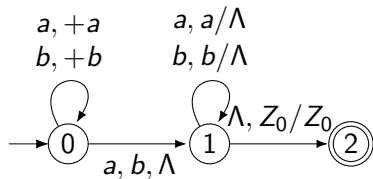


Deadline homework 3: Wednesday, 27 November, 23.59



$$Q = \{0, 1, 2\}$$

$$\Sigma = \{a, b, c\}$$

$$\Gamma = \{a, b, Z_0\}$$

$$q_0 = 0$$

$$Z_0 = Z_0$$

$$A = \{2\}$$

## Theorem

*The language  $P_{al}$  cannot be accepted by a deterministic pushdown automaton.*

Proof...

[M] Thm 5.16

From lecture 3:

### Definition

Let  $L$  be language over  $\Sigma$ , and let  $x, y \in \Sigma^*$ .

Then  $x, y$  are *distinguishable* wrt  $L$  ( *$L$ -distinguishable*),

if there exists  $z \in \Sigma^*$  with

$$xz \in L \text{ and } yz \notin L \quad \text{or} \quad xz \notin L \text{ and } yz \in L$$

Such  $z$  *distinguishes*  $x$  and  $y$  wrt  $L$ .

[M] D 2.20

*From lecture 3:*

$$Pal = \{x \in \{a, b\}^* \mid x = x^r\}$$

For Every Pair  $x, y$  of Distinct Strings in  $\{a, b\}^*$ ,  $x$  and  $y$  Are Distinguishable with Respect to  $Pal$ .

[M] E. 2.27

## Theorem

*The language Pal cannot be accepted by a deterministic pushdown automaton.*

### **Proof.**

Assume  $M$  is DPDA for  $Pal$ .

**No assumption on form transitions  $M$ .**

$M$  reads every string  $x \in \{a, b\}^*$  completely, with one path.

There exist different strings  $r, s \in \{a, b\}^*$ , such that for every  $z \in \{a, b\}^*$ ,  $M$  treats  $rz$  and  $sz$  the same way.

For a string  $x \in \{a, b\}^*$ , let  $y_x$  be a string such that height of stack after  $xy_x$  is minimal.

Let  $\alpha_x$  be stack after  $xy_x$ .

(state, top stack symbol) determines how suffix  $z$  is treated.

Infinitely many strings  $xy_x$ . Why?

Finitely many pairs  $(q, X)$

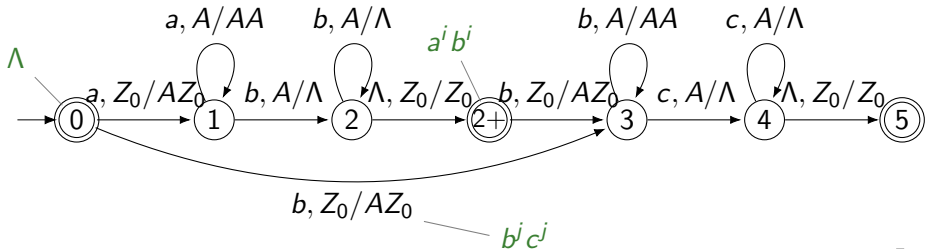
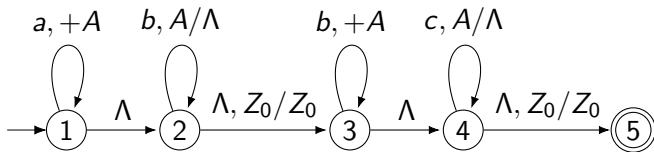
Different  $r = uy_u$  and  $s = vy_v$  arrive at same pair  $(q, A)$ .

For any suffix  $z$ ,  $rz$  and  $sz$  are treated the same:

$rz \in Pal \iff sz \in Pal$ .

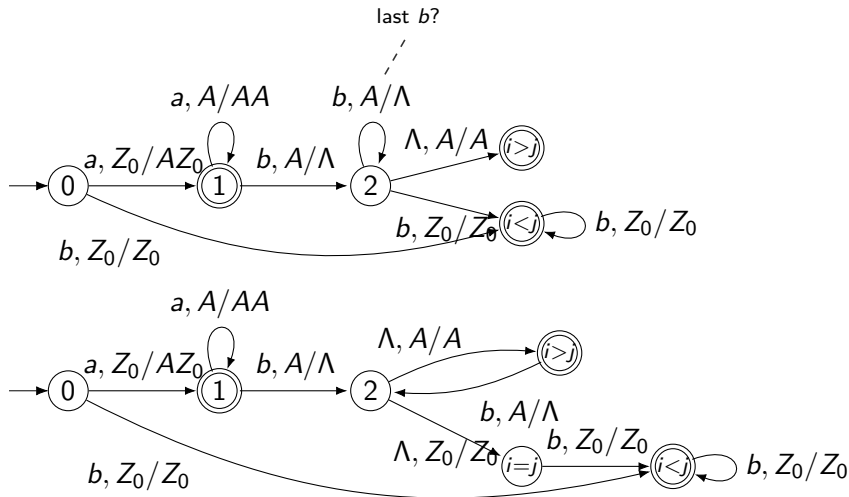
Contradiction.

$$a^i b^j c^k \quad j = i + k$$

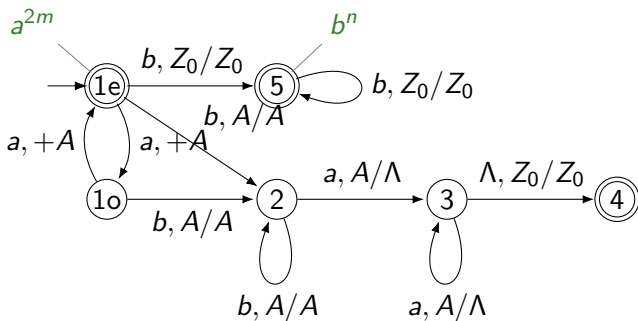
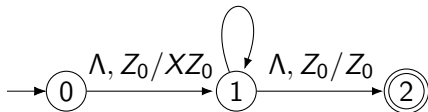
 $S \rightarrow AB$ 
 $A \rightarrow aAb \mid \Lambda$ 
 $B \rightarrow bBc \mid \Lambda$ 




$$\{ a^i b^j \mid i \neq j \}$$



$b, Y/Y$   
 $a, X/XA \quad \Lambda, Y/\Lambda$   
 $\Lambda, X/Y \quad a, A/\Lambda$



ABOVE

The first PDA is not deterministic. Actually it is working like a grammar: in state 1 the following productions are simulated:

$$X \rightarrow aXA \mid Y$$

$$Y \rightarrow bY \mid \Lambda$$

$$A \rightarrow a$$

The second automaton is deterministic. We have to distinguish the cases where  $m = 0$  (state 5) and  $n = 0$  (states 1e and 1o).

$$pre(L) = \{ x\#y \mid x \in L \text{ and } xy \in L \}$$

$$L = Pal = \{\Lambda, a, b, aa, bb, aaa, aba, bab, bbb, aaaa, abba, \dots\}$$

$$pre(L) = \dots$$

$$L = \{a^i b^j \mid i < j\} = \{b, bb, abb, bbb, abbb, bbbb, aabbb, abbbb, \dots\}$$

$$pre(L) = \dots$$

$$pre(L) = \{ x\#y \mid x \in L \text{ and } xy \in L \}$$

CFL not closed under *pre* ☒

DCFL *is* closed under *pre* ☒

[M] Exercise 5.20 & 6.22

CFL not closed under complement

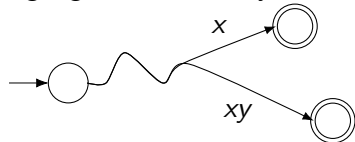
DCFL *is* closed under complement ☒

(the obvious proof does not work)

CFL is closed under regular operations  $\cup, \cdot, *$

DCFL is not closed under either of these ☒

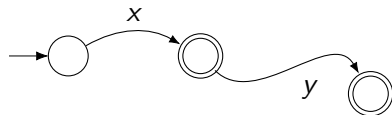
language  $L$   $x \in L, xy \in L$



$K = \{ a^n b^n \mid n \geq 1 \} \cup \{ a^n b^m c^n \mid m, n \geq 1 \}$

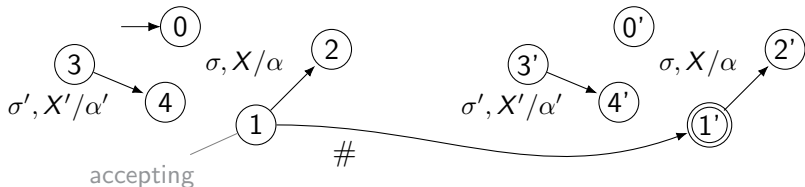
$a^n b^n$      $a^n b^m c^n$     different behaviour on  $b$ 's

$\overline{\text{pre}(K)} = \dots$



DCFL is closed under *pre*

$$pre(L) = \{ x\#y \mid x, xy \in L \}$$



$M = (Q, \Sigma, \Gamma, q_0, Z_0, A, \delta)$  with  $L = L(M)$

construct  $M_1 = (Q_1, \Sigma \cup \{\#\}, \Gamma, q_1, Z_1, A_1, \delta_1)$  with  $L(M_1) = pre(L)$

-  $Q_1 = Q \cup Q'$  where  $Q' = \{ q' \mid q \in Q \}$  primed copy

-  $q_1 = q_0, \quad Z_1 = Z_0$

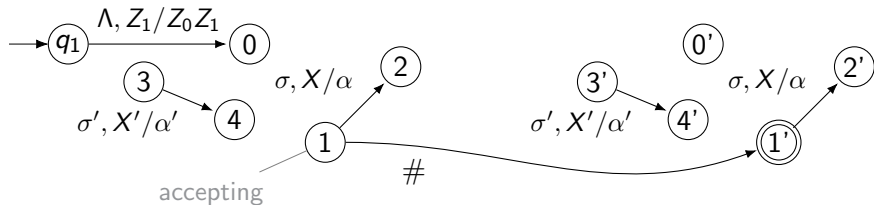
-  $A_1 = A' = \{ q' \mid q \in A \}$  accepting states in copy

-  $\delta_1(p', \sigma, X) = \{ (q', \alpha) \mid (q, \alpha) \in \delta(p, \sigma, X) \}$  two copies

for all  $p \in A, X \in \Gamma: \delta_1(p, \#, X) = \{ (p', X) \}$  move to primed copy

DCFL is closed under *pre*

$$pre(L) = \{ x\#y \mid x, y \in L \}$$



$M = (Q, \Sigma, \Gamma, q_0, Z_0, A, \delta)$  with  $L = L(M)$

construct  $M_1 = (Q_1, \Sigma \cup \{\#\}, \Gamma \cup \{Z_1\}, q_1, Z_1, A_1, \delta_1)$  with  $L(M_1) = pre(L)$

-  $Q_1 = Q \cup Q' \cup \{q_1\}$  where  $Q' = \{q' \mid q \in Q\}$  primed copy

-  $A_1 = A' = \{q' \mid q \in A\}$  accepting states in copy

-  $\delta_1(p', \sigma, X) = \{(q', \alpha) \mid (q, \alpha) \in \delta(p, \sigma, X)\}$  two copies

$\delta_1(q_1, \Lambda, Z_1) = \{(q_0, Z_0Z_1)\}$   $Z_1$  under  $Z_0$

for all  $p \in A, X \in \Gamma_1: \delta_1(p, \#, X) = \{(p', X)\}$  move to primed copy



☒ABOVE

For  $K = \{ a^n b^n \mid n \geq 1 \} \cup \{ a^n b^m c^n \mid m, n \geq 1 \}$

we have  $pre(K) = K \# \cup \{ a^n b^n \# b^k c^n \mid n \geq 1, k \geq 0 \}$ .

This language is not context-free, but  $K$  is, and thus the context-free languages are not closed under *pre*.

Again, this construction works because (for deterministic automata) the computation on  $uv$  *must* extend the computation on  $u$ .

Note the resulting PDA might not be deterministic at accepting states in original  $Q$  (like node 1 in the diagram), if that node has an outgoing  $\Lambda$ -transition.

There is however a method that avoids  $\Lambda$ -transitions at accepting states. Whenever  $(q, \alpha) \in \delta(p, \Lambda, A)$  for an accepting state  $p$ , just ‘predict’ the next letter  $\sigma$  read, add a new state  $(q, \sigma)$ , add  $((q, \sigma), \alpha)$  to  $\delta(p, \sigma, A)$  (which was empty beforehand, why?). Do this for every  $\sigma$ , and remove the  $\Lambda$ -transition. Then keep simulating  $\Lambda$ -transitions, until  $\sigma$  is read.

$$L = \{ a^i b^j \mid i \neq j \}$$

$$S \rightarrow X \mid Y \quad (\text{choice!})$$

$$X \rightarrow aXb \mid aX \mid a \quad (i > j)$$

$$Y \rightarrow aYb \mid Yb \mid b \quad (i < j)$$

$$S \Rightarrow X \Rightarrow aXb \Rightarrow aaXb \Rightarrow aaaXbb \Rightarrow aaaabb$$

$$L = \{ a^i b^j \mid i \neq j \}$$

$$S \rightarrow X \mid Y \quad (\text{choice!})$$

$$X \rightarrow aXb \mid aX \mid a \quad (i > j)$$

$$Y \rightarrow aYb \mid Yb \mid b \quad (i < j)$$

$$\Lambda, S/X$$

$$\Lambda, S/Y$$

$$\Lambda, X/aXb$$

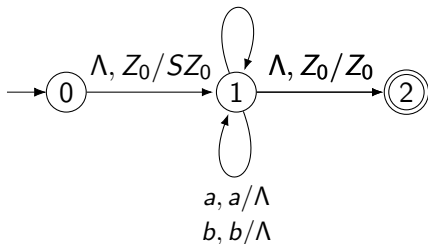
$$\Lambda, Y/aYb$$

$$\Lambda, X/aX$$

$$\Lambda, Y/Yb$$

$$\Lambda, X/a$$

$$\Lambda, Y/b$$



CFG  $G = (V, \Sigma, S, P)$

Definition (Nondeterministic Top-Down PDA)

$NT(G) = (Q, \Sigma, \Gamma, q_0, Z_0, A, \delta)$ , as follows:

-  $Q = \{q_0, q_1, q_2\}$

-  $A = \{q_2\}$

-  $\Gamma = V \cup \Sigma \cup \{Z_0\}$

- start  $\delta(q_0, \Lambda, Z_0) = \{(q_1, SZ_0)\}$

- *expand*  $\delta(q_1, \Lambda, A) = \{(q_1, \alpha) \mid A \rightarrow \alpha \text{ in } P\}$  for  $A \in V$

- *match*  $\delta(q_1, \sigma, \sigma) = \{(q_1, \Lambda)\}$  for  $\sigma \in \Sigma$

- finish  $\delta(q_1, \Lambda, Z_0) = \{(q_2, Z_0)\}$  check empty stack

[M] Def 5.17

From lecture 8:

$$A_{eqB} = \{ x \in \{a, b\}^* \mid n_a(x) = n_b(x) \}$$

$aaabbb, ababab, aababb, \dots$

$$S \rightarrow \Lambda \mid aB \mid bA$$

$$A \rightarrow aS \mid bAA$$

$$B \rightarrow bS \mid aBB$$

A generates  $n_a(x) = n_b(x) + 1$

B generates  $n_a(x) + 1 = n_b(x)$

$S \Rightarrow aB \Rightarrow aaBB \Rightarrow aabSB \Rightarrow \dots$  (different options)

(1)  $aabB \Rightarrow aabaBB \Rightarrow aababSB \Rightarrow aababB \Rightarrow aababbS \Rightarrow aababb$

(2)  $aabaBB \Rightarrow aababSB \Rightarrow aababB \Rightarrow aababbS \Rightarrow aababb$

(2')  $aabaBB \Rightarrow aabaBbS \Rightarrow aababSbS \Rightarrow aababSb \Rightarrow aababb$

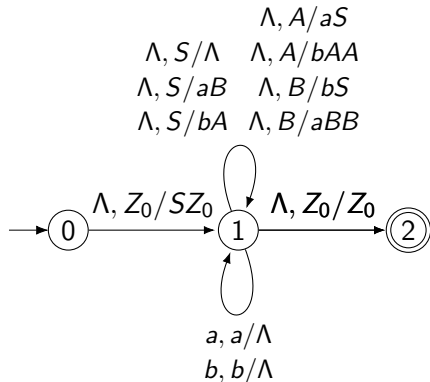
[M] E 4.8

$$AeqB = \{ x \in \{a, b\}^* \mid n_a(x) = n_b(x) \}$$

$$S \rightarrow \Lambda \mid aB \mid bA$$

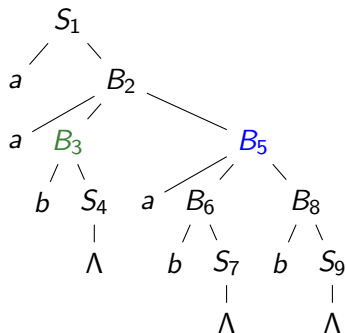
$$A \rightarrow aS \mid bAA$$

$$B \rightarrow bS \mid aBB$$

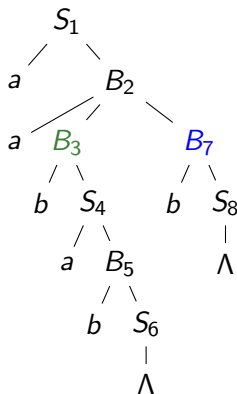


# Derivation tree & leftmost derivations

From lecture 8:



$S \Rightarrow aB \Rightarrow aaBB \Rightarrow aabSB \Rightarrow$   
 $aabB \Rightarrow aabaBB \Rightarrow aababSB \Rightarrow$   
 $aababB \Rightarrow aababbS \Rightarrow aababb$



$S \Rightarrow aB \Rightarrow aaBB \Rightarrow aabSB \Rightarrow$   
 $aabaBB \Rightarrow aababSB \Rightarrow aababB \Rightarrow$   
 $aababbS \Rightarrow aababb$

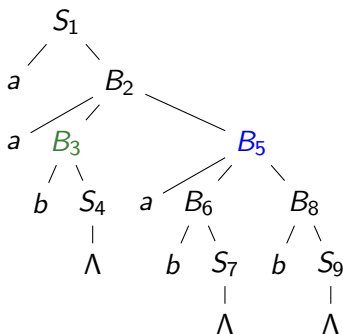
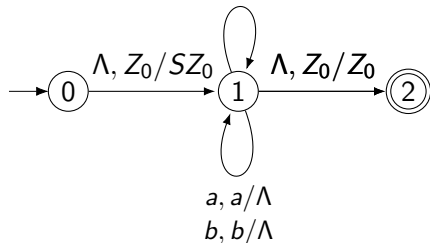
$$AeqB = \{ x \in \{a, b\}^* \mid n_a(x) = n_b(x) \}$$

$$S \rightarrow \Lambda \mid aB \mid bA$$

$$A \rightarrow aS \mid bAA$$

$$B \rightarrow bS \mid aBB$$

$$\begin{array}{l} \Lambda, A/aS \\ \Lambda, S/\Lambda \quad \Lambda, A/bAA \\ \Lambda, S/aB \quad \Lambda, B/bS \\ \Lambda, S/bA \quad \Lambda, B/aBB \end{array}$$



$q_0$      $aababbb$      $Z_0$

...



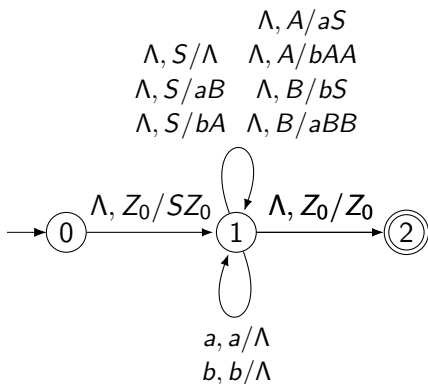
# Top-down = expand-match

$$AeqB = \{ x \in \{a, b\}^* \mid n_a(x) = n_b(x) \}$$

$$S \rightarrow \Lambda \mid aB \mid bA$$

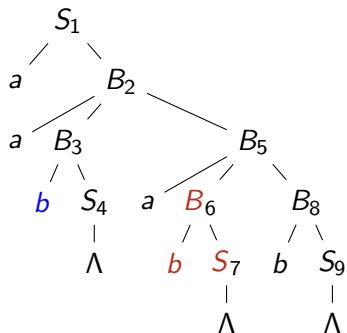
$$A \rightarrow aS \mid bAA$$

$$B \rightarrow bS \mid aBB$$



$q_0$	$aababb$	$Z_0$	
$q_1$	$aababb$	$S Z_0$	1 : $S \rightarrow aB$
$q_1$	$aababb$	$aB Z_0$	match $a$
$q_1$	$a ababb$	$B Z_0$	2 : $B \rightarrow aBB$
$q_1$	$a ababb$	$aBB Z_0$	match $a$
$q_1$	$aa babb$	$BB Z_0$	3 : $B \rightarrow bS$
$q_1$	$aa babb$	$bSB Z_0$	match $b$
$q_1$	$aab abb$	$SB Z_0$	4 : $S \rightarrow \Lambda$
$q_1$	$aab abb$	$B Z_0$	5 : $B \rightarrow aBB$
$q_1$	$aab abb$	$aBB Z_0$	match $a$
$q_1$	$aaba bb$	$BB Z_0$	6 : $B \rightarrow bS$
$q_1$	$aaba bb$	$bSB Z_0$	match $b$
$q_1$	$aabab b$	$SB Z_0$	7 : $S \rightarrow \Lambda$
$q_1$	$aabab b$	$B Z_0$	8 : $B \rightarrow bS$
$q_1$	$aabab b$	$bS Z_0$	match $b$
$q_1$	$aababb$	$S Z_0$	9 : $S \rightarrow \Lambda$
$q_1$	$aababb$	$Z_0$	
$q_2$	$aababb$	$Z_0$	

# Top-down = expand-match

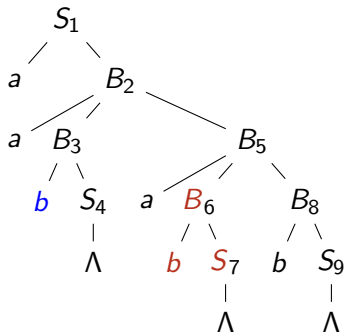


preorder: leftmost

$S \xrightarrow{\ell} aB \Rightarrow aaBB \Rightarrow aabSB \Rightarrow$   
 $aabB \Rightarrow aabaBB \Rightarrow aababSB \Rightarrow$   
 $aababB \Rightarrow aababbS \Rightarrow aababb$

$q_0$	<i>aababb</i>	$Z_0$	
$q_1$	<i>aababb</i>	$S Z_0$	1 : $S \rightarrow aB$
$q_1$	<i>aababb</i>	$aB Z_0$	match <i>a</i>
$q_1$	<i>a ababb</i>	$B Z_0$	2 : $B \rightarrow aBB$
$q_1$	<i>a ababb</i>	$aBB Z_0$	match <i>a</i>
$q_1$	<i>aa babb</i>	$BB Z_0$	3 : $B \rightarrow bS$
$q_1$	<i>aa <b>b</b>abb</i>	$bSB Z_0$	match <i>b</i>
$q_1$	<i>aab abb</i>	$SB Z_0$	4 : $S \rightarrow \Lambda$
$q_1$	<i>aab abb</i>	$B Z_0$	5 : $B \rightarrow aBB$
$q_1$	<i>aab abb</i>	$aBB Z_0$	match <i>a</i>
$q_1$	<i>aaba bb</i>	$BB Z_0$	6 : $B \rightarrow bS$
$q_1$	<i>aaba <b>bb</b></i>	$bSB Z_0$	match <i>b</i>
$q_1$	<i>aabab b</i>	$SB Z_0$	7 : $S \rightarrow \Lambda$
$q_1$	<i>aabab b</i>	$B Z_0$	8 : $B \rightarrow bS$
$q_1$	<i>aabab b</i>	$bS Z_0$	match <i>b</i>
$q_1$	<i>aababb</i>	$S Z_0$	9 : $S \rightarrow \Lambda$
$q_1$	<i>aababb</i>	$Z_0$	
$q_2$	<i>aababb</i>	$Z_0$	

# Top-down = expand-match



preorder: leftmost

$S \xrightarrow{\ell} aB \Rightarrow aaBB \Rightarrow aabSB \Rightarrow$   
 $aabB \Rightarrow aabaBB \Rightarrow aababSB \Rightarrow$   
 $aababB \Rightarrow aababbS \Rightarrow aababb$

$q_0$	<i>aababb</i>	$Z_0$	
$q_1$	<i>aababb</i>	$S Z_0$	1 : $S \rightarrow aB$
$q_1$	<i>aababb</i>	$aB Z_0$	match <i>a</i>
$q_1$	<i>a ababb</i>	$B Z_0$	2 : $B \rightarrow aBB$
$q_1$	<i>a ababb</i>	$aBB Z_0$	match <i>a</i>
$q_1$	<i>aa babb</i>	$BB Z_0$	3 : $B \rightarrow bS$
$q_1$	<i>aa babb</i>	$bSB Z_0$	match <i>b</i>
$q_1$	<i>aab abb</i>	$SB Z_0$	4 : $S \rightarrow \Lambda$
$q_1$	<i>aab abb</i>	$B Z_0$	5 : $B \rightarrow aBB$
$q_1$	<i>aab abb</i>	$aBB Z_0$	match <i>a</i>
$q_1$	<i>aaba bb</i>	$BB Z_0$	6 : $B \rightarrow bS$
$q_1$	<i>aaba bb</i>	$bSB Z_0$	match <i>b</i>
$q_1$	<i>aabab b</i>	$SB Z_0$	7 : $S \rightarrow \Lambda$
$q_1$	<i>aabab b</i>	$B Z_0$	8 : $B \rightarrow bS$
$q_1$	<i>aabab b</i>	$bS Z_0$	match <i>b</i>
$q_1$	<i>aababb</i>	$S Z_0$	9 : $S \rightarrow \Lambda$
$q_1$	<i>aababb</i>	$Z_0$	
$q_2$	<i>aababb</i>	$Z_0$	

## Theorem

*If  $G$  is a context-free grammar, then the nondeterministic top-down PDA  $NT(G)$  accepts the language  $L(G)$ .*

The details of the proof of this result do not have to be known for the exam.

Intuition  $L(G) \subseteq L(NT(G)) \dots$ :

- wish to simulate derivation on stack
- match terminals when on top of stack
- topmost variable first, so leftmost derivation

[M] Th 5.18

One leftmost derivation step:

$$y_i A_i \alpha_i \Rightarrow y_i \beta_i \alpha_i = y_i x_{i+1} A_{i+1} \alpha_{i+1} \quad \text{with } y_i, x_{i+1} \in \Sigma^*$$

With  $y_i = x_0 x_1 \dots x_i$ :

$$x_0 x_1 \dots x_i A_i \alpha_i \Rightarrow x_0 x_1 \dots x_i \beta_i \alpha_i = x_0 x_1 \dots x_i x_{i+1} A_{i+1} \alpha_{i+1}$$

Complete leftmost derivation:

$$\begin{aligned} S &= x_0 A_0 \alpha_0 \\ &\Rightarrow x_0 x_1 A_1 \alpha_1 \\ &\Rightarrow x_0 x_1 x_2 A_2 \alpha_2 \\ &\Rightarrow \dots \\ &\Rightarrow x_0 x_1 x_2 \dots x_m A_m \alpha_m \\ &\Rightarrow x_0 x_1 x_2 \dots x_m \beta_m \alpha_m = x \end{aligned}$$

Use induction on  $i$  to prove that for  $i = 0, 1, \dots, m$ , in  $NT(G)$ ,

$$(q_0, x, Z_0) = (q_0, x_0x_1 \dots x_m\beta_m\alpha_m, Z_0) \vdash^* (q_1, x_{i+1} \dots x_m\beta_m\alpha_m, A_i\alpha_iZ_0)$$

That is,  $NT(G)$  can perform steps that read as input  $x_0x_1 \dots x_i$  and leave  $A_i\alpha_iZ_0$  on stack.

Then prove that

$$(q_1, \beta_m\alpha_m, A_m\alpha_mZ_0) \vdash^* (q_2, \Lambda, Z_0)$$

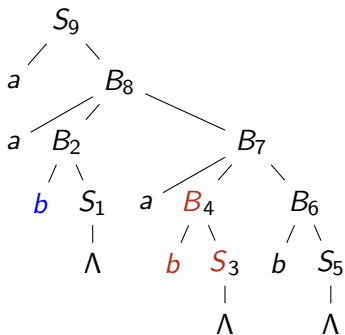
$S \rightarrow abc$

$$AeqB = \{ x \in \{a, b\}^* \mid n_a(x) = n_b(x) \}$$

$$S \rightarrow \Lambda \mid aB \mid bA$$

$$A \rightarrow aS \mid bAA$$

$$B \rightarrow bS \mid aBB$$



	stack <sup>r</sup>	input	
$q_0$	$Z_0$	$aababb$	shift $a$
...			



# Bottom-up = shift-reduce

$$A \text{ eq } B = \{ x \in \{a, b\}^* \mid n_a(x) = n_b(x) \}$$

$$S \rightarrow \Lambda \mid aB \mid bA$$

$$A \rightarrow aS \mid bAA$$

$$B \rightarrow bS \mid aBB$$

	stack <sup>r</sup>	input	
$q_0$	$Z_0$	<i>aababb</i>	shift <i>a</i>
$q_0$	$Z_0 a$	<i>a ababb</i>	shift <i>a</i>
$q_0$	$Z_0 aa$	<i>aa babb</i>	shift <i>b</i>
$q_0$	$Z_0 aab$	<i>aab abb</i>	1 : $S \rightarrow \Lambda$
$q_0$	$Z_0 aabS$	<i>aab abb</i>	2 : $B \rightarrow bS$
$q_0$	$Z_0 aaB$	<i>aab abb</i>	shift <i>a</i>
$q_0$	$Z_0 aaBa$	<i>aaba bb</i>	shift <i>b</i>
$q_0$	$Z_0 aaBab$	<i>aabab b</i>	3 : $S \rightarrow \Lambda$
$q_0$	$Z_0 aaBabS$	<i>aabab b</i>	4 : $B \rightarrow bS$
$q_0$	$Z_0 aaBaB$	<i>aabab b</i>	shift <i>b</i>
$q_0$	$Z_0 aaBaBb$	<i>aababb</i>	5 : $S \rightarrow \Lambda$
$q_0$	$Z_0 aaBaBbS$	<i>aababb</i>	6 : $B \rightarrow bS$
$q_0$	$Z_0 aaBaBB$	<i>aababb</i>	7 : $B \rightarrow aBB$
$q_0$	$Z_0 aaBB$	<i>aababb</i>	8 : $B \rightarrow aBB$
$q_0$	$Z_0 aB$	<i>aababb</i>	9 : $S \rightarrow aB$
$q_0$	$Z_0 S$	<i>aababb</i>	
$q_1$	$Z_0$	<i>aababb</i>	
$q_2$	$Z_0$	<i>aababb</i>	

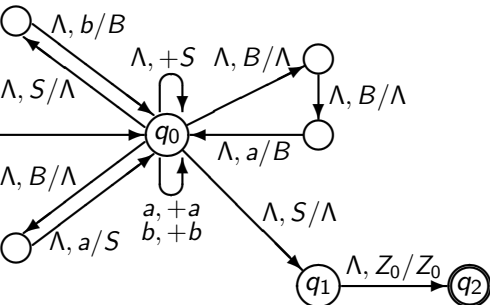
# Bottom-up = shift-reduce

$$AeqB = \{ x \in \{a, b\}^* \mid n_a(x) = n_b(x) \}$$

$$S \rightarrow \Lambda \mid aB \mid bA$$

$$A \rightarrow aS \mid bAA$$

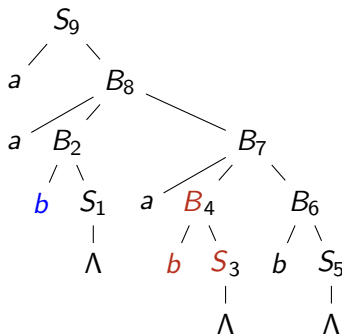
$$B \rightarrow bS \mid aBB$$



+ states/transitions for other productions

	stack <sup>r</sup>	input	
q <sub>0</sub>	Z <sub>0</sub>	aababb	shift a
q <sub>0</sub>	Z <sub>0</sub> a	a ababb	shift a
q <sub>0</sub>	Z <sub>0</sub> aa	aa babb	shift b
q <sub>0</sub>	Z <sub>0</sub> aab	aab abb	1 : S → Λ
q <sub>0</sub>	Z <sub>0</sub> aabS	aab abb	2 : B → bS
q <sub>0</sub>	Z <sub>0</sub> aaB	aab abb	shift a
q <sub>0</sub>	Z <sub>0</sub> aaBa	aaba bb	shift b
q <sub>0</sub>	Z <sub>0</sub> aaBab	aabab b	3 : S → Λ
q <sub>0</sub>	Z <sub>0</sub> aaBabS	aabab b	4 : B → bS
q <sub>0</sub>	Z <sub>0</sub> aaBaB	aabab b	shift b
q <sub>0</sub>	Z <sub>0</sub> aaBaBb	aababb	5 : S → Λ
q <sub>0</sub>	Z <sub>0</sub> aaBaBbS	aababb	6 : B → bS
q <sub>0</sub>	Z <sub>0</sub> aaBaBB	aababb	7 : B → aBB
q <sub>0</sub>	Z <sub>0</sub> aaBB	aababb	8 : B → aBB
q <sub>0</sub>	Z <sub>0</sub> aB	aababb	9 : S → aB
q <sub>0</sub>	Z <sub>0</sub> S	aababb	
q <sub>1</sub>	Z <sub>0</sub>	aababb	
q <sub>2</sub>	Z <sub>0</sub>	aababb	

# Bottom-up = shift-reduce

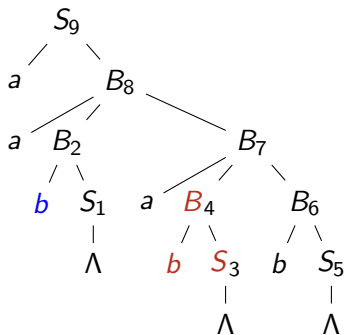


postorder: rightmost

$S \xRightarrow{9} aB \Rightarrow_8 aaBB \Rightarrow_7 aaBaBB \Rightarrow_6$   
 $aaBaBbS \Rightarrow_5 aaBaBb \Rightarrow_4 aaBabSb$   
 $\Rightarrow_3 aaBabb \Rightarrow_2 aabSabb \Rightarrow_1 aababb$

	stack <sup>r</sup>	input	
$q_0$	$Z_0$	<i>aababb</i>	shift <i>a</i>
$q_0$	$Z_0 a$	<i>a ababb</i>	shift <i>a</i>
$q_0$	$Z_0 aa$	<i>aa babb</i>	shift <i>b</i>
$q_0$	$Z_0 aab$	<i>aab abb</i>	1 : $S \rightarrow \Lambda$
$q_0$	$Z_0 aabS$	<i>aab abb</i>	2 : $B \rightarrow bS$
$q_0$	$Z_0 aaB$	<i>aab abb</i>	shift <i>a</i>
$q_0$	$Z_0 aaBa$	<i>aaba bb</i>	shift <i>b</i>
$q_0$	$Z_0 aaBab$	<i>aabab b</i>	3 : $S \rightarrow \Lambda$
$q_0$	$Z_0 aaBaS$	<i>aabab b</i>	4 : $B \rightarrow bS$
$q_0$	$Z_0 aaBaB$	<i>aabab b</i>	shift <i>b</i>
$q_0$	$Z_0 aaBaBb$	<i>aababb</i>	5 : $S \rightarrow \Lambda$
$q_0$	$Z_0 aaBaBbS$	<i>aababb</i>	6 : $B \rightarrow bS$
$q_0$	$Z_0 aaBaBB$	<i>aababb</i>	7 : $B \rightarrow aBB$
$q_0$	$Z_0 aaBB$	<i>aababb</i>	8 : $B \rightarrow aBB$
$q_0$	$Z_0 aB$	<i>aababb</i>	9 : $S \rightarrow aB$
$q_0$	$Z_0 S$	<i>aababb</i>	
$q_1$	$Z_0$	<i>aababb</i>	
$q_2$	$Z_0$	<i>aababb</i>	

# Bottom-up = shift-reduce



postorder: rightmost, in reverse  
 $S \xRightarrow{9} aB \Rightarrow_8 aaBB \Rightarrow_7 aaBaBB \Rightarrow_6$   
 $aaBaBbS \Rightarrow_5 aaBaBb \Rightarrow_4 aaBabSb$   
 $\Rightarrow_3 aaBabb \Rightarrow_2 aabSabb \Rightarrow_1 aababb$

	stack <sup>r</sup>	input	
$q_0$	$Z_0$	<i>aababb</i>	shift <i>a</i>
$q_0$	$Z_0 a$	<i>a ababb</i>	shift <i>a</i>
$q_0$	$Z_0 aa$	<i>aa babb</i>	shift <i>b</i>
$q_0$	$Z_0 aab$	<i>aab abb</i>	1 : $S \rightarrow \Lambda$
$q_0$	$Z_0 aabS$	<i>aab abb</i>	2 : $B \rightarrow bS$
$q_0$	$Z_0 aaB$	<i>aab abb</i>	shift <i>a</i>
$q_0$	$Z_0 aaBa$	<i>aaba bb</i>	shift <i>b</i>
$q_0$	$Z_0 aaBab$	<i>aabab b</i>	3 : $S \rightarrow \Lambda$
$q_0$	$Z_0 aaBabS$	<i>aabab b</i>	4 : $B \rightarrow bS$
$q_0$	$Z_0 aaBaB$	<i>aabab b</i>	shift <i>b</i>
$q_0$	$Z_0 aaBaBb$	<i>aababb</i>	5 : $S \rightarrow \Lambda$
$q_0$	$Z_0 aaBaBbS$	<i>aababb</i>	6 : $B \rightarrow bS$
$q_0$	$Z_0 aaBaBB$	<i>aababb</i>	7 : $B \rightarrow aBB$
$q_0$	$Z_0 aaBB$	<i>aababb</i>	8 : $B \rightarrow aBB$
$q_0$	$Z_0 aB$	<i>aababb</i>	9 : $S \rightarrow aB$
$q_0$	$Z_0 S$	<i>aababb</i>	
$q_1$	$Z_0$	<i>aababb</i>	
$q_2$	$Z_0$	<i>aababb</i>	

ABOVE

To write down the construction of the shift-reduce PDA for a given CFG, we have two technical problems.

Consider a production  $A \rightarrow \alpha$

First the stack (in standard notation) now contains the string  $\alpha$  in reverse.

Second, we pop  $\alpha$ , that is, several symbols, rather than exactly one. This can be simulated by popping the symbols one-by-one, using  $|\alpha|$  separate transitions.

*shift*     $\delta(q_0, \sigma, X) = \{(q_0, \sigma X)\}$     for  $\sigma \in \Sigma, X \in \Gamma$   
*reduce*     $\delta^*(q_0, \Lambda, \alpha^r) \ni (q_0, A)$     for  $A \rightarrow \alpha$  in  $P$

**Special case:**  $\alpha = \Lambda$

## Definition

The Nondeterministic Bottom-Up PDA  $NB(G)$

Let  $G = (V, \Sigma, S, P)$  be a context-free grammar.

The nondeterministic bottom-up PDA corresponding to  $G$  is

$NB(G) = (Q, \Sigma, \Gamma, q_0, Z_0, A, \delta)$ , defined as follows:

$Q$  contains the initial state  $q_0$ , the state  $q_1$ , and the (only) accepting state  $q_2$ , together with other states to be described shortly.

$\Gamma = \dots$

[M] D 5.22

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$$\Gamma = V \cup \Sigma \cup \{Z_0\}$$

[M] D 5.22

## Definition

The Nondeterministic Bottom-Up PDA  $NB(G)$  (continued)

For every  $\sigma \in \Sigma$  and every  $X \in \Gamma$ ,  $\delta(q_0, \sigma, X) = \{(q_0, \sigma X)\}$ . This is a *shift* move.

For every production  $B \rightarrow \alpha$  in  $G$ , and every nonnull string  $\beta \in \Gamma^*$ ,  
 $(q_0, \Lambda, \alpha^r \beta) \vdash^* (q_0, \Lambda, B\beta)$ ,

where this *reduction* is a sequence of one or more moves in which, if there is more than one, the intermediate configurations involve other states that are specific to this sequence and appear in no other moves of  $NB(G)$ .

One of the elements of  $\delta(q_0, \Lambda, S)$  is  $(q_1, \Lambda)$ ,  
and  $\delta(q_1, \Lambda, Z_0) = \{(q_2, Z_0)\}$ .

[M] D 5.22



## Theorem

*If  $G$  is a context-free grammar, then the nondeterministic bottom-up PDA  $NB(G)$  accepts the language  $L(G)$ .*

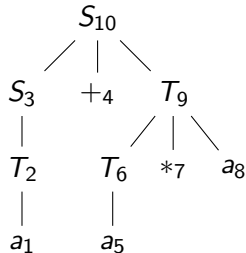
The details of the proof of this result do not have to be known for the exam.

[M] Th 5.23

# Example: algebraic expressions

## shift-reduce

post-order reduction  $\equiv$  rightmost derivation, bottom-up



stack [reverse]

$Z_0$   
 $Z_0 a_1$   
 $Z_0 T_2$   
 $Z_0 S_3$   
 $Z_0 S_3 +4$   
 $Z_0 S_3 +4 a_5$   
 $Z_0 S_3 +4 T_6$   
 $Z_0 S_3 +4 T_6 *7$   
 $Z_0 S_3 +4 T_6 *7 a_8$   
 $Z_0 S_3 +4 T_9$   
 $Z_0 S_{10}$   
 -

input

$a + a * a$   
 $+ a * a$   
 $+ a * a$   
 $+ a * a$   
 $a * a$   
 $* a$   
 $* a$   
 $a$

