

Homework 4! (probably Tuesday)

From lecture 11:

$$M = (Q, \Sigma, \Gamma, q_0, Z_0, A, \delta)$$

Definition

Language accepted by M by *empty stack*

$$L_e(M) = \{ x \in \Sigma^* \mid (q_0, x, Z_0) \vdash^* (q, \Lambda, \Lambda) \text{ for some state } q \in Q \}$$

[M] D 5.27

Theorem

If M is a PDA then there is a PDA M_1 such that $L_e(M_1) = L(M)$.

Sketch of proof...

[M] Th 5.28

From lecture 11:

Exercise 5.21.

Prove the converse of Theorem 5.28:

If there is a PDA $M = (Q, \Sigma, \Gamma, q_0, Z_0, A, \delta)$ accepting L by empty stack (that is, $x \in L$ if and only if $(q_0, x, Z_0) \vdash_M^* (q, \Lambda, \Lambda)$ for some state q), then there is a PDA M_1 accepting L by final state (i.e., the ordinary way).

Theorem

If $L = L_e(M)$ is the empty stack language of PDA M , then there exists a CFG G such that $L = L(G)$.

[M] Th 5.29

$$M = (Q, \Sigma, \Gamma, q_0, Z_0, A, \delta)$$

Theorem

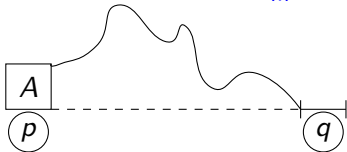
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[M] Th 5.29

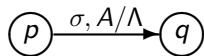
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triplet construction

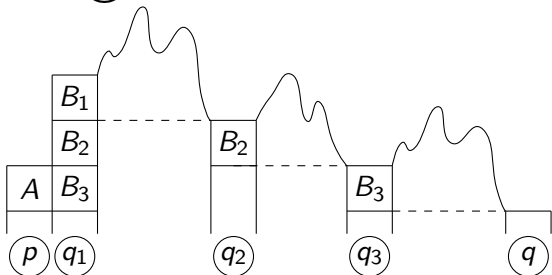
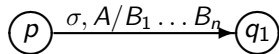
nonterminals $[p, A, q]$ $p, q \in Q, A \in \Gamma$
 $[p, A, q] \Rightarrow_G^* w$ iff $(p, w, A) \vdash_M^* (q, \Lambda, \Lambda)$



– productions



$[p, A, q] \rightarrow \sigma$ for $(q, \Lambda) \in \delta(p, \sigma, A)$



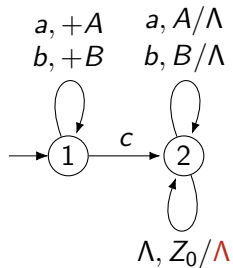
$[p, A, q] \rightarrow \sigma [q_1, B_1, q_2][q_2, B_2, q_3] \dots [q_n, B_n, q]$
for $(q_1, B_1 \dots B_n) \in \delta(p, \sigma, A)$, and $q, q_2, \dots, q_n \in Q$

$S \rightarrow [q_0, Z_0, q]$ for all $q \in Q$

N.B.: σ may also be Λ

Construction from PDA to CFG, and the intuition behind it, must be known for the exam.

The details of the proof that $L(G) = L_e(M)$ do not have to be known for the exam.

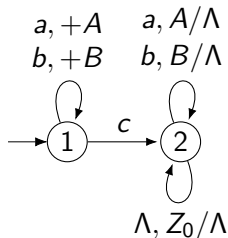


check stack

$$L_e(M) = \text{SimplePal} = \{ w c w^r \mid w \in \{a, b\}^* \}$$

12 transitions \Rightarrow 33 (+2) productions (!)

$$X \in \{A, B, Z_0\}$$



$$\delta(1, a, X) = \{(1, AX)\}$$

$$\delta(1, b, X) = \{(1, BX)\}$$

$$\delta(1, c, X) = \{(2, X)\}$$

$$\delta(2, a, A) = \{(2, \Lambda)\}$$

$$\delta(2, b, B) = \{(2, \Lambda)\}$$

$$\delta(2, \Lambda, Z_0) = \{(2, \Lambda)\}$$

$$S \rightarrow [1, Z_0, 1] \mid [1, Z_0, 2]$$

$$[1, X, 1] \rightarrow a [1, A, 1][1, X, 1]$$

$$[1, X, 1] \rightarrow a [1, A, 2][2, X, 1]$$

$$[1, X, 2] \rightarrow a [1, A, 1][1, X, 2]$$

$$[1, X, 2] \rightarrow a [1, A, 2][2, X, 2]$$

...

$$[1, X, 1] \rightarrow c [2, X, 1]$$

$$[1, X, 2] \rightarrow c [2, X, 2]$$

$$[2, A, 2] \rightarrow a$$

$$[2, B, 2] \rightarrow b$$

$$[2, Z_0, 2] \rightarrow \Lambda$$

not 'live'

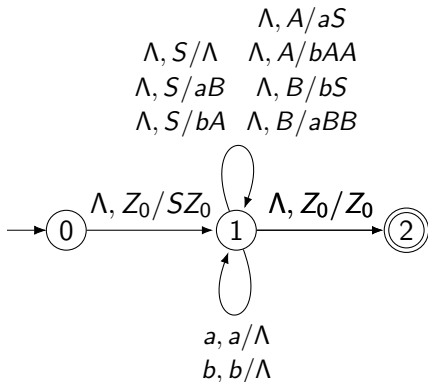
From lecture 11:

$$\text{AeqB} = \{ x \in \{a, b\}^* \mid n_a(x) = n_b(x) \}$$

$$S \rightarrow \Lambda \mid aB \mid bA$$

$$A \rightarrow aS \mid bAA$$

$$B \rightarrow bS \mid aBB$$



5.5. Parsing: make PDA (more) deterministic by looking ahead one symbol in input.

See Compiler Construction

Section 6

Context-Free and Non-Context-Free Languages

- 6 Context-Free and Non-Context-Free Languages
 - Pumping Lemma
 - Decision problems

Pumping lemma for regular languages

From lecture 2:

Regular language is language accepted by an FA.

Theorem

Suppose L is a language over the alphabet Σ . If L is accepted by a finite automaton M , and if n is the number of states of M , then

\forall for every $x \in L$

satisfying $|x| \geq n$

\exists there are three string u , v , and w ,

such that $x = uvw$ and the following three conditions are true:

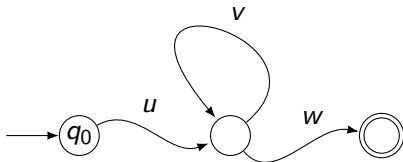
(1) $|uv| \leq n$,

(2) $|v| \geq 1$

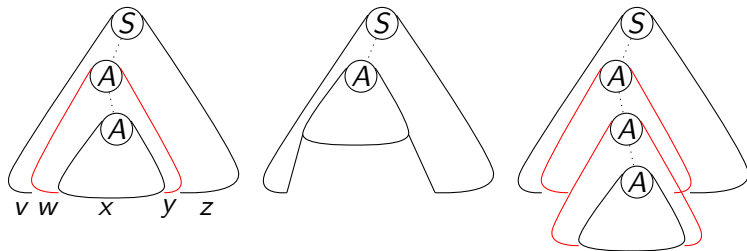
\forall and (3) for all $i \geq 0$, $uv^i w$ belongs to L

[M] Thm. 2.29

From lecture 2:

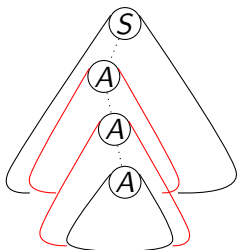
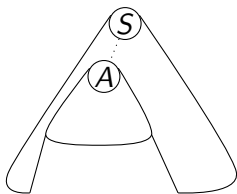
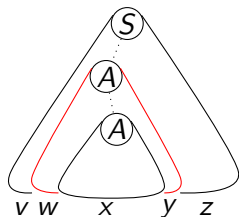


[M] Fig. 2.28



$$S \Rightarrow^* vAz \Rightarrow^* vwAyz \Rightarrow^* vwxyz, v, w, x, y, z \in \Sigma^*$$

$$S \xRightarrow{(1)}^* vAz, A \xRightarrow{(2)}^* wAy, A \xRightarrow{(3)}^* x$$



$$S \xRightarrow{(1)}^* vAz \xRightarrow{(3)}^* vxz$$

$$S \xRightarrow{(1)}^* vAz \xRightarrow{(2)}^* vwAyz \xRightarrow{(2)}^* vwwAyyz \xRightarrow{(3)}^* vwwxyyz$$

Theorem (Pumping Lemma for context-free languages)

- ∀ for every context-free language L
- ∃ there exists a constant $n \geq 2$
such that
- ∀ for every $u \in L$
with $|u| \geq n$
- ∃ there exists a decomposition $u = vwxyz$
such that
 - (1) $|wy| \geq 1$
 - (2) $|wxy| \leq n$,
- ∀ (3) for all $m \geq 0$, $vw^mxy^mz \in L$

[M] Thm. 6.1

Example

$AnBnCn$ is not context-free.

[M] E 6.3

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If $L = L(G)$ with G in ChNF, then $n = 2^{|V|}$.

Proof...

[M] Thm. 6.1

From lecture 9:

Definition

CFG in *Chomsky normal form*

productions are of the form

- $A \rightarrow BC$ variables A, B, C
- $A \rightarrow \sigma$ variable A , terminal σ

Theorem

For every CFG G there is CFG G_1 in CNF such that $L(G_1) = L(G) - \{\Lambda\}$.

[M] Def 4.29, Thm 4.30

Theorem (Pumping Lemma for context-free languages)

[M] Thm. 6.1

Proof

Let G be CFG in Chomsky normal form with $L(G) = L - \{\Lambda\}$.

Derivation tree in G is binary tree

(where each parent of a leaf node has only one child).

Height of a tree is number of edges in longest path from root to leaf node.

At most 2^h leaf nodes in binary tree of height h : $|u| \leq 2^h$.

Theorem (Pumping Lemma for context-free languages)

[M] Thm. 6.1

Proof (continued)

At most 2^h leaf nodes in binary tree of height h : $|u| \leq 2^h$.

Let p be number of variables in G ,

let $n = 2^p$

and let $u \in L(G)$ with $|u| \geq n$.

(Internal part of) derivation tree of u in G has height at least p .

Hence, longest path in (internal part of) tree contains at least $p + 1$ (internal) nodes.

Consider final portion of longest path in derivation tree.

(leaf node + $p + 1$ internal nodes),

with ≥ 2 occurrences of a variable A .

Pump up derivation tree, and hence u .

Application of pumping lemma:

mainly to prove that a language L **cannot** be generated by a context-free grammar.

How?

Find a string $u \in L$ with $|u| \geq n$ that cannot be pumped up!

What is n ?

What should u be?

What can v , w , x , y and z be?

What should m be?

**Suppose that there exists context-free grammar G with $L(G) = L$.
Let $n \geq 2$ be the integer from the pumping lemma.**

We prove:

There exists $u \in L$ with $|u| \geq n$, such that
for every five strings v, w, x, y and z such that $u = vwxyz$

if

1. $|wy| \geq 1$
2. $|wxy| \leq n$

then

3. there exists $m \geq 0$, such that vw^mxy^mz **does not** belong to L

Example

$AnBnCn$ is not context-free.

[M] E 6.3

$$u = a^n b^n c^n$$

$$\{ x \in \{a, b, c\}^* \mid n_a(x) = n_b(x) = n_c(x) \}$$

Example

XX is not context-free.

[M] E 6.4

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Example

XX is not context-free.

[M] E 6.4

$$u = a^n b^n a^n b^n$$

$$\{ a^i b^j a^i b^j \mid i, j \geq 0 \}$$

Example

$\{ x \in \{a, b, c\}^* \mid n_a(x) < n_b(x) \text{ and } n_a(x) < n_c(x) \}$ is not context-free.

[M] E 6.5

ABOVE

$L = \{ x \in \{a, b, c\}^* \mid n_a(x) < n_b(x) \text{ and } n_a(x) < n_c(x) \}$ is not context-free.

Proof by contradiction.

Suppose L is context-free, then there exists a pumping constant n for L .

Choose $u = a^n b^{n+1} c^{n+1}$. Then $u \in L$, and $|u| \geq n$.

This means that we can pump u within the language L .

Consider a decomposition $u = vwxyz$ that satisfies the pumping lemma, in particular $|wxy| \leq n$.

Case 1: wy contains a letter a . Then wy cannot contain letter c (otherwise $|wxy| > n$). Now $u_2 = vw^2xy^2z$ contains more a 's than u , so at least $n+1$, while u_2 still contains $n+1$ c 's. Hence $u_2 \notin L$.

Case 2: wy contains no a . Then wy contains at least one b or one c (or both). Then $u_0 = vw^0xy^0z = vxz$ has still n a 's, but less than $n+1$ b 's or less than $n+1$ c 's (depending on which letter is in wy). Hence $u_0 \notin L$.

These are two possibilities for the decomposition $vwxyz$, in both cases we see that pumping leads out of the language L .

Hence u cannot be pumped.

Contradiction; so L is not context-free.

Example

The Set of Legal C Programs is Not a CFL

[M] E 6.6

Choose $u =$

```
main(){int aaa...a;aaa...a=aaa...a;}
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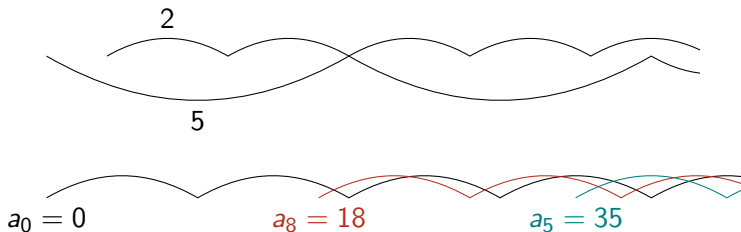
where $aaa...a$ contains $n + 1$ a's

Applying the Pumping Lemma (2)

Lemma (☒)

$L \subseteq \{a\}^*$ context-free, then L regular.

[M] Exercise 6.23



This exercise does not have to be known for the exam.