

Section 4

Context-Free Languages

4 Context-Free Languages

- Examples: recursion
- Regular operations
- Regular grammars
- Expression, ambiguity

$\langle \text{assignment} \rangle ::= \langle \text{variable} \rangle = \langle \text{expression} \rangle$

$\langle \text{statement} \rangle ::= \langle \text{assignment} \rangle \mid$
 $\quad \langle \text{compound-statement} \rangle \mid$
 $\quad \langle \text{if-statement} \rangle \mid$
 $\quad \langle \text{while-statement} \rangle \mid \dots$

$\langle \text{if-statement} \rangle ::=$
 $\quad \text{if } \langle \text{test} \rangle \text{ then } \langle \text{statement} \rangle \mid$
 $\quad \text{if } \langle \text{test} \rangle \text{ then } \langle \text{statement} \rangle \text{ else } \langle \text{statement} \rangle$

$\langle \text{while-statement} \rangle ::=$
 $\quad \text{while } \langle \text{test} \rangle \text{ do } \langle \text{statement} \rangle$

Propositional logic as a formal language

Definition (well-formed formulas)

... by using the construction rules below, and only those, finitely many times:

- every propositional atom p, q, r, \dots is a wff
- if ϕ is a wff, then so is $(\neg\phi)$
- if ϕ and ψ are wff, then so are $(\phi \wedge \psi)$, $(\phi \vee \psi)$, $(\phi \rightarrow \psi)$,

BNF Backus Naur form

$\psi ::= p \mid (\neg\psi) \mid (\psi \wedge \psi) \mid (\psi \vee \psi) \mid (\psi \rightarrow \psi)$

M.Huet & M.Ryan, Logic in Computer Science



$$AnBn \subseteq \{a, b\}^*$$

Example

- $\Lambda \in AnBn$
- for every $x \in AnBn$, also $axb \in AnBn$

$$Pal \subseteq \{a, b\}^*$$

Example

- $\Lambda, a, b \in Pal$
- for every $x \in Pal$, also $axa, bxb \in Pal$

[M] E 1.18



$Balanced \subseteq \{(,)\}^*$

Example

- $\Lambda \in Balanced$
- for every $x, y \in Balanced$, also $xy \in Balanced$
- for every $x \in Balanced$, also $(x) \in Balanced$

 $Expr \subseteq \{a, +, *, (,)\}$

Example

- $a \in Expr$
- for every $x, y \in Expr$, also $x + y \in Expr$ and $x * y \in Expr$
- for every $x \in Expr$, also $(x) \in Expr$

[M] E 1.19



Example

- $\Lambda \in AnBn$ (basis)
- for every $x \in AnBn$, also $axb \in AnBn$ (induction)

$$S \rightarrow \Lambda$$

$$S \rightarrow aSb$$

$$\begin{aligned} S &\Rightarrow aSb \Rightarrow aaSbb \Rightarrow aa bb \\ S \Rightarrow aSb &\Rightarrow aaSbb \Rightarrow aaaSbbb \Rightarrow aaa bb b \end{aligned}$$

if $S \Rightarrow^* x$ then also $S \Rightarrow^* axb$



Example

- $\Lambda, a, b \in Pal$
- for every $x \in Pal$, also $axa, bxb \in Pal$

$$S \rightarrow \Lambda \mid a \mid b$$
$$S \rightarrow aSa$$
$$S \rightarrow bSb$$
$$S \Rightarrow aSa \Rightarrow aaSaa \Rightarrow aabSbaa \Rightarrow aababaa$$


$$AnBn = \{ a^n b^n \mid n \geq 0 \}$$

variants

$$\{ a^n b^{n+1} \mid n \geq 0 \}$$

$$\begin{aligned} S &\rightarrow b && (\text{end with extra } b) \\ S &\rightarrow aSb \end{aligned}$$

$$\{ a^i b^j \mid i \leq j \}$$

$$\begin{aligned} S &\rightarrow \Lambda \\ S &\rightarrow aSb \mid Sb && (\text{free } b\text{'s}) \end{aligned}$$

$$\{ a^i b^j \mid i \neq j \}$$

$$\begin{aligned} S &\rightarrow A \mid B && (\text{choice!}) \\ A &\rightarrow aAb \mid aA \mid a && (i > j) \\ B &\rightarrow aBb \mid Bb \mid b && (i < j) \end{aligned}$$



Example

- $a \in Expr$
- for every $x, y \in Expr$, also $x + y \in Expr$ and $x * y \in Expr$
- for every $x \in Expr$, also $(x) \in Expr$

$S \rightarrow a \mid S + S \mid S * S \mid (S)$

derivation(s) for $a + (a * a)$ and $a + a * a \dots$

ambiguity

[M] E 4.2



$NonPal \subseteq \{a, b\}^*$

$x = abbbaaba \in NonPal$

[M] E 4.3

$$\text{NonPal} \subseteq \{a, b\}^*$$
$$x = abbaaba \in \text{NonPal}$$

Example

- for every $A \in \{a, b\}^*$, aAb and bAa are elements of NonPal
- for every S in NonPal , aSa and bSb are in NonPal

$$A \rightarrow \Lambda \mid aA \mid bA$$
$$S \rightarrow aAb \mid bAa \mid aSa \mid bSb$$

[M] E 4.3



$$\text{NonPal} \subseteq \{a, b\}^*$$
$$x = abbaaba \in \text{NonPal}$$

Example

- for every $A \in \{a, b\}^*$, aAb and bAa are elements of NonPal
- for every S in NonPal , aSa , bSb , aSb and bSa are in NonPal

$$A \rightarrow \Lambda \mid aA \mid bA$$
$$S \rightarrow aAb \mid bAa \mid aSa \mid bSb \mid aSb \mid bSa$$

[M] E 4.3



Coin exchange language

alphabet { 1, 2, 5, = }

{ $x=y$ | $x \in \{1, 2\}^*$, $y \in \{5\}^*$, $n_1(x) + 2n_2(x) = 5n_5(y)$ }

$n_\sigma(x)$ number of σ occurrences in x

212=5 22222=55 12(122)³2=5⁴



The problem with most solutions is that when read from left to right the initial string over $\{1, 2\}$ cannot always be chopped into part with exact value 5, without chopping the symbol 2.

The solution is like a finite automaton, which reads 1, 2 and 'saves' the values until the value 5 is reached, then we write a 5 to the right.

$$\Sigma = \{ 1, 2, 5, = \}$$

variables S_i , $0 \leq i \leq 4$

axiom S_0

productions

$$S_0 \rightarrow 1S_1 \mid 2S_2$$

$$S_1 \rightarrow 1S_2 \mid 2S_3$$

$$S_2 \rightarrow 1S_3 \mid 2S_4$$

$$S_3 \rightarrow 1S_4 \mid 2S_05$$

$$S_4 \rightarrow 1S_05 \mid 2S_15$$

$$S_0 \rightarrow =$$

Definition

context-free grammar (CFG) 4-tuple $G = (V, \Sigma, S, P)$

- V alphabet *variables / nonterminals*
- Σ alphabet *terminals* disjoint $V \cap \Sigma = \emptyset$
- $S \in V$ *axiom, start symbol*
- P finite set rules, *productions*
of the form $A \rightarrow \alpha$, $A \in V$, $\alpha \in (V \cup \Sigma)^*$

derivation step $\alpha = \alpha_1 A \alpha_2 \Rightarrow_G \alpha_1 \gamma \alpha_2 = \beta$ for $A \rightarrow \gamma \in P$

Definition

language generated by G

$$L(G) = \{ x \in \Sigma^* \mid S \xrightarrow{G}^* x \}$$

[M] Def 4.6 & 4.7

NonPal, its grammar components

$$A \rightarrow \Lambda \mid aA \mid bA$$

$$S \rightarrow aAb \mid bAa \mid aSa \mid bSb$$

variables $V = \{ S, A \}$

terminals $\Sigma = \{ a, b \}$

axiom S

productions

$$P = \{ A \rightarrow \Lambda, A \rightarrow aA, A \rightarrow bA, S \rightarrow aAb, S \rightarrow bAa, S \rightarrow aSa, S \rightarrow bSb \}$$



\Rightarrow_G^* is the *transitive and reflexive closure* of \Rightarrow_G

zero, one or more steps

general case $\alpha = \alpha_0 \Rightarrow \alpha_1 \Rightarrow \dots \Rightarrow \alpha_n = \beta$

$\alpha \Rightarrow_G^* \beta$ iff there are strings $\alpha_0, \alpha_1, \dots, \alpha_n$ such that

- $\alpha_0 = \alpha$
- $\alpha_n = \beta$
- $\alpha_i \Rightarrow \alpha_{i+1}$ for $0 \leq i < n$.

special case $n = 0$ $\alpha = \alpha_0 = \beta$



Variables can be rewritten regardless of context

Lemma

If $u_1 \Rightarrow^ v_1$ and $u_2 \Rightarrow^* v_2$, then $u_1 u_2 \Rightarrow^* v_1 v_2$.*

Lemma

If $u \Rightarrow^ v_1 vv_2$ and $v \Rightarrow^* w$, then $u \Rightarrow^* v_1 wv_2$.*

Lemma

If $u \Rightarrow^ v$ and $u = u_1 u_2$,
then $v = v_1 v_2$ such that $u_1 \Rightarrow^* v_1$ and $u_2 \Rightarrow^* v_2$.*

$$A \text{eq} B = \{ x \in \{a, b\}^* \mid n_a(x) = n_b(x) \}$$

aaabbb, ababab, aababb, ...

[M] E 4.8

From lecture 6:

– Even number of both *a* and *b*

two letters together

aa and *bb* keep both numbers even [odd]

ab and *ba* switch between even and odd, for both numbers

$$(aa + bb + (ab + ba)(aa + bb)^*(ab + ba))^*$$

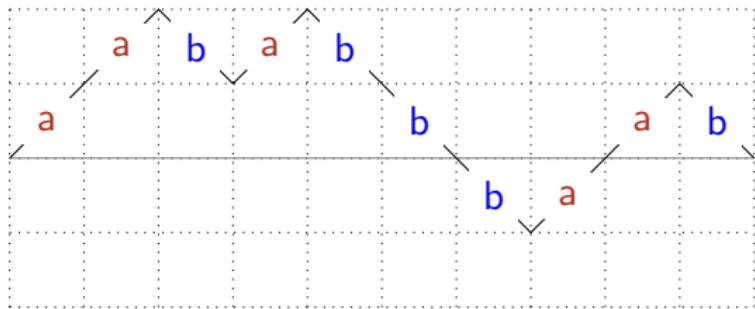
[M] E 3.4

$$A \text{eq} B = \{ x \in \{a, b\}^* \mid n_a(x) = n_b(x) \}$$

aaabbbb, ababab, aababb, ...

[M] E 4.8

$$A \text{eq} B = \{ x \in \{a, b\}^* \mid n_a(x) = n_b(x) \}$$



$$A \text{eq} B = \{ x \in \{a, b\}^* \mid n_a(x) = n_b(x) \}$$

aaabbb, ababab, aababb, ...

$$S \rightarrow \Lambda \mid aB \mid bA$$

$$A \rightarrow aS \mid bAA$$

$$B \rightarrow bS \mid aBB$$

A generates $n_a(x) = n_b(x) + 1$

B generates $n_a(x) + 1 = n_b(x)$

S $\Rightarrow aB \Rightarrow aaB \textcolor{blue}{B} \Rightarrow aab \textcolor{green}{S} \textcolor{blue}{B} \Rightarrow \dots$ (different options)

(1) *aabB $\Rightarrow aab \textcolor{blue}{a} BB \Rightarrow aab \textcolor{green}{a} b SB \Rightarrow aab \textcolor{blue}{a} b B \Rightarrow aab \textcolor{green}{a} b b S \Rightarrow aab \textcolor{blue}{a} b b$*

(2) *... (ambiguous, later)*

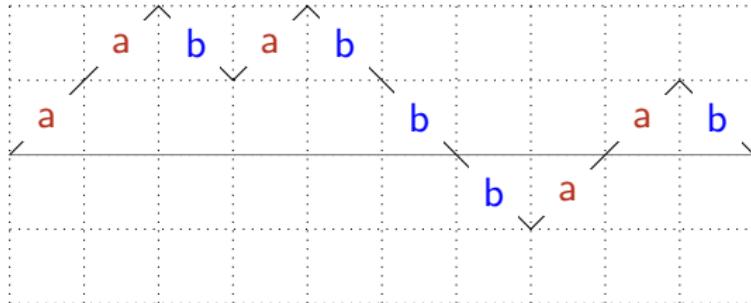
[M] E 4.8

ABOVE

When a string has multiple variables, like $aabSB$ in the above example, then we are not forced to rewrite the first variable, we can as well rewrite another one.

Thus we can do $aab\underline{SB} \Rightarrow aabB$, but also $aab\underline{SB} \Rightarrow aabSaBB$, for instance.

$$A \text{eq} B = \{ x \in \{a, b\}^* \mid n_a(x) = n_b(x) \}$$



$$S \rightarrow \Lambda \mid aSb \mid bSa \mid SS$$

$$S \Rightarrow SS \Rightarrow a_1 S b_6 S \Rightarrow a_1 a_2 S b_3 S b_6 S \Rightarrow \dots$$

$$S \Rightarrow a_1 S b_{10} \Rightarrow \dots$$

[M] Exercise 1.66

$i = j + k$ vs $j = i + k$

$L_1 = \{ a^i b^j c^k \mid i = j + k \}$ $aaa\ b\ cc$

$$i = j + k \text{ vs } j = i + k$$

$$L_1 = \{ a^i b^j c^k \mid i = j + k \} \quad \text{aaa } b \text{ cc}$$

generate as $a^{k+j} b^j c^k = a^k \underbrace{a^j}_{\text{ }} \underbrace{b^j}_{\text{ }} c^k$

$$S \rightarrow aSc \mid T$$

$$T \rightarrow aTb \mid \Lambda$$

$$S \Rightarrow aSc \Rightarrow aaScc \Rightarrow aaTcc \Rightarrow aaaTbcc \Rightarrow aaabcc$$

$$i = j + k \text{ vs } j = i + k$$

$$L_1 = \{ a^i b^j c^k \mid i = j + k \} \quad aaa\ b\ cc$$

generate as $a^{k+j} b^j c^k = a^k \underbrace{a^j b^j}_{c^k} c^k$

$$S \rightarrow aSc \mid T$$

$$T \rightarrow aTb \mid \Lambda$$

$$S \Rightarrow aSc \Rightarrow aaScc \Rightarrow aaTcc \Rightarrow aaaTbcc \Rightarrow aaabcc$$

$$L_2 = \{ a^i b^j c^k \mid j = i + k \} \quad a\ bbb\ cc$$



$$i = j + k \text{ vs } j = i + k$$

$$L_1 = \{ a^i b^j c^k \mid i = j + k \} \quad aaa\ b\ cc$$

generate as $a^{k+j} b^j c^k = \underbrace{a^k}_{S} \underbrace{a^j}_{aSc} \underbrace{b^j}_{b^j} \underbrace{c^k}_{c^k}$

$$S \rightarrow aSc \mid T$$

$$T \rightarrow aTb \mid \Lambda$$

$$S \Rightarrow aSc \Rightarrow aaScc \Rightarrow aaTcc \Rightarrow aaaTbcc \Rightarrow aaabcc$$

$$L_2 = \{ a^i b^j c^k \mid j = i + k \} \quad a\ bbb\ cc$$

generate as $a^i b^{i+k} c^k = \underbrace{a^i}_{S} \underbrace{b^i}_{X} \underbrace{b^k}_{Y} \underbrace{c^k}_{Z}$

$$S \rightarrow XY \quad (\text{concatenate})$$

$$X \rightarrow aXb \mid \Lambda$$

$$Y \rightarrow bYc \mid \Lambda$$

$$S \Rightarrow \underline{X}\ Y \Rightarrow a\underline{X}b\ Y \Rightarrow ab\ \underline{Y} \Rightarrow ab\ b\underline{Y}c \Rightarrow ab\ bb\underline{Y}cc \Rightarrow abbbcc$$

$$S \Rightarrow X\ \underline{Y} \Rightarrow \underline{X}\ bYc \Rightarrow aXb\ b\underline{Y}c \Rightarrow a\underline{X}b\ bbYcc \Rightarrow ab\ bb\underline{Y}cc \Rightarrow$$

$$abbbcc$$

(a priori there is no prescribed order rewriting X or Y)

Using building blocks

Theorem

If L_1, L_2 are CFL, then so are $L_1 \cup L_2$, $L_1 L_2$ and L_1^ .*

[M] Thm 4.9

Using building blocks

Theorem

If L_1, L_2 are CFL, then so are $L_1 \cup L_2$, $L_1 L_2$ and L_1^* .

$G_i = (V_i, \Sigma, S_i, P_i)$, having no variables in common.

[M] Thm 4.9



Using building blocks

Theorem

If L_1, L_2 are CFL, then so are $L_1 \cup L_2$, $L_1 L_2$ and L_1^* .

$G_i = (V_i, \Sigma, S_i, P_i)$, having no variables in common.

Construction

$G = (V_1 \cup V_2 \cup \{S\}, \Sigma, S, P)$, new axiom S

- $P = P_1 \cup P_2 \cup \{S \rightarrow S_1, S \rightarrow S_2\}$ $L(G) = L(G_1) \cup L(G_2)$

- $P = P_1 \cup P_2 \cup \{S \rightarrow S_1 S_2\}$ $L(G) = L(G_1) L(G_2)$

$G = (V_1 \cup \{S\}, \Sigma, S, P)$, new axiom S

- $P = P_1 \cup \{S \rightarrow SS_1, S \rightarrow \Lambda\}$ $L(G) = L(G_1)^*$

[M] Thm 4.9



Example $a^i b^j c^k$ $j \neq i + k$

$$\begin{aligned}L_0 &= \{ a^i b^j c^k \mid j = i + k \} = \{ a^i b^{i+k} c^k \mid j = i + k \} \\&= \{ \underbrace{a^i b^i}_{\text{underlined}} \underbrace{b^k c^k}_{\text{underlined}} \mid j = i + k \}\end{aligned}$$

$$S_0 \rightarrow XY \quad X \rightarrow aXb \mid \Lambda \quad Y \rightarrow bYc \mid \Lambda$$

$$L = \{ a^i b^j c^k \mid j \neq i + k \}$$

[M] E 4.10



Example $a^i b^j c^k$ $j \neq i + k$

$$\begin{aligned}L_0 &= \{ a^i b^j c^k \mid j = i + k \} = \{ a^i b^{i+k} c^k \mid j = i + k \} \\&= \{ \underbrace{a^i b^i}_{\text{ }} \underbrace{b^k c^k}_{\text{ }} \mid j = i + k \}\end{aligned}$$

$$S_0 \rightarrow XY \quad X \rightarrow aXb \mid \Lambda \quad Y \rightarrow bYc \mid \Lambda$$

$$L = \{ a^i b^j c^k \mid j \neq i + k \} = L_1 \cup L_2$$

$$S \rightarrow S_1 \mid S_2$$

$$L_1 = \{ a^i b^j c^k \mid j > i + k \}$$

$$L_2 = \{ a^i b^j c^k \mid j < i + k \}$$

[M] E 4.10



Example $a^i b^j c^k$ $j \neq i + k$

$$\begin{aligned}L_0 &= \{ a^i b^j c^k \mid j = i + k \} = \{ a^i b^{i+k} c^k \mid j = i + k \} \\&= \{ \underbrace{a^i b^i}_{\text{ }} \underbrace{b^k c^k}_{\text{ }} \mid j = i + k \}\end{aligned}$$

$$S_0 \rightarrow XY \quad X \rightarrow aXb \mid \Lambda \quad Y \rightarrow bYc \mid \Lambda$$

$$L = \{ a^i b^j c^k \mid j \neq i + k \} = L_1 \cup L_2$$

$$S \rightarrow S_1 \mid S_2$$

$$L_1 = \{ a^i b^j c^k \mid j > i + k \}$$

$$S_1 \rightarrow X_1 b Y_1$$

$$X_1 \rightarrow aX_1 b \mid X_1 b \mid \Lambda$$

$$Y_1 \rightarrow bY_1 c \mid bY_1 \mid \Lambda$$

$$L_2 = \{ a^i b^j c^k \mid j < i + k \}$$

[M] E 4.10



Example $a^i b^j c^k$ $j \neq i + k$

$$\begin{aligned}L_0 &= \{ a^i b^j c^k \mid j = i + k \} = \{ a^i b^{i+k} c^k \mid j = i + k \} \\&= \{ \underbrace{a^i b^i}_{\text{ }} \underbrace{b^k c^k}_{\text{ }} \mid j = i + k \}\end{aligned}$$

$$S_0 \rightarrow XY \quad X \rightarrow aXb \mid \Lambda \quad Y \rightarrow bYc \mid \Lambda$$

$$L = \{ a^i b^j c^k \mid j \neq i + k \} = L_1 \cup L_2$$

$$S \rightarrow S_1 \mid S_2$$

$$L_1 = \{ a^i b^j c^k \mid j > i + k \}$$

$$S_1 \rightarrow X_1 b Y_1$$

$$X_1 \rightarrow aX_1 b \mid X_1 b \mid \Lambda$$

$$Y_1 \rightarrow bY_1 c \mid bY_1 \mid \Lambda$$

$$L_2 = \{ a^i b^j c^k \mid j < i + k \}$$

$$S_2 \rightarrow aX_2 Y_2 \mid X_2 Y_2 c$$

$$X_2 \rightarrow aX_2 b \mid aX_2 \mid \Lambda$$

$$Y_2 \rightarrow bY_2 c \mid Y_2 c \mid \Lambda$$

[M] E 4.10



ABOVE

De uitwerking uit het boek is wat te ingewikkeld, dat hebben we hier wat ingekort.