

reg. languages	FA	reg. grammar	reg. expression
determ. cf. languages	DPDA		
cf. languages	PDA	cf. grammar	
cs. languages	LBA	cs. grammar	
re. languages	TM	unrestr. grammar	

From lecture 7:

$$AnBn = \{ a^n b^n \mid n \geq 0 \} \subseteq \{a, b\}^*$$

### Example

- $\Lambda \in AnBn$  (basis)
- for every  $x \in AnBn$ , also  $axb \in AnBn$  (induction)

$$S \rightarrow \Lambda$$

$$S \rightarrow aSb$$

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aa bb$$

$$S \Rightarrow aSb \Rightarrow aaSbb \Rightarrow aaaSbbb \Rightarrow aaa bbb$$

if  $S \Rightarrow^* x$  then also  $S \Rightarrow^* axb$

From lecture 7:

### Definition

context-free grammar (CFG) 4-tuple  $G = (V, \Sigma, S, P)$

- $V$  alphabet *variables* / *nonterminals*
- $\Sigma$  alphabet *terminals* disjoint  $V \cap \Sigma = \emptyset$
- $S \in V$  *axiom, start symbol*
- $P$  finite set rules, *productions*  
of the form  $A \rightarrow \alpha$ ,  $A \in V$ ,  $\alpha \in (V \cup \Sigma)^*$

*derivation step*  $\alpha = \alpha_1 A \alpha_2 \Rightarrow_G \alpha_1 \gamma \alpha_2 = \beta$  for  $A \rightarrow \gamma \in P$

### Definition

language generated by  $G$

$$L(G) = \{ x \in \Sigma^* \mid S \Rightarrow_G^* x \}$$

[M] Def 4.6 & 4.7

*From lecture 7:*

Using building blocks

Theorem

*If  $L_1, L_2$  are CFL, then so are  $L_1 \cup L_2$ ,  $L_1L_2$  and  $L_1^*$ .*

[M] Thm 4.9

Hence, CFL is closed under union, concatenation, star

Regular languages are closed under

- Boolean operations (complement, union, intersection, minus)
- Regular operations (union, concatenation, star)
- Reverse (mirror)
- [inverse] Homomorphism

Fact, proof follows  $\leftrightarrow$  later

## Theorem

*the languages*

–  $AnBnCn = \{ a^n b^n c^n \mid n \geq 0 \}$  and

–  $XX = \{ xx \mid x \in \{a, b\}^* \}$

*are not context-free*

[M] E 6.3, E 6.4

$AnBnCn$  is the intersection of two context-free languages

[M] E 6.10

The complement of both  $AnBnCn$  and  $XX$  is context-free.

[M] E 6.11

Hence, CFL is not closed under intersection, complement

$S \rightarrow S_1 \mid S_2$  union  
 $S \rightarrow S_1 S_2$  concatenation  
 $S \rightarrow S S_1 \mid \Lambda$  star

CFG for  $\emptyset \dots$

CFG for  $\{\sigma\} \dots$

## Example

$$L = bba(ab)^* + (ab + ba^*b)^*ba$$

[M] E 4.11

$S \rightarrow S_1 \mid S_2$     union  
 $S \rightarrow S_1 S_2$     concatenation  
 $S \rightarrow SS_1 \mid \Lambda$     star

## Example

$L = bba(ab)^* + (ab + ba^*b)^*ba$

$S \rightarrow S_1 \mid S_2$

$S_1 \rightarrow S_1 ab \mid bba$

$S_2 \rightarrow TS_2 \mid ba$      $T \rightarrow ab \mid bUb$      $U \rightarrow aU \mid \Lambda$

[M] E 4.11



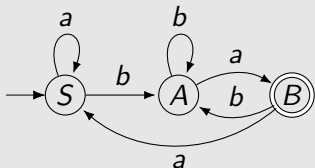
ABOVE

We have seen constructions to apply the regular operations (union, concatenation and star) to context-free grammars. These we can now use to build CFG for regular expressions.

There is a better way to build CFG for regular languages. Use finite automata, and simulate these using a very simple type of context-free grammar. These simple grammars are called regular.

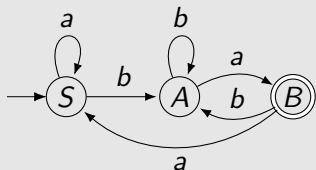
systematic approach

## Example



systematic approach

## Example



axiom  $S$

$S \rightarrow bA \mid aS$

$A \rightarrow bA \mid aB$

$B \rightarrow bA \mid aS$

$B \rightarrow \Lambda$

initial state

transitions

accepting state

path / derivation for  $bbaaba\dots$

## Definition

*regular grammar* (or *right-linear grammar*)

productions are of the form

- $A \rightarrow \sigma B$  variables  $A, B$ , terminal  $\sigma$
- $A \rightarrow \Lambda$  variable  $A$

Special type of context-free grammar

## Theorem

*A language  $L$  is regular,  
if and only if there is a regular grammar generating  $L$ .*

Proof...

[M] Def 4.13, Thm 4.14

## 4.4 Derivation trees and ambiguity

A derivation...

$$S \rightarrow a \mid S + S \mid S * S \mid (S) \quad \Sigma = \{a, +, *, (, )\}$$

$$S \Rightarrow S + \underline{S} \Rightarrow S + (\underline{S}) \Rightarrow S + (\underline{S} * S) \Rightarrow \\ \underline{S} + (a * S) \Rightarrow a + (a * \underline{S}) \Rightarrow a + (a * a)$$

[M] E 4.2, Fig 4.15

## Definition

A derivation in a context-free grammar is a *leftmost* derivation, if at each step, a production is applied to the leftmost variable-occurrence in the current string.

A *rightmost* derivation is defined similarly.

[M] D 4.16

*derivation step*  $\alpha = \alpha_1 A \alpha_2 \Rightarrow_G \alpha_1 \gamma \alpha_2 = \beta$  for  $A \rightarrow \gamma \in P$

The derivation step is *leftmost* iff  $\alpha_1 \in \Sigma^*$

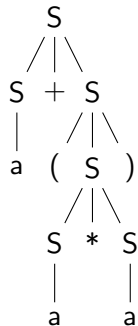
We write  $\alpha \xRightarrow{\ell} \beta$

$$S \rightarrow a \mid S + S \mid S * S \mid (S) \quad \Sigma = \{a, +, *, (, )\}$$

$$S \Rightarrow S + \underline{S} \Rightarrow S + (\underline{S}) \Rightarrow S + (\underline{S} * S) \Rightarrow \\ \underline{S} + (a * S) \Rightarrow a + (a * \underline{S}) \Rightarrow a + (a * a)$$

Derivation tree...

[M] E 4.2, Fig 4.15



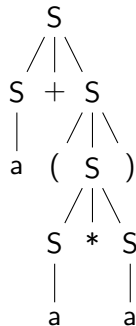
$$S \rightarrow a \mid S + S \mid S * S \mid (S) \quad \Sigma = \{a, +, *, (, )\}$$

$$S \Rightarrow S + \underline{S} \Rightarrow S + (\underline{S}) \Rightarrow S + (\underline{S} * S) \Rightarrow \underline{S} + (a * S) \Rightarrow a + (a * \underline{S}) \Rightarrow a + (a * a)$$

Leftmost derivation...

[M] E 4.2, Fig 4.15





$$S \rightarrow a \mid S + S \mid S * S \mid (S) \quad \Sigma = \{a, +, *, (, )\}$$

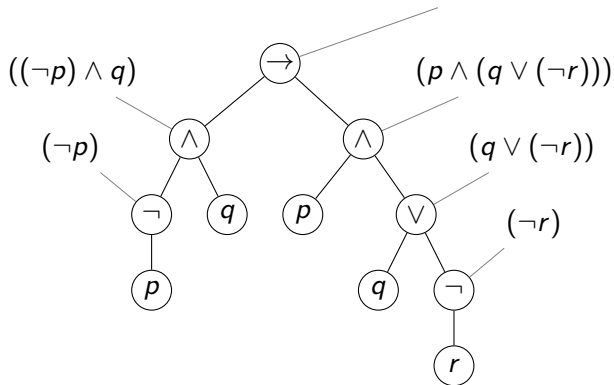
$$S \Rightarrow S + \underline{S} \Rightarrow S + (\underline{S}) \Rightarrow S + (\underline{S} * S) \Rightarrow \underline{S} + (a * S) \Rightarrow a + (a * \underline{S}) \Rightarrow a + (a * a)$$

Leftmost derivation:

$$S \xRightarrow{\ell} \underline{S} + S \xRightarrow{\ell} a + S \xRightarrow{\ell} a + (\underline{S}) \xRightarrow{\ell} a + (\underline{S} * S) \xRightarrow{\ell} a + (a * \underline{S}) \xRightarrow{\ell} a + (a * a)$$

[M] E 4.2, Fig 4.15

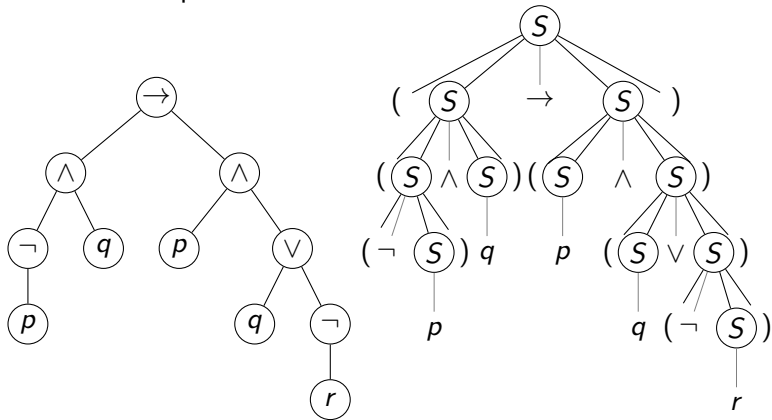
$$\psi ::= p \mid (\neg\psi) \mid (\psi \wedge \psi) \mid (\psi \vee \psi) \mid (\psi \rightarrow \psi)$$

$$(((\neg p) \wedge q) \rightarrow (p \wedge (q \vee (\neg r))))$$


[H&amp;R] Fig 1.3

$$S ::= p \mid q \mid r \mid (\neg S) \mid (S \wedge S) \mid (S \vee S) \mid (S \rightarrow S)$$

parse tree vs. derivation tree<sup>2</sup>

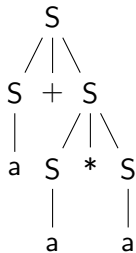


<sup>2</sup>with all brackets explicit

## Definition

A context-free grammar  $G$  is *ambiguous*, if for at least one  $x \in L(G)$ ,  $x$  has more than one derivation tree.

Otherwise: *unambiguous* [M] D 4.18



$$\Sigma = \{a, +, *, (, )\}$$

$$S \rightarrow a \mid S + S \mid S * S \mid (S)$$

$$a + a * a$$

leftmost derivation  $\longleftrightarrow$  derivation tree

## Theorem

*If  $G$  is a context-free grammar, then for every  $x \in L(G)$ , these three statements are equivalent:*

- ①  *$x$  has more than one derivation tree*
- ②  *$x$  has more than one leftmost derivation*
- ③  *$x$  has more than one rightmost derivation*

Proof...

[M] Thm 4.17

leftmost derivation  $\longleftrightarrow$  derivation tree

### Theorem

If  $G$  is a context-free grammar, then for every  $x \in L(G)$ , these three statements are equivalent:

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- ②  $x$  has more than one leftmost derivation
- ③  $x$  has more than one rightmost derivation

[M] Thm 4.17

### Definition

A context-free grammar  $G$  is *ambiguous*, if for at least one  $x \in L(G)$ ,  $x$  has more than one derivation tree (or, equivalently, more than one leftmost derivation).

Otherwise: *unambiguous* [M] D 4.18

$$\Sigma = \{a, +, *, (, )\}$$

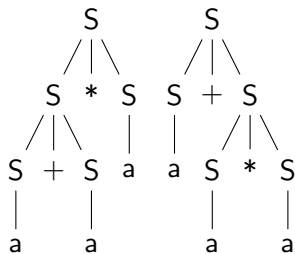
$$S \rightarrow a \mid S + S \mid S * S \mid (S)$$

$$a + a * a$$

$$S \xRightarrow{\ell} \underline{S} * S \xRightarrow{\ell} S + S * S \xRightarrow{\ell} a + S * S \xRightarrow{\ell} a + a * S \xRightarrow{\ell} a + a * a$$

$$S \xRightarrow{\ell} \underline{S} + S \xRightarrow{\ell} a + S \xRightarrow{\ell} a + S * S \xRightarrow{\ell} a + a * S \xRightarrow{\ell} a + a * a$$

leftmost derivation  $\longleftrightarrow$  derivation tree





$$\Sigma = \{a, +, *, (, )\}$$

$$S \rightarrow a \mid S + S \mid S * S \mid (S)$$

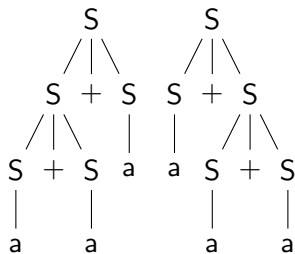
$$a + a + a$$

Leftmost for 1:

$$S \xRightarrow{\ell} \underline{S} + S \xRightarrow{\ell} S + S + S \xRightarrow{\ell} a + S + S \xRightarrow{\ell} a + a + S \xRightarrow{\ell} a + a + a$$

Derivation for 2:

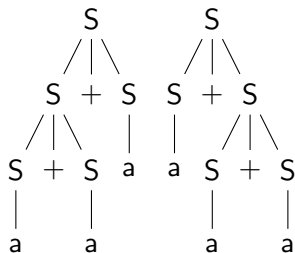
$$S \Rightarrow S + \underline{S} \Rightarrow S + S + S \Rightarrow a + S + S \Rightarrow a + a + S \Rightarrow a + a + a$$



$$\Sigma = \{a, +, *, (, )\}$$

$$S \rightarrow a \mid S + S \mid S * S \mid (S)$$

$$a + a + a$$



Leftmost for 1:

$$S \xRightarrow{\ell} \underline{S} + S \xRightarrow{\ell} S + S + S \xRightarrow{\ell} a + S + S \xRightarrow{\ell} a + a + S \xRightarrow{\ell} a + a + a$$

Derivation for 2:

$$S \Rightarrow S + \underline{S} \Rightarrow S + S + S \Rightarrow a + S + S \Rightarrow a + a + S \Rightarrow a + a + a$$

Leftmost for 2:

$$S \xRightarrow{\ell} \underline{S} + S \xRightarrow{\ell} a + S \xRightarrow{\ell} a + S + S \xRightarrow{\ell} a + a + S \xRightarrow{\ell} a + a + a$$

leftmost derivation  $\longleftrightarrow$  derivation tree

ABOVE

This example is a little weird. In the derivation step  $S + S \Rightarrow S + S + S$  we cannot really see which  $S$  has been rewritten.

Expr

*ambiguous:*

$S \rightarrow a \mid S + S \mid S * S \mid (S)$

[M] E 4.20

$a + a * a$

*unambiguous:*

...

Expr

*ambiguous:*

$$S \rightarrow a \mid S + S \mid S * S \mid (S)$$

[M] E 4.20

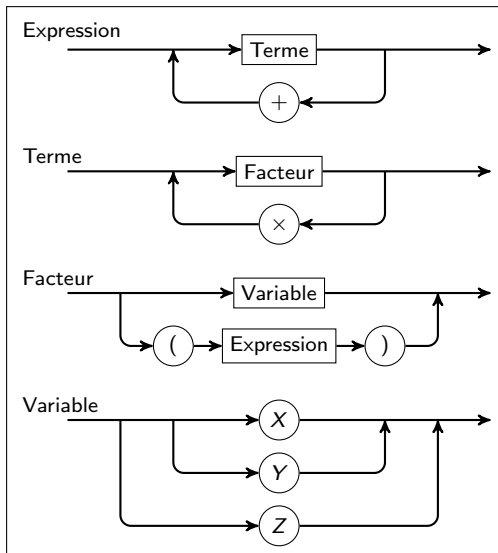
$a + a * a$

*unambiguous:*

$$S \rightarrow S + T \mid T$$
$$T \rightarrow T * F \mid F$$
$$F \rightarrow a \mid (S)$$

[M] Thm 4.25

The proof of the unambiguity does not have to be known for the exam



right associative

$$AeqB = \{ x \in \{a, b\}^* \mid n_a(x) = n_b(x) \}$$

*aaabbb, ababab, aababb, ...*

$$S \rightarrow \Lambda \mid aB \mid bA$$

$$A \rightarrow aS \mid bAA$$

$$B \rightarrow bS \mid aBB$$

A generates  $n_a(x) = n_b(x) + 1$

B generates  $n_a(x) + 1 = n_b(x)$

Derivation for *aababb*:

$$S \Rightarrow aB \Rightarrow aaBB \Rightarrow aabSB \Rightarrow \dots \quad (\text{different options})$$

$$(1) aabB \Rightarrow aabaBB \Rightarrow aababSB \Rightarrow aababB \Rightarrow aababbS \Rightarrow aababb$$

$$(2) aabaBB \Rightarrow aababSB \Rightarrow aababB \Rightarrow aababbS \Rightarrow aababb$$

$$(2') aabaBB \Rightarrow aabaBbS \Rightarrow aababSbS \Rightarrow aababSb \Rightarrow aababb$$

[M] E 4.8

ABOVE

When a string has multiple variables, like  $aabSB$  in the above example, then we are not forced to rewrite the first variable, we can as well rewrite another one.

Thus we can do  $aab\underline{S}B \Rightarrow aabB$ , but also  $aabS\underline{B} \Rightarrow aabSaBB$ , for instance.

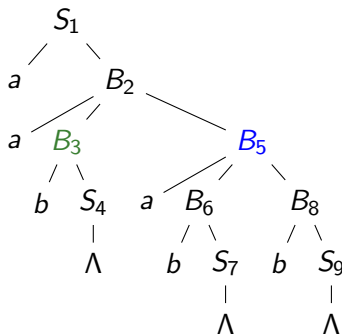
BELOW

In detail, two different derivation trees for the same string, corresponding to derivations (1) and (2,2') respectively, together with two associated leftmost derivations.

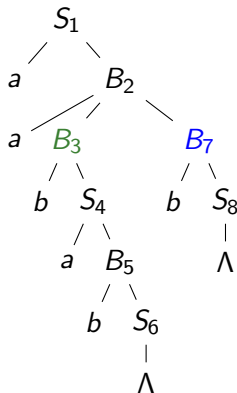
Given these two trees we conclude the grammar is ambiguous.



# Derivation tree & leftmost derivations



$S \Rightarrow aB \Rightarrow aaBB \Rightarrow aabSB \Rightarrow$   
 $aabB \Rightarrow aabaBB \Rightarrow aababSB \Rightarrow$   
 $aababbB \Rightarrow aababbS \Rightarrow aababb$



$S \Rightarrow aB \Rightarrow aaBB \Rightarrow aabSB \Rightarrow$   
 $aabaBB \Rightarrow aababSB \Rightarrow aababB \Rightarrow$   
 $aababbS \Rightarrow aababb$

$$S \rightarrow \text{if} ( E ) S \mid \text{if} ( E ) S \text{ else } S \mid \dots$$
$$\text{if} ( E ) \text{if} ( E ) S \text{ else } S$$

[M] E 4.19



*ambiguous:*

$S \rightarrow \text{if} ( E ) S \mid \text{if} ( E ) S \text{ else } S \mid A \mid \dots$

*unambiguous...*

[M] E 4.19

*ambiguous:*

$S \rightarrow \text{if} ( E ) S \mid \text{if} ( E ) S \text{ else } S \mid A \mid \dots$

*unambiguous:*

$S \rightarrow S_1 \mid S_2$

$S_1 \rightarrow \text{if} ( E ) S_1 \text{ else } S_1 \mid A \mid \dots$  (matched)

$S_2 \rightarrow \text{if} ( E ) S \mid \text{if} ( E ) S_1 \text{ else } S_2$  (open)

[M] E 4.19

Balanced

*ambiguous:*

$S \rightarrow SS \mid (S) \mid \Lambda$  (more or less the definition of balanced)

*unambiguous:*

$S \rightarrow (S)S \mid \Lambda$

[M] Exercise 4.45

Some cf languages are *inherently ambiguous*

Ambiguity is *undecidable*

[M] Theorem 9.20

Let  $G$  be a context-free grammar with start variable  $S$  and the following productions:

$$S \rightarrow aSbS \mid bSaS \mid \Lambda$$

- a. Show that  $L(G) = AEqB = \{x \in \{a, b\}^* \mid n_a(x) = n_b(x)\}$
- b. Is  $G$  ambiguous? Motivate your answer.