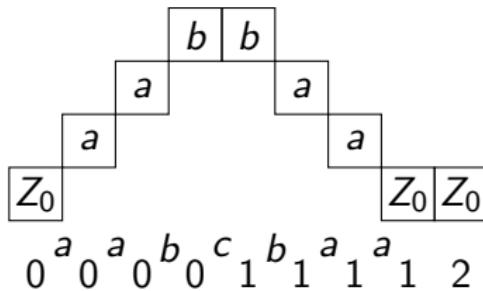
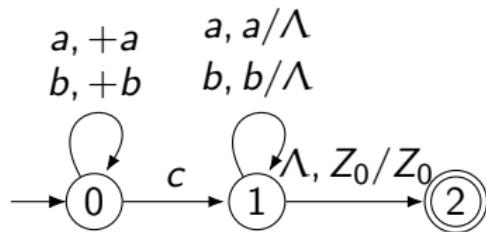


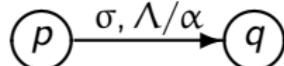
*SimplePal* =

$$\{ xc x^r \mid x \in \{a, b\}^* \}$$

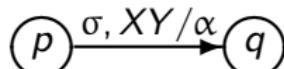


[M] Fig 5.5

Incorrect notations:



top stack symbol required



remove/consider one stack symbol at a time

*From lecture 10:*

for each state and stack symbol

- on each symbol/ $\Lambda$  at most one transition
- not both symbol and  $\Lambda$ -transition

**Definition**

$\delta(q, \sigma, X) \cup \delta(q, \Lambda, X)$  at most one element for each  $q \in Q, \sigma \in \Sigma, X \in \Gamma$

[M] Def 5.10



$$\text{pre}(L) = \{ x\#y \mid x \in L \text{ and } xy \in L \}$$
$$L = \text{Pal} = \{\Lambda, a, b, aa, bb, aaa, aba, bab, bbb, aaaa, abba, \dots\}$$
$$\text{pre}(L) = \dots$$
$$L = \{a^i b^j \mid i < j\} = \{b, bb, abb, bbb, abbb, bbbb, aabbb, abbbb, \dots\}$$
$$\text{pre}(L) = \dots$$

$$\text{pre}(L) = \{ x\#y \mid x \in L \text{ and } xy \in L \}$$

CFL not closed under *pre*

DCFL *is* closed under *pre*

[M] Exercise 5.20 & 6.22

CFL not closed under complement

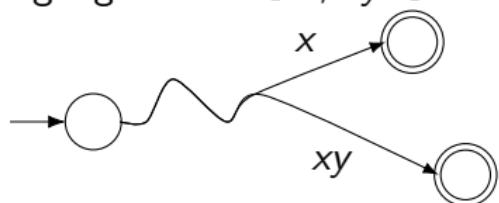
DCFL is closed under complement  $\boxtimes$

(the obvious proof does not work)

CFL is closed under regular operations  $\cup, \cdot, *$

DCFL is not closed under either of these  $\boxtimes$

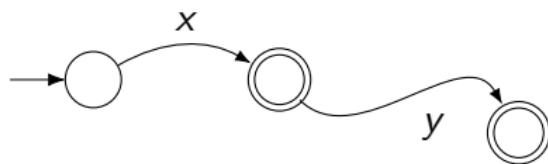
language  $L \quad x \in L, xy \in L$



$$K = \{ a^n b^n \mid n \geq 1 \} \cup \{ a^n b^m c^n \mid m, n \geq 1 \}$$

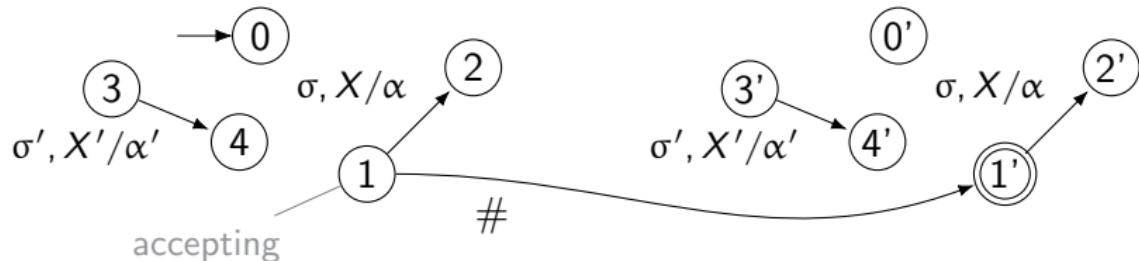
$\overline{a^n b^n}$      $\overline{a^n b^m}$      $\overline{c^n}$     different behaviour on  $b$ 's

$$\overline{\text{pre}(K)} = \dots$$



DCFL is closed under *pre*

$$\text{pre}(L) = \{ x\#y \mid x, xy \in L \}$$



$$M = (Q, \Sigma, \Gamma, q_0, Z_0, A, \delta) \quad \text{with } L = L(M)$$

$$\text{construct } M_1 = (Q_1, \Sigma \cup \{\#\}, \Gamma, q_1, Z_1, A_1, \delta_1) \quad \text{with } L(M_1) = \text{pre}(L)$$

-  $Q_1 = Q \cup Q'$  where  $Q' = \{ q' \mid q \in Q \}$  primed copy

-  $q_1 = q_0$ , -  $A_1 = A' = \{ q' \mid q \in A \}$

-  $\delta_1(p', \sigma, X) = \{ (q', \alpha) \mid (q, \alpha) \in \delta(p, \sigma, X) \}$  two copies

for all  $p \in A$ ,  $X \in \Gamma$ :  $\delta_1(p, \#, X) = \{ (p', X) \}$  move to primed copy

ABOVE

For  $K = \{ a^n b^n \mid n \geq 1 \} \cup \{ a^n b^m c^n \mid m, n \geq 1 \}$

we have  $\text{pre}(K) = K\# \cup \{ a^n b^n \# b^k c^n \mid n \geq 1, k \geq 0 \}$ .

This language is not context-free, but  $K$  is, and thus the context-free languages are not closed under  $\text{pre}$ .

Again, this construction works because (for deterministic automata) the computation on  $uv$  *must* extend the computation on  $u$ .

Note the construction might not be deterministic at accepting states in original  $Q$  (like node 1 in the diagram), if that node has an outgoing  $\Lambda$ -transition.

There is however a method that avoids  $\Lambda$ -transitions at accepting states.

Whenever the accepting state  $p$  has an outgoing transition  $(p, \Lambda, A, q, \alpha)$ , just predict the next letter  $\sigma$  read, and replace by all transitions  $(p, \sigma, A, (q, \sigma), \alpha)$ , where  $(q, \sigma)$  is a new state. Then keep simulating  $\Lambda$  transitions, until  $\sigma$  is read.

$$L = \{ a^i b^j \mid i \neq j \}$$

$$S \rightarrow X \mid Y \quad (\text{choice!})$$

$$X \rightarrow aXb \mid aX \mid a \quad (i > j)$$

$$Y \rightarrow aYb \mid Yb \mid b \quad (i < j)$$

$$S \Rightarrow X \Rightarrow aXb \Rightarrow aaXb \Rightarrow aaaXbb \Rightarrow aaaabb$$

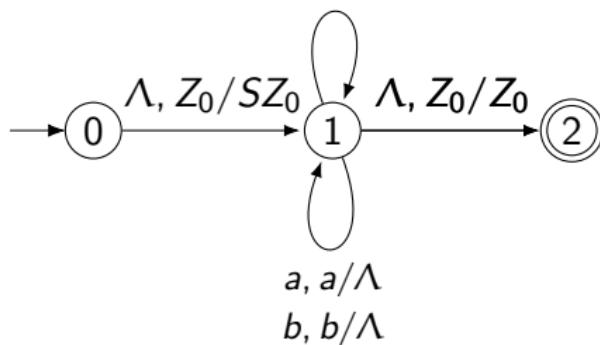
$$L = \{ a^i b^j \mid i \neq j \}$$

$$S \rightarrow X \mid Y \quad (\text{choice!})$$

$$X \rightarrow aXb \mid aX \mid a \quad (i > j)$$

$$Y \rightarrow aYb \mid Yb \mid b \quad (i < j)$$

$$\begin{array}{ll} \Lambda, S/X & \Lambda, S/Y \\ \Lambda, X/aXb & \Lambda, Y/aYb \\ \Lambda, X/aX & \Lambda, Y/Yb \\ \Lambda, X/a & \Lambda, Y/b \end{array}$$



CFG  $G = (V, \Sigma, S, P)$

## Definition (Nondeterministic Top-Down PDA)

$NT(G) = (Q, \Sigma, \Gamma, q_0, Z_0, A, \delta)$ , as follows:

- $Q = \{q_0, q_1, q_2\}$
  - $A = \{q_2\}$
  - $\Gamma = V \cup \Sigma \cup \{Z_0\}$
  - start  $\delta(q_0, \Lambda, Z_0) = \{(q_1, SZ_0)\}$
  - ***expand***  $\delta(q_1, \Lambda, A) = \{(q_1, \alpha) \mid A \rightarrow \alpha \text{ in } P\}$  for  $A \in V$
  - ***match***  $\delta(q_1, \sigma, \sigma) = \{(q_1, \Lambda)\}$  for  $\sigma \in \Sigma$
  - finish  $\delta(q_1, \Lambda, Z_0) = \{(q_2, Z_0)\}$  check empty stack

[M] Def 5.17

From lecture 8:

$$AeqB = \{ x \in \{a, b\}^* \mid n_a(x) = n_b(x) \}$$

*aaabbbb, ababab, aababb, ...*

$$S \rightarrow \Lambda \mid aB \mid bA$$

$$A \rightarrow aS \mid bAA$$

$$B \rightarrow bS \mid aBB$$

*A generates  $n_a(x) = n_b(x) + 1$*

*B generates  $n_a(x) + 1 = n_b(x)$*

$S \Rightarrow aB \Rightarrow aaB \color{blue}{B} \Rightarrow aab \color{green}{S} \color{blue}{B} \Rightarrow \dots$  (different options)

(1)  $aab \color{blue}{B} \Rightarrow aab \color{green}{a} BB \Rightarrow aab \color{green}{a} b SB \Rightarrow aab \color{green}{a} b B \Rightarrow aababbS \Rightarrow aababb$

(2)  $aaba \color{blue}{B} \color{blue}{B} \Rightarrow aabab \color{green}{S} B \Rightarrow aabab \color{green}{B} \color{blue}{B} \Rightarrow aababb \color{green}{b} S \Rightarrow aababb$

(2')  $aaba \color{blue}{B} \color{blue}{B} \Rightarrow aaba \color{green}{B} \color{blue}{b} S \Rightarrow aabab \color{green}{S} \color{blue}{b} S \Rightarrow aabab \color{green}{S} \color{blue}{b} \Rightarrow aababb$

[M] E 4.8

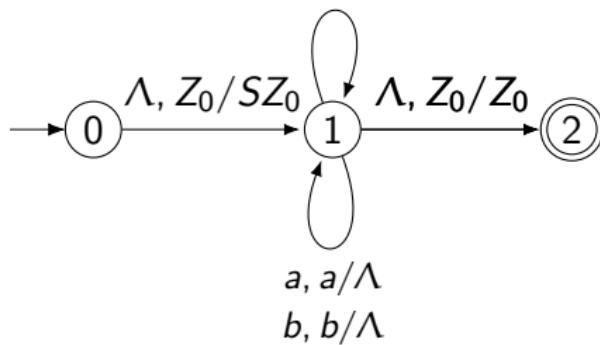
$$A \equiv B = \{ x \in \{a, b\}^* \mid n_a(x) = n_b(x) \}$$

$$S \rightarrow \Lambda \mid aB \mid bA$$

$$A \rightarrow aS \mid bAA$$

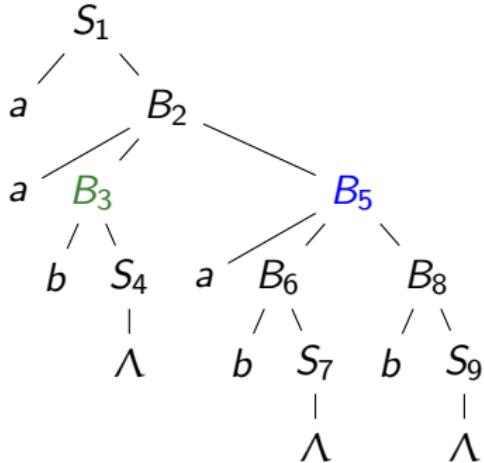
$$B \rightarrow bS \mid aBB$$

$$\begin{array}{ll} \Lambda, A/aS \\ \Lambda, S/\Lambda \quad \Lambda, A/bAA \\ \Lambda, S/aB \quad \Lambda, B/bS \\ \Lambda, S/bA \quad \Lambda, B/aBB \end{array}$$

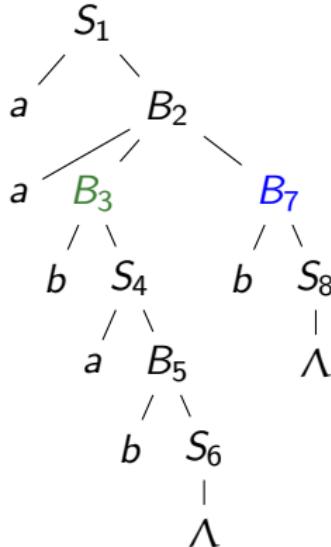


# Derivation tree & leftmost derivations

From lecture 8:



$S \Rightarrow aB \Rightarrow aaB B \Rightarrow aabSB \Rightarrow$   
 $aabB \Rightarrow aabaBB \Rightarrow aababSB \Rightarrow$   
 $aababB \Rightarrow aabbS \Rightarrow aabbabb$



$S \Rightarrow aB \Rightarrow aaB B \Rightarrow aabSB \Rightarrow$   
 $aabaBB \Rightarrow aababSB \Rightarrow aababB \Rightarrow$   
 $aababbS \Rightarrow aabbabb$

# Top-down = expand-match

$$AeqB = \{ x \in \{a, b\}^* \mid n_a(x) = n_b(x) \}$$

$$S \rightarrow \Lambda \mid aB \mid bA$$

$$A \rightarrow aS \mid bAA$$

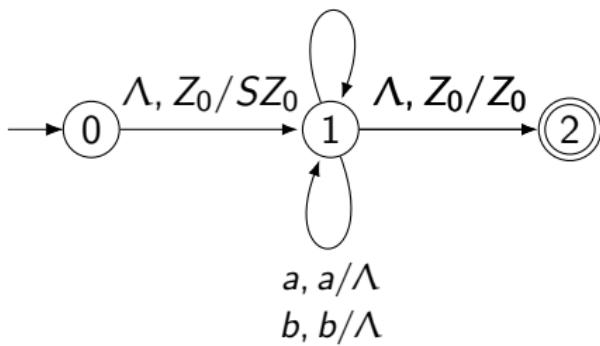
$$B \rightarrow bS \mid aBB$$

$$\Lambda, A/aS$$

$$\Lambda, S/\Lambda \quad \Lambda, A/bAA$$

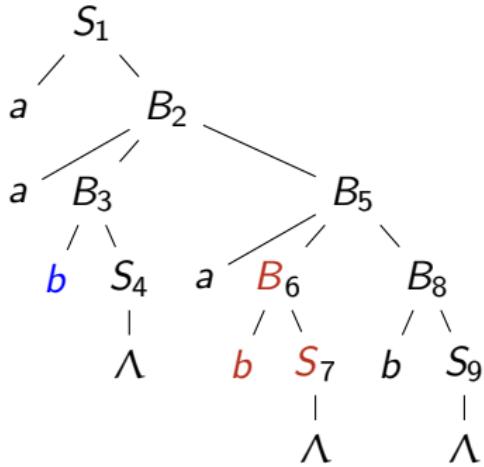
$$\Lambda, S/aB \quad \Lambda, B/bS$$

$$\Lambda, S/bA \quad \Lambda, B/aBB$$



$q_0$	$aababb$	$Z_0$	
$q_1$	$aababb$	$S Z_0$	$1 : S \rightarrow aB$
$q_1$	$aababb$	$aB Z_0$	match $a$
$q_1$	$a ababb$	$B Z_0$	$2 : B \rightarrow aBB$
$q_1$	$a ababb$	$aBB Z_0$	match $a$
$q_1$	$aa babb$	$BB Z_0$	$3 : B \rightarrow bS$
$q_1$	$aa babb$	$bSB Z_0$	match $b$
$q_1$	$aab abb$	$SB Z_0$	$4 : S \rightarrow \Lambda$
$q_1$	$aab abb$	$B Z_0$	$5 : B \rightarrow aBB$
$q_1$	$aab abb$	$aBB Z_0$	match $a$
$q_1$	$aaba bb$	$BB Z_0$	$6 : B \rightarrow bS$
$q_1$	$aaba bb$	$bSB Z_0$	match $b$
$q_1$	$aabab b$	$SB Z_0$	$7 : S \rightarrow \Lambda$
$q_1$	$aabab b$	$B Z_0$	$8 : B \rightarrow bS$
$q_1$	$aabab b$	$bS Z_0$	match $b$
$q_1$	$aababb$	$S Z_0$	$9 : S \rightarrow \Lambda$
$q_2$	$aababb$	$Z_0$	

# Top-down = expand-match



preorder: leftmost

$S \xrightarrow{\ell} aB \Rightarrow aaBB \Rightarrow aabSB \Rightarrow$   
 $aabB \Rightarrow aabaBB \Rightarrow aababSB \Rightarrow$   
 $aababB \Rightarrow aababbS \Rightarrow aababb$

$q_0$	$aababb$	$Z_0$
$q_1$	$aababb$	$S Z_0$
$q_1$	$aababb$	$aB Z_0$
$q_1$	$a ababb$	$B Z_0$
$q_1$	$a ababb$	$aBB Z_0$
$q_1$	$aa babb$	$BB Z_0$
$q_1$	$aa babb$	$bSB Z_0$
$q_1$	$aab abb$	$SB Z_0$
$q_1$	$aab abb$	$B Z_0$
$q_1$	$aab abb$	$aBB Z_0$
$q_1$	$aaba bb$	$BB Z_0$
$q_1$	$aaba bb$	$bSB Z_0$
$q_1$	$aabab b$	$SB Z_0$
$q_1$	$aabab b$	$B Z_0$
$q_1$	$aabab b$	$bS Z_0$
$q_1$	$aababb$	$S Z_0$
$q_1$	$aababb$	$Z_0$
$q_2$	$aababb$	$Z_0$

## Theorem

If  $G$  is a context-free grammar, then the nondeterministic top-down PDA  $NT(G)$  accepts the language  $L(G)$ .

**Proof:**  $L(G) \subseteq L(NT(G))\dots$

The details of the proof in the other direction do not have to be known for the exam.

[M] Th 5.18



One leftmost derivation step:

$$y_i A_i \alpha_i \Rightarrow y_i \beta_i \alpha_i = y_i x_{i+1} A_{i+1} \alpha_{i+1}$$

With  $y_i = x_0 x_1 \dots x_i$ :

$$x_0 x_1 \dots x_i A_i \alpha_i \Rightarrow x_0 x_1 \dots x_i \beta_i \alpha_i = x_0 x_1 \dots x_i x_{i+1} A_{i+1} \alpha_{i+1}$$

Complete leftmost derivation:

$$\begin{aligned} S &= x_0 A_0 \alpha_0 \\ \Rightarrow &x_0 x_1 A_1 \alpha_1 \\ \Rightarrow &x_0 x_1 x_2 A_2 \alpha_2 \\ \Rightarrow &\dots \\ \Rightarrow &x_0 x_1 x_2 \dots x_m A_m \alpha_m \\ \Rightarrow &x_0 x_1 x_2 \dots x_m x_{m+1} = x \end{aligned}$$

# Bottom-up = shift-reduce

$$AeqB = \{ x \in \{a, b\}^* \mid n_a(x) = n_b(x) \}$$

$$S \rightarrow \Lambda \mid aB \mid bA$$

$$A \rightarrow aS \mid bAA$$

$$B \rightarrow bS \mid aBB$$

	stack <sup>r</sup>	input	
$q_0$	$Z_0$	$aababb$	shift $a$
$q_0$	$Z_0 a$	$a ababb$	shift $a$
$q_0$	$Z_0 aa$	$aa babb$	shift $b$
$q_0$	$Z_0 aab$	$aab abb$	$1 : S \rightarrow \Lambda$
$q_0$	$Z_0 aabS$	$aab abb$	$2 : B \rightarrow bS$
$q_0$	$Z_0 aaB$	$aab abb$	shift $a$
$q_0$	$Z_0 aaBa$	$aaba bb$	shift $b$
$q_0$	$Z_0 aaBab$	$aabab b$	$3 : S \rightarrow \Lambda$
$q_0$	$Z_0 aaBabS$	$aabab b$	$4 : B \rightarrow bS$
$q_0$	$Z_0 aaBaB$	$aabab b$	shift $b$
$q_0$	$Z_0 aaBaBb$	$aababb$	$5 : S \rightarrow \Lambda$
$q_0$	$Z_0 aaBaBbS$	$aababb$	$6 : B \rightarrow bS$
$q_0$	$Z_0 aaBaBB$	$aababb$	$7 : B \rightarrow aBB$
$q_0$	$Z_0 aaBB$	$aababb$	$8 : B \rightarrow aBB$
$q_0$	$Z_0 aB$	$aababb$	$9 : S \rightarrow aB$
$q_0$	$Z_0 S$	$aababb$	
$q_1$	$Z_0$	$aababb$	
$q_2$	$Z_0$	$aababb$	



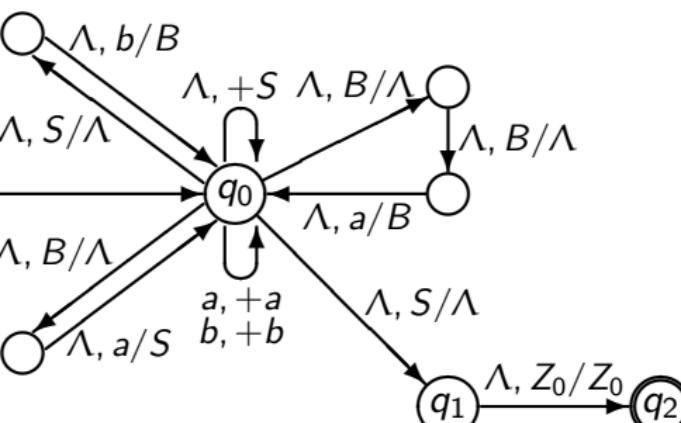
# Bottom-up = shift-reduce

$$AeqB = \{ x \in \{a, b\}^* \mid n_a(x) = n_b(x) \}$$

$$S \rightarrow \Lambda \mid aB \mid bA$$

$$A \rightarrow aS \mid bAA$$

$$B \rightarrow bS \mid aBB$$



+ states/transitions for other productions

stack <sup>r</sup>	input
$Z_0$	$aababb$ shift $a$
$Z_0 a$	$a ababb$ shift $a$
$Z_0 aa$	$aa babb$ shift $b$
$Z_0 aab$	$aab abb$ $1 : S \rightarrow \Lambda$
$Z_0 aabS$	$aab abb$ $2 : B \rightarrow bS$
$Z_0 aaB$	$aab abb$ shift $a$
$Z_0 aaBa$	$aaba bb$ shift $b$
$Z_0 aaBab$	$aabab b$ $3 : S \rightarrow \Lambda$
$Z_0 aaBabS$	$aabab b$ $4 : B \rightarrow bS$
$Z_0 aaBaB$	$aabab b$ shift $b$
$Z_0 aaBaBb$	$aababb$ $5 : S \rightarrow \Lambda$
$Z_0 aaBaBbS$	$aababb$ $6 : B \rightarrow bS$
$Z_0 aaBaBB$	$aababb$ $7 : B \rightarrow aBB$
$Z_0 aaBB$	$aababb$ $8 : B \rightarrow aBB$
$Z_0 aB$	$aababb$
$Z_0 S$	$aababb$
$q_1$	$aababb$
$q_2$	$aababb$