Compilerconstructie

najaar 2013

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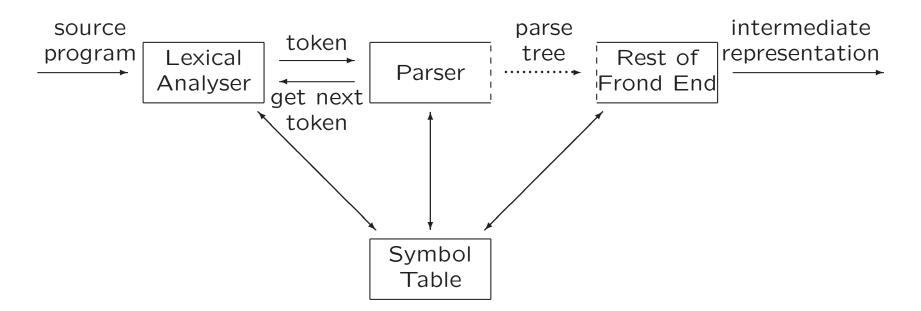
college 3, dinsdag 17 september 2013

Syntax Analysis (1)

4 Syntax Analysis

- Every language has rules prescribing the syntactic structure of the programs:
 - functions, made up of declarations and statements
 - statements made up of expressions
 - expressions made up of tokens
- Syntax of programming-language constructs can be described by CFG
 - Precise syntactic specification
 - Automatic construction of parsers for certain classes of grammars
 - Structure imparted to language by grammar is useful for translating source programs into object code
 - New language constructs can be added easily
- Syntax analyis is performed by parser

4.1 Parser's Position in a Compiler



- Obtain string of tokens
- Verify that string can be generated by the grammar
- Report and recover from syntax errors

Parsing

Finding parse tree for given string

- Universal (any CFG)
 - Cocke-Younger-Kasami
 - Earley
- Top-down (CFG with restrictions)
 - Predictive parsing
 - LL (Left-to-right, Leftmost derivation) methods
 - LL(1): LL parser, needs only one token to look ahead
- Bottom-up (CFG with restrictions)

Today: top-down parsing Next week: bottom-up parsing

4.2 Context-Free Grammars

Context-free grammar is a 4-tuple with

- A set of *nonterminals* (syntactic variables)
- A set of tokens (*terminal* symbols)
- A designated *start* symbol (nonterminal)
- A set of *productions*: rules how to decompose nonterminals

Example: CFG for simple arithmetic expressions:

 $G = (\{expr, term, factor\}, \{id, +, -, *, /, (,)\}, expr, P)$ with productions P:

 $expr \rightarrow expr + term | expr - term | term$ $term \rightarrow term * factor | term/factor | factor$ $factor \rightarrow (expr) | id$

Notational Conventions

1. Terminals:

 a, b, c, \ldots ; specific terminals: $+, *, (,), 0, 1, id, if, \ldots$

2. Nonterminals:

 A, B, C, \ldots ; specific nonterminals: $S, expr, stmt, \ldots, E, \ldots$

- 3. Grammar symbols: X, Y, Z
- 4. Strings of terminals: u, v, w, x, y, z
- 5. Strings of grammar symbols: $\alpha, \beta, \gamma, \ldots$ Hence, generic production: $A \rightarrow \alpha$
- 6. *A*-productions:

 $\begin{array}{ll} A \rightarrow \alpha_1, A \rightarrow \alpha_2, \dots, A \rightarrow \alpha_k & \Rightarrow & A \rightarrow \alpha_1 \mid \alpha_2 \mid \dots \mid \alpha_k \\ \text{Alternatives for } A & \end{array}$

7. By default, head of first production is start symbol

Notational Conventions (Example)

CFG for simple arithmetic expressions:

 $G = (\{expr, term, factor\}, \{id, +, -, *, /, (,)\}, expr, P)$ with productions P:

 $expr \rightarrow expr + term | expr - term | term$ $term \rightarrow term * factor | term/factor | factor$ $factor \rightarrow (expr) | id$

Can be rewritten concisely as:

$$E \rightarrow E + T \mid E - T \mid T$$
$$T \rightarrow T * F \mid T/F \mid F$$
$$F \rightarrow (E) \mid id$$

Derivations

Example grammar:

$$E \rightarrow E + E \mid E * E \mid -E \mid (E) \mid \mathbf{id}$$

 In each step, a nonterminal is replaced by body of one of its productions, e.g.,

$$E \Rightarrow -E \Rightarrow -(E) \Rightarrow -(\mathbf{id})$$

• One-step derivation:

 $\alpha A\beta \Rightarrow \alpha \gamma \beta,$ where $A \rightarrow \gamma$ is production in grammar

- Derivation in zero or more steps: $\stackrel{*}{\Rightarrow}$
- Derivation in one or more steps: $\stackrel{+}{\Rightarrow}$

Derivations

- If $S \stackrel{*}{\Rightarrow} \alpha$, then α is sentential form of G
- If $S \stackrel{*}{\Rightarrow} \alpha$ and α has no nonterminals, then α is sentence of G
- Language generated by G is $L(G) = \{w \mid w \text{ is sentence of } G\}$
- Leftmost derivation: $wA\gamma \Rightarrow w\delta\gamma$
- If $S \stackrel{*}{\Rightarrow}_{lm} \alpha$, then α is left sentential form of G
- Rightmost derivation: $\gamma Aw \Rightarrow_{rm} \gamma \delta w$, \Rightarrow_{rm}^*

Example of leftmost derivation:

$$E \underset{lm}{\Rightarrow} -E \underset{lm}{\Rightarrow} -(E) \underset{lm}{\Rightarrow} -(E+E) \underset{lm}{\Rightarrow} -(\mathbf{id}+E) \underset{lm}{\Rightarrow} -(\mathbf{id}+\mathbf{id})$$

Parse Tree

(from college 1)

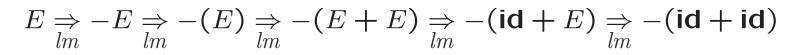
(derivation tree in FI2)

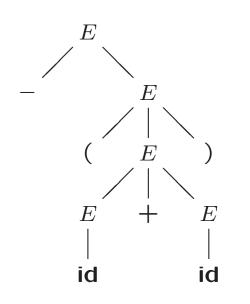
- The root of the tree is labelled by the start symbol
- Each leaf of the tree is labelled by a terminal (=token) or ϵ (=empty)
- Each interior node is labelled by a nonterminal
- If node A has children X_1, X_2, \ldots, X_n , then there must be a production $A \to X_1 X_2 \ldots X_n$

Yield of the parse tree: the sequence of leafs (left to right)

Parse Trees and Derivations

$E \rightarrow E + E \mid E * E \mid -E \mid (E) \mid \mathbf{id}$





Many-to-one relationship between derivations and parse trees...

4.3.1 Why Regular Expressions For Lexical Syntax?

- Convenient way to modularize front end \approx simplifies design
- Regular expressions powerful enough for lexical syntax
- Regular expressions easier to understand than grammars
- More efficient lexical analysers can be constructed automatically from regular expressions than from arbitrary grammars

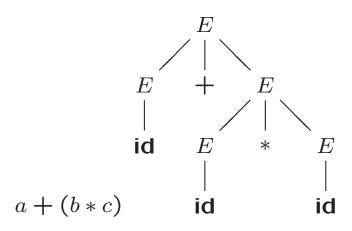
Ambiguity

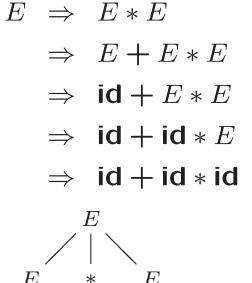
More than one leftmost/rightmost derivation for same sentence

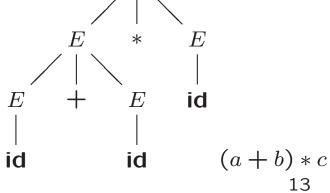
Example:

$$a + b * c$$

- $E \Rightarrow E + E \qquad \qquad E =$
 - \Rightarrow id + E
 - \Rightarrow id + E * E
 - \Rightarrow id + id * E
 - $\Rightarrow id + id * id$





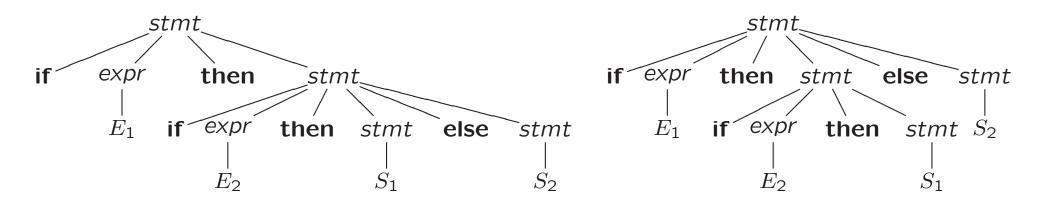


Eliminating ambiguity

- Sometimes ambiguity can be eliminated
- Example: "dangling-else"-grammar

Here, other is any other statement

if E_1 then if E_2 then S_1 else S_2



Eliminating ambiguity

Example: ambiguous "dangling-else"-grammar

stmt → if expr then stmt
| if expr then stmt else stmt
| other

Only matched statements between then and else...

Eliminating ambiguity

Example: ambiguous "dangling-else"-grammar

stmt → if expr then stmt
| if expr then stmt else stmt
| other

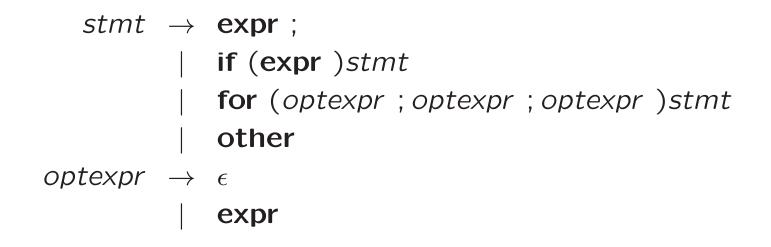
Equivalent unambiguous grammar

stmt	\rightarrow	matchedstmt
		openstmt
matchedstmt	\rightarrow	if expr then matchedstmt else matchedstmt
		other
openstmt	\rightarrow	if expr then stmt
		if expr then matchedstmt else openstmt

Only one parse tree for

if E_1 then if E_2 then S_1 else S_2 Associates each else with closest previous unmatched then

2.4 Parsing (Top-Down Example) from college 1



How to determine parse tree for

for (; expr ; expr)other

Use lookahead: current terminal in input

Predictive Parsing

from college 1

- Recursive-descent parsing is a top-down parsing method:
 - Executes a set of recursive procedures to process the input
 - Every nonterminal has one (recursive) procedure parsing the nonterminal's syntactic category of input tokens
- Predictive parsing ...

Recursive Descent Parsing

Recursive procedure for each nonterminal

```
void A()

1) { Choose an A-production, A \rightarrow X_1 X_2 \dots X_k;

2) for (i = 1 \text{ to } k)

3) { if (X_i \text{ is nonterminal})

4) call procedure X_i();

5) else if (X_i \text{ equals current input symbol } a)

6) advance input to next symbol;

7) else /* an error has occurred */;

}
```

Pseudocode is nondeterministic

Recursive Descent

- One may use backtracking:
 - Try each *A*-production in some order
 - In case of failure at line 7 (or call in line 4),
 return to line 1 and try another A-production
 - Input pointer must then be reset,
 so store initial value input pointer in local variable
- Example in book
- Backtracking is rarely needed: predictive parsing

Predictive Parsing

from college 1

- Recursive-descent parsing ...
- Predictive parsing is a special form of recursive-descent parsing:
 - The lookahead symbol unambiguously determines the production for each nonterminal

Simple example:

stmt → expr ;
 | if (expr)stmt
 | for (optexpr ; optexpr ; optexpr)stmt
 | other

Predictive Parsing (Example)

from college 1

```
void stmt()
{ switch (lookahead)
  { case expr:
           match(expr); match(';'); break;
    case if:
           match(if); match('('); match(expr); match(')'); stmt();
           break;
    case for:
           match(for); match('(');
           optexpr(); match(';'); optexpr(); match(';'); optexpr();
           match(')'; stmt(); break;
    case other:
           match(other); break:
    default:
           report("syntax error");
 }
}
void match(terminal t)
{ if (lookahead==t) lookahead = nextTerminal;
  else report("syntax error");
}
```

Using FIRST

from college 1

- Let α be string of grammar symbols
- FIRST(α) is the set of terminals that appear as first symbols of strings generated from α

Simple example:

stmt → expr ;
 | if (expr)stmt
 | for (optexpr ; optexpr ; optexpr)stmt
 | other

Right-hand side may start with nonterminal...

Using FIRST

from college 1

- Let α be string of grammar symbols
- FIRST(α) is the set of terminals that appear as first symbols of strings generated from α
- When a nontermimal has multiple productions, e.g.,

$$A \to \alpha \mid \beta$$

then FIRST(α) and FIRST(β) must be disjoint in order for predictive parsing to work

Left Recursion

• Productions of the form $A \to A \alpha \mid \beta$ are left-recursive

– β does not start with A

- Example: $E \rightarrow E + T \mid T$
- Top-down parser may loop forever if grammar has left-recursive productions
- Left-recursive productions can be eliminated by rewriting productions

Left Recursion Elimination

Immediate left recursion

- Productions of the form $A \to A \alpha \mid \beta$
- Can be eliminated by replacing the productions by

$$\begin{array}{ll} A & \to & \beta A' & (A' \text{ is new nonterminal}) \\ A' & \to & \alpha A' \mid \epsilon & (A' \to \alpha A' \text{ is right recursive}) \end{array}$$

- Procedure:
 - 1. Group A-productions as

$$A \rightarrow A\alpha_1 \mid A\alpha_2 \mid \ldots \mid A\alpha_m \mid \beta_1 \mid \beta_2 \mid \ldots \mid \beta_n$$

2. Replace A-productions by

$$\begin{array}{rcl} A & \rightarrow & \beta_1 A' \mid \beta_2 A' \mid \dots \mid \beta_n A' \\ A' & \rightarrow & \alpha_1 A' \mid \alpha_2 A' \mid \dots \mid \alpha_m A' \mid \epsilon \end{array}$$

Left Recursion Elimination

General left recursion

• Left recursion involving two or more steps

$$S \rightarrow Ba \mid b$$
$$B \rightarrow AA \mid a$$
$$A \rightarrow Ac \mid Sd$$

• S is left-recursive because

 $S \Rightarrow Ba \Rightarrow AAa \Rightarrow SdAa$ (not immediately left-recursive)

General Left Recursion Elimination

- We order nonterminals: $S, B, A \ (n = 3)$
- Variables may only 'point forward'
- i = 1 and i = 2: nothing to do
- *i* = 3:
 - substitute $A \rightarrow Sd$
 - substitute $A \rightarrow Bad$
 - eliminate immediate left-recursion in A-productions

General Left Recursion Elimination

Algorithm for G with no cycles or ϵ -productions

 arrange nonterminals in some order A₁, A₂,..., A_n
 for (i = 1 to n)
 { for (j = 1 to i - 1)
 { replace each production of form A_i → A_jγ by the productions A_i → δ₁γ | δ₂γ | ... | δ_kγ, where A_j → δ₁ | δ₂ | ... | δ_k are all current A_j-productions
 }
 eliminate immediate left recursion among A_i-productions

Example with $A \to \epsilon$

Left Factoring

Another transformation to produce grammar suitable for predictive parsing

- If $A \to \alpha \beta_1 \mid \alpha \beta_2$ and input begins with nonempty string derived from α How to expand A? To $\alpha \beta_1$ or to $\alpha \beta_2$?
- Solution: left-factoring Replace two *A*-productions by

$$\begin{array}{rrrr} A & \to & \alpha A' \\ A' & \to & \beta_1 \mid \beta_2 \end{array}$$

Left Factoring (Example)

• Which production to choose when input token is if?

 $\begin{array}{rrrr} stmt & \rightarrow & \textbf{if } expr \ \textbf{then } stmt \\ & | & \textbf{if } expr \ \textbf{then } stmt \ \textbf{else } stmt \\ & | & \textbf{other} \\ expr & \rightarrow & b \end{array}$

• Or abstract:

 $\begin{array}{rcl} S & \rightarrow & iEtS \mid iEtSeS \mid a \\ E & \rightarrow & b \end{array}$

• Left-factored: ...

Left Factoring (Example)

What is result of left factoring for

 $S \rightarrow abS \mid abcA \mid aaa \mid aab \mid aA$

Non-Context-Free Language Constructs

• Declaration of identifiers before their use

 $L_1 = \{wcw \mid w \in \{a, b\}^*\}$

 Number of formal parameters in function declaration equals number of actual parameters in function call Function call may be specified by

> $stmt \rightarrow id (expr_list)$ $expr_list \rightarrow expr_list, expr \mid expr$ $L_2 = \{a^n b^m c^n d^m \mid m, n \ge 1\}$

Such checks are performed during semantic-analysis phase

4.4 Top-Down Parsing

- Construct parse tree,
 - starting from the root
 - creating nodes in preorder

Corresponds to finding leftmost derivation

Top-Down Parsing (Example)

$$E \rightarrow E + T \mid T$$
$$T \rightarrow T * F \mid F$$
$$F \rightarrow (E) \mid id$$

• Non-left-recursive variant: ...

Top-Down Parsing (Example)

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$F \rightarrow (E) \mid id$$

• Non-left-recursive variant:

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \epsilon$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \epsilon$$

$$F \rightarrow (E) \mid id$$

- Top-down parse for input **id** + **id** * **id** . . .
- At each step: determine production to be applied

Top-Down Parsing

- Recursive-descent parsing
- Predictive parsing
 - Eliminate left-recursion from grammar
 - Left-factor the grammar
 - Compute FIRST and FOLLOW
 - Two variants:
 - * Recursive (recursive calls)
 - * Non-recursive (explicit stack)

FIRST

- Let α be string of grammar symbols
- FIRST(α) = set of terminals/tokens which begin strings derived from α
- If $\alpha \stackrel{*}{\Rightarrow} \epsilon$, then $\epsilon \in \mathsf{FIRST}(\alpha)$
- Example

$$F \rightarrow (E) \mid \mathbf{id}$$

 $\mathsf{FIRST}(FT') = \{(,\mathsf{id}\}\)$

• When nonterminal has multiple productions, e.g.,

$$A \to \alpha \mid \beta$$

and FIRST(α) and FIRST(β) are disjoint, we can choose between these A-productions by looking at next input symbol

Computing FIRST

Compute FIRST(X) for all grammar symbols X:

- If X is terminal, then $FIRST(X) = \{X\}$
- If $X \to \epsilon$ is production, then add ϵ to FIRST(X)
- Repeat adding symbols to FIRST(X) by looking at productions

$$X \to Y_1 Y_2 \dots Y_k$$

(see book) until all FIRST sets are stable

FIRST (Example)

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \epsilon$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \epsilon$$

$$F \rightarrow (E) \mid id$$

 $FIRST(E) = FIRST(T) = FIRST(F) = \{(, id\}$ $FIRST(E') = \{+, \epsilon\}$ $FIRST(T') = \{*, \epsilon\}$

FOLLOW

- Let A be nonterminal
- FOLLOW(A) is set of terminals/tokens that can appear immediately to the right of A in sentential form:

$$\mathsf{FOLLOW}(A) = \{a \mid S \stackrel{*}{\Rightarrow} \alpha A a \beta\}$$

 Compute FOLLOW(A) for all nonterminals A See book

FIRST and FOLLOW (Example)

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \epsilon$$

$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \epsilon$$

$$F \rightarrow (E) \mid id$$

FIRST(E) = FIRST(T) = FIRST(F) = {(, id} FIRST(E') = {+, ϵ } FIRST(T') = {*, ϵ } FOLLOW(E) = FOLLOW(E') = {), \$} FOLLOW(T) = FOLLOW(T') = {+, }, \$} FOLLOW(F) = {*, +, }, \$}

Parsing Tables

When next input symbol is a (terminal or input endmarker \$), we may choose $A \to \alpha$

- if $a \in \mathsf{FIRST}(\alpha)$
- if $(\alpha = \epsilon \text{ or } \alpha \stackrel{*}{\Rightarrow} \epsilon)$ and $a \in \mathsf{FOLLOW}(A)$

Algorithm to construct parsing table M[A, a]

```
for (each production A \rightarrow \alpha)

{ for (each a \in \text{FIRST}(\alpha))

add A \rightarrow \alpha to M[A, a];

if (\epsilon \in \text{FIRST}(\alpha))

{ for (each b \in \text{FOLLOW}(A))

add A \rightarrow \alpha to M[A, b];

}

If M[A, a] is empty, set M[A, a] to error.
```

Top-Down Parsing Table (Example)

- $E \rightarrow TE'$
- $E' \rightarrow +TE' \mid \epsilon$
- $T \rightarrow FT'$
- $F \rightarrow (E) \mid \mathbf{id}$

 $FIRST(E) = FIRST(T) = FIRST(F) = \{(, id\}\}$ $FIRST(E') = \{+, \epsilon\}$ $\mathsf{FIRST}(T') = \{*, \epsilon\}$ $T' \rightarrow *FT' | \epsilon$ FOLLOW(E) = FOLLOW(E') = {),\$} $FOLLOW(T) = FOLLOW(T') = \{+, \}$ $FOLLOW(F) = \{*, +, \}$

Non-	Input Symbol					
terminal	id	+	*	()	\$
	$E \to TE'$			$E \to TE'$		
E'		$E' \rightarrow +TE'$			$E' \to \epsilon$	$E' \to \epsilon$
T	$T \to FT'$			$T \to FT'$		
T'		$T' \to \epsilon$	$T' \to *FT'$		$T' \to \epsilon$	$T' \to \epsilon$
F	$F \rightarrow \mathrm{id}$			$F \rightarrow (E)$		

LL(1) Grammars

• LL(1)

Left-to-right scanning of input, Leftmost derivation, 1 token to look ahead suffices for predictive parsing

- Grammar G is LL(1),
 - if and only if for two distinct productions $A \to \alpha \mid \beta$,
 - α and β do not both derive strings beginning with same terminal a
 - at most one of α and β can derive ϵ
 - if $\beta \stackrel{*}{\Rightarrow} \epsilon$, then α does not derive strings beginning with terminal $a \in \text{FOLLOW}(A)$
- In other words, ...
- Grammar G is LL(1), if and only if parsing table uniquely identifies production or signals error

LL(1) Grammars (Example)

• Not LL(1):

$$E \rightarrow E + T \mid T$$

$$T \rightarrow T * F \mid F$$

$$F \rightarrow (E) \mid id$$

• Non-left-recursive variant, LL(1):

$$E \rightarrow TE'$$

$$E' \rightarrow +TE' \mid \epsilon$$

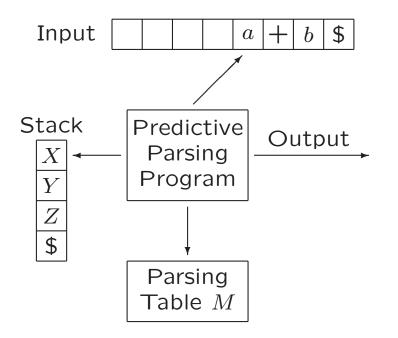
$$T \rightarrow FT'$$

$$T' \rightarrow *FT' \mid \epsilon$$

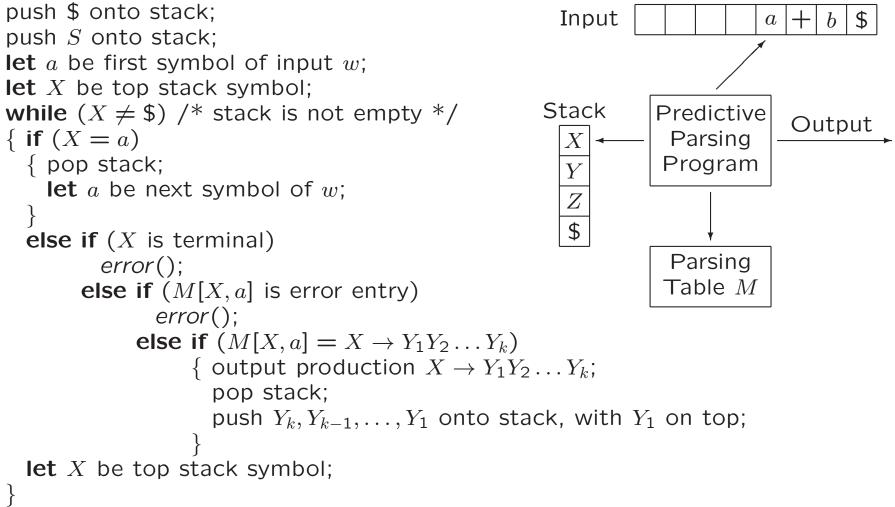
$$F \rightarrow (E) \mid id$$

Nonrecursive Predictive Parsing

Cf. top-down PDA from FI2



Nonrecursive Predictive Parsing



Nonrec. Predictive Parsing (Example)

Non-	Input Symbol					
terminal	id	+	*	()	\$
E	$E \to TE'$			$E \to TE'$		
E'		$E' \rightarrow +TE'$			$E' \to \epsilon$	$E' \to \epsilon$
T	$T \to FT'$			$T \to FT'$		
T'		$T' \to \epsilon$	$T' \to *FT'$		$T' \to \epsilon$	$T' \to \epsilon$
F	$F \rightarrow \mathrm{id}$			$F \to (E)$		

Matched	Stack	Input	Action
	E \$	id + id * id	output $E \to TE'$
	TE'\$		output $T \to FT'$
	FT'E'\$		output $F \rightarrow \mathbf{id}$
	$\mathbf{id}T'E'$ \$	id + id * id	match id
id	T'E'\$	+ id * id \$	output $T' \to \epsilon$
id	E'\$	+ id * id \$	output $E' \rightarrow +TE'$
id	+TE'\$	+ id * id \$	match +
id+	<i>TE</i> ′\$	id * id \$	output $T \to FT'$
	• • •	•••	•••

Note shift up of last column

Error Recovery in Predictive Parsing

Panic-mode recovery

- Discard input until token in set of designated synchronizing tokens is found
- Heuristics
 - Put all symbols in FOLLOW(A) into synchronizing set for A (and remove A from stack)
 - Add symbols based on hierarchical structure of language constructs
 - Add symbols in FIRST(A)
 - If $A \stackrel{*}{\Rightarrow} \epsilon$, use production deriving ϵ as default
 - Add tokens to synchronizing sets of all other tokens

Error Recovery in Predictive Parsing

Phrase-level recovery

- Local correction on remaining input that allows parser to continue
- Pointer to error routines in blank table entries
 - Change symbols
 - Insert symbols
 - Delete symbols
 - Print appropriate message
- Make sure that we do not enter infinite loop

Predictive Parsing Issues

- What to do in case of multiply-defined entries?
 - Transform grammar
 - * Left-recursion elimination
 - * Left factoring
 - Not always applicable
- Designing grammar suitable for top-down parsing is hard
 - Left-recursion elimination and left factoring make grammar hard to read and to use in translation

Therefore: try to use automatic parser generators

4.1.3 Syntax Error Handling

- Good compiler should assist in identifying and locating errors
 - Lexical errors: compiler can easily detect and continue
 - Syntax errors: compiler can detect and often recover
 - Semantic errors: compiler can sometimes detect
 - Logical errors: hard to detect
- Three goals. The error handler should
 - Report errors clearly and accurately
 - Recover quickly to detect subsequent errors
 - Add minimal overhead to processing of correct programs

Error Detection and Reporting

- Viable-prefix property of LL/LR parsers allow detection of syntax errors as soon as possible, i.e., as soon as prefix of input does not match prefix of any string in language (valid program)
- Reporting an error:
 - At least report line number and position
 - Print diagnostic message, e.g.,
 "semicolon missing at this position"

Error-Recovery Strategies

- Continue after error detection, restore to state where processing may continue, but...
- No universally acceptable strategy, but some useful strategies:
 - Panic-mode recovery: discard input until token in designated set of synchronizing tokens is found
 - Phrase-level recovery: perform local correction on the input to repair error, e.g., insert missing semicolon Has actually been used
 - Error productions: augment grammar with productions for erroneous constructs
 - Global correction: choose minimal sequence of changes to obtain correct string Costly, but yardstick for evaluating other strategies

Compiler constructie

college 3 Syntax Analysis (1)

Chapters for reading: 4.1-4.4

Next week: also werkcollege