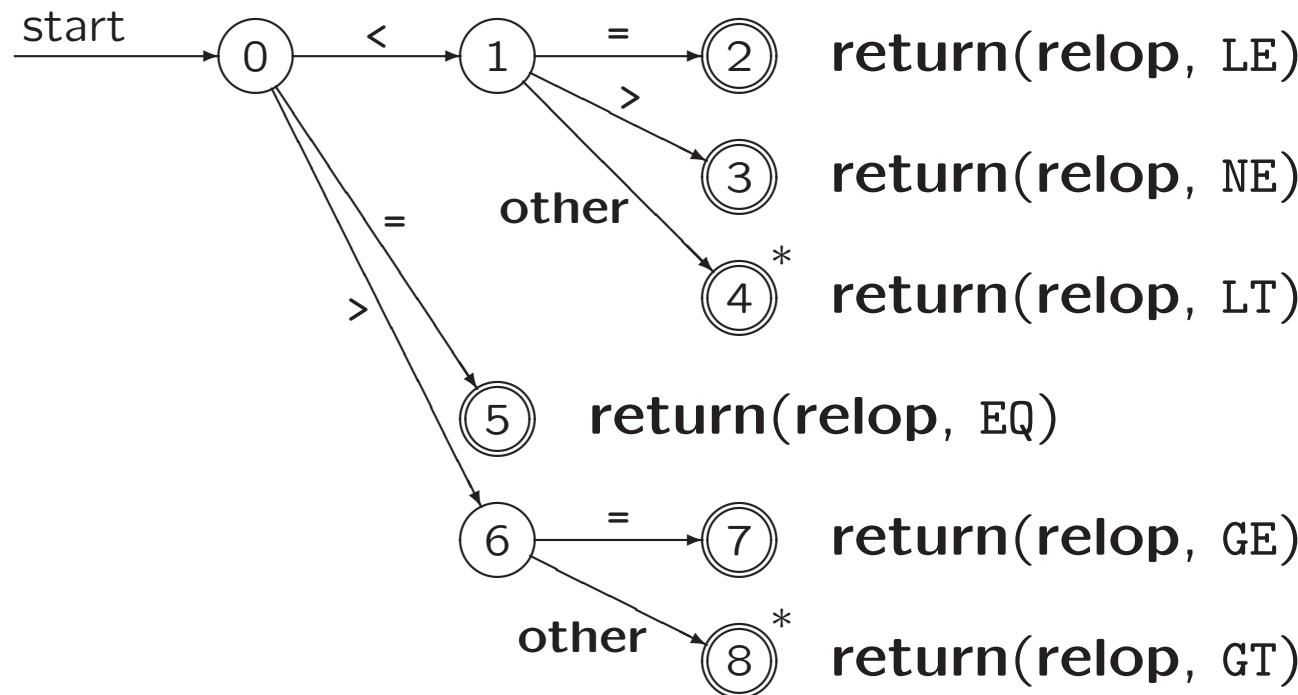
Goal:

Lexemes	Token name	Attribute value
Any ws	—	—
if	if	—
then	then	—
else	else	—
Any id	id	pointer to table entry
Any number	number	pointer to table entry
<	relop	LT
<=	relop	LE
=	relop	EQ
<>	relop	NE
>	relop	GT
>=	relop	GE

Transition Diagrams

('Almost finite automata')

rellop → < | > | <= | >= | = | <>



Retract input one position, if necessary (*)

Transition Diagrams

Identifiers and keywords

$$id \rightarrow letter(letter \mid digit)^*$$



How to distinguish between identifiers and (reserved) keywords?

Transition Diagrams



How to distinguish between identifiers and (reserved) keywords?
Two possibilities:

- Install reserved words in symbol table initially
Used in above diagram
- Separate transition diagram for each keyword
Try these first, before the diagram for identifiers

From Diagram to Lexical Analyser

```
TOKEN getRelop ()
{ TOKEN retToken = new (RELOP);
  while (1)
  { /* repeat character processing until a return
     or failure occurs */
    switch(state)
    { case 0: c = nextChar();
        if ( c == '<' ) state = 1;
        else if (c == '=') state = 5;
        else if (c == '>') state = 6;
        else fail(); /* lexeme is not a relop */
        break;
      case 1: ...
      ...
      case 8: retract();
        retToken.attribute = GT;
        return(retToken);
    }
  }
}
```

Entire Lexical Analyser

Based on transition diagrams for different tokens

How?

Entire Lexical Analyser

Based on transition diagrams for different tokens

Three possibilities:

- Try transition diagrams sequentially (in right order)
- Run transition diagrams in parallel
 - Make sure to take longest prefix of input that matches any pattern
- Combine all transition diagrams into one

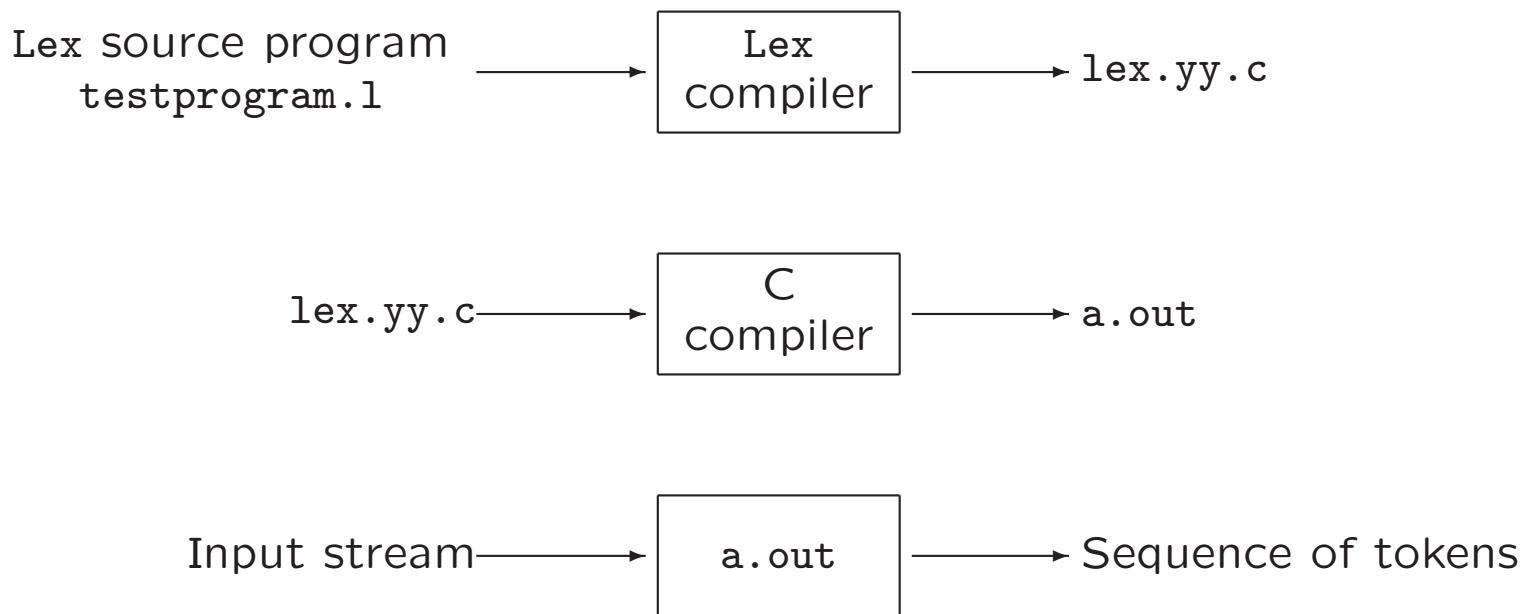
Implementing a Lexical Analyser

- By hand,
using transition diagram to specify lexemes

Example of ‘ReadCommand’...

3.5 The Lexical-Analyser Generator Lex

Systematically translates regular definitions into C source code for efficient scanning



Structure of Lex Programs

- A Lex program has the following form

declarations

%%

translation rules

%%

user defined auxiliary functions

- Translation rules are of the form

Pattern { Action }

Patterns are Lex regular expressions

Operation of Lexical Analyser

The lexical analyser generated by **Lex**

- Activated by parser
- Reads input character by character
- Executes action A_i corresponding to pattern P_i
- Typically, A_i returns to the parser
- If not (e.g., in case of white space), proceed to find additional lexemes
- Lexical analyser returns single value: the token name
- Attribute value passed through global variable `yylval`

Regular Definitions for Tokens

Regular definitions describing patterns for these tokens

digit → [0 – 9]
digits → *digit*⁺
number → *digits*(.*digits*)?(*E*[*+-*]?*digits*)?
letter → [A – Za – z]
id → *letter*(*letter* | *digit*)^{*}
if → if
then → then
else → else
relop → < | > | <= | >= | = | <>

Regular definition for white space

ws → (**blank** | **tab** | **newline**)⁺

Lexemes and Their Tokens

Goal:

Lexemes	Token name	Attribute value
Any ws	—	—
if	if	—
then	then	—
else	else	—
Any id	id	pointer to table entry
Any number	number	pointer to table entry
<	relop	LT
<=	relop	LE
=	relop	EQ
<>	relop	NE
>	relop	GT
>=	relop	GE

The Lex Program (testprogram.l)

```
/* declarations section */
%{
    /* definitions of constants */
#define LT 256
    /* etcetera for LE, EQ, NE, GT, GE,
       IF, THEN, ELSE, ID, NUMBER, RELOP */
%}

/* regular definitions}
delim      [ \t\n]
ws         {delim}+
letter     [A-Za-z]
digit     [0-9]
id         {letter}({letter}|{digit})*
number    {digit}+(\.{digit}+)?(E[+-]?)?{digit}+)?
```

The Lex Program (testprogram.l)

```
%%
/* translation rules section */

{ws}      {/* no action and no return */}
if        {return(IF);}
then      {return(THEN);}
else      {return(ELSE);}
{id}       {yyval = (int) installID(); return(ID);}
{number}   {yyval = (int) installNum(); return(NUMBER);}
"<"       {yyval = LT; return(RELOP);}
"<="      {yyval = LE; return(RELOP);}
"="       {yyval = EQ; return(RELOP);}
"<>"     {yyval = NE; return(RELOP);}
">"      {yyval = GT; return(RELOP);}
">>="     {yyval = GE; return(RELOP);}

%%
/* auxiliary functions section */
int installID() {...}
int installNum() {...}
```

Regular expressions in Lex

Operator characters: \ " . ^ \$ [] * + ? { } | /

Expression	Matches	Example
c	non-operator character c	a
$\backslash c$	operator character c literally	*
$"s"$	string s literally	"**"
.	any character but newline	a.*b
$^$	beginning of a line	^abc
\$	end of a line	abc\$
$[s]$	any one of the characters in string s	[abc]
$[^s]$	any one character not in string s	[^abc]
$[c_1 - c_2]$	any one character between c_1 and c_2	[a-z]
r^*	zero or more strings matching r	a*
r^+	one or more strings matching r	a+
$r^?$	zero or one string matching r	a?
$r\{m, n\}$	between m and n occurrences of r	a{1,5}
$r_1 r_2$	an r_1 followed by an r_2	ab
$r_1 r_2$	an r_1 or an r_2	a b
(r)	same as r	(a b)
r_1/r_2	r_1 when followed by r_2	abc/123
$\{d\}$	regular expression defined by d	{id}

Lex Details

- `installID()`
function to install the lexeme into the symbol table
returns pointer to symbol table entry
 - `yytext` – pointer to the first character of the lexeme
 - `yyleng` – length of the lexeme
- `installNum()`
similar to `installID`, but puts numerical constants into a separate table

Lex Details

- Example: input "\t\tif "
 - Longest initial prefix: "\t\t" = ws
No action, so yytext points to 'i' and continue
 - Next lexeme is "if"
Token **if** is returned, yytext points to 'i' and yyleng=2
- Ambiguity and longest pattern matching:
 - Patterns if and {id} match lexeme "if"
 - If input is "<=", then lexeme is "<="
- ```
lex testprogram.l
gcc lex.yy.c -l
./a.out < input
```

## **3.6 – 3.9 From Regular Expressions to Lexical Analysers**

Roughly speaking, see FI2

Not for exam

# Compilerconstructie

college 2  
Symbol Table / Lexical Analysis

Chapters for reading: 2.6, 2.7, 3.1–3.5, 4.3.1

Next week: also werkcollege