

Datastructuren

Data Structures

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- 5 Priority Queues
 - ADT Priority Queue
 - Binary Heaps
 - Leftist heaps
 - Double-ended Priority Queues

abstract data structure

Definition

An *abstract data structure* (ADT) is a specification of the *values* stored in the data structure as well as a *description* (and signatures) of the operations that can be performed.

- no *representation* or *implementation* in ADT
- “mathematical model”

seen: ADT dictionary = map = associative array

Stores a set of (key,value) pairs

- **INITIALIZE**, **ISEMPTY**, **SIZE**
- **INSERT**: add (key,value) pair, provided key is not yet present
- **DELETE**: deletes (key,value) pair, given the key
- **FIND**: returns the value associated to a given key
- **SET**: reassigns a new value to a (existing) given key

implementations: list, (balanced) *binary search tree*,
or *hash table* “unordered”

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ADT priority queue

- **INITIALIZE**: construct an empty queue.
- **ISEMPTY**: check whether there are any elements in the queue.
- **SIZE**: returns the number of elements.
- **INSERT**: given a data element with its priority, it is added to the queue
- **DELETEMAX**: returns a data element with maximal priority, and deletes it.
- **GETMAX**: returns a data element with maximal priority.

additionally

- **INCREASEKEY**: given an element *with its position in the queue* it is assigned a higher priority.
- **MELD**, or Union: takes two priority queues and returns a new priority queue containing the data elements from both.

dictionary vs. priority queue

set of (key,value) pairs

{ ('Detra',17), ('Nova',84), ('Charlie',22), ('Henry',75), ('Elsa',29) }

based on key

map/dictionary:

Insert('Roxanne',92)

Delete('Detra')

Find('Elsa') returns 29

Set('Henry',76)

based on value

priority queue:

Insert('Roxanne',92)

DeleteMax()

GetMax() returns ('Nova',84)

min & max queues

max-queue \geq

INITIALIZE, ISEMPTY, SIZE, INSERT, DELETEMAX, GETMAX,
INCREASEKEY, MELD

min-queue \leq

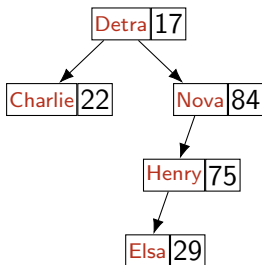
INITIALIZE, ISEMPTY, SIZE, INSERT, DELETEMIN, GETMIN,
DECREASEKEY, MELD

- even opletten welke ordening
- tekenen alleen prioriteit (vergeten de data)

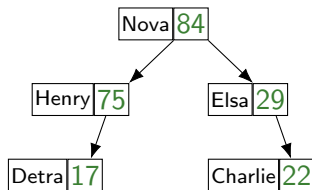
priority queue - use cases

- sorting (heapsort)
- graph algorithms (Dijkstra shortest path, Prim's algorithm)
- compression (Huffman)
- operating systems: task queue, print job queue
- discrete event simulation

keys and values stored in tree

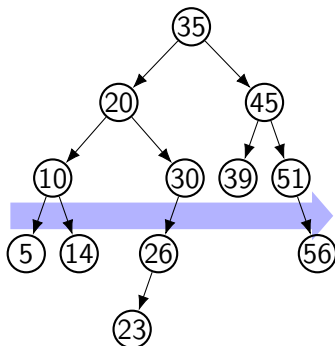


search tree/dictionary
ordered on **key**
examples: only keys given
(usually numbers)

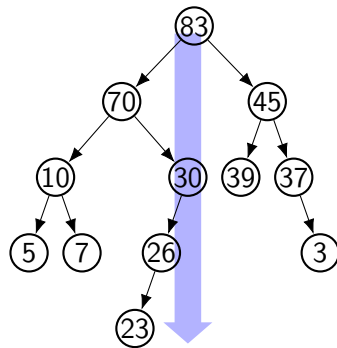


heap order/priority queue
ordered on **priority**
examples: only priorities given

ordering keys



search tree



heap order

implementations

worst case complexity

	Binary	Leftist	Pairing	Fibonacci	Brodal
GETMAX	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$
INSERT	$\mathcal{O}(\log n)$	$\Theta(\log n)$	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$
DELETEMAX	$\Theta(\log n)$	$\Theta(\log n)$	$\mathcal{O}(\log n)^\dagger$	$\mathcal{O}(\log n)^\dagger$	$\mathcal{O}(\log n)$
INCREASEKEY	$\Theta(\log n)$	$\Theta(\log n)$	$\mathcal{O}(\log n)^\dagger$	$\Theta(1)^\dagger$	$\Theta(1)$
MELD	$\Theta(n)$	$\Theta(\log n)$	$\Theta(1)$	$\Theta(1)$	$\Theta(1)$

† amortized complexity

also: Binomial, Weak heap, soft heap, rank-pairing, strict Fibonacci, 2-3-heap, ...

two prio-queue implementations

	binary heap	leftist heap
<i>structure</i>		binary tree
<i>restriction</i>	complete	leftist
<i>keys</i>	heap ordered	
<i>representation</i>	array	pointers
<i>internal</i>	trickledown bubbleup	zip
<i>advantage</i>	heapsort	efficient meld

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STL container classes

helper: pair

sequences: *contiguous:* array (fixed length),
vector (flexible length),
deque (double ended),
linked: forward_list (single), list (double)

adaptors: based on one of the sequences:
stack (LIFO), queue (FIFO),
based on *binary heap:* priority_queue

associative: based on balanced trees:
set, map, multiset, multimap

unordered: based on hash table:
unordered_set, unordered_map,
unordered_multiset,
unordered_multimap

binary heap

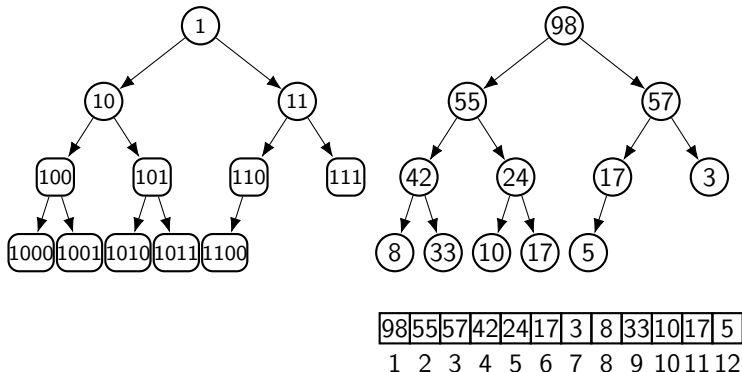
Definition

A *binary heap* is a *complete binary tree* with elements from a partially ordered set, such that the element at every node is less than (or equal to) the element at its left child and the element at its right child (*heap order*).

- (structure) *complete* binary tree
- (placement keys) *heap order*

representing binary tree with an array

root at index 1, left/right child i at index $2i/2i+1$.



works well for *complete binary trees*
waste of space when 'missing' nodes

binary heap: three levels

- 1 *functioning*: abstract (priority queue)
- 2 *understanding*: binary tree
- 3 *implementation*: array

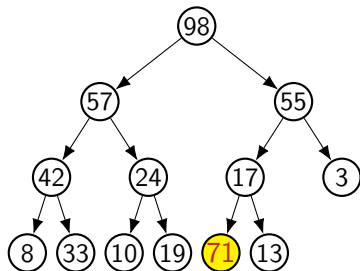
internal operations (change key at position) as binary tree:

- **BUBBLEUP**: Swap an element (given by its position in the heap) with its father until it has a priority that is less than that of its father (or is at the root).
- **TRICKLEDOWN**: Swap an element (given by its position in the heap) with the largest of its children, until it has a priority that is larger than that of both children.

“To add an element to a heap we must perform an *up-heap* operation (also known as *bubble-up*, *percolate-up*, *sift-up*, *trickle-up*, *swim-up*, *heapify-up*, or *cascade-up*), ...”
What's in a name? [Wikipedia]

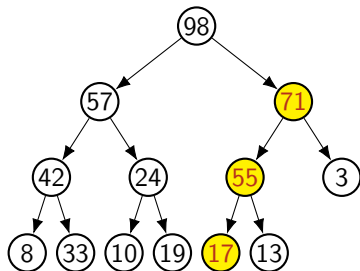
bubble up

swap with parent until heap-ordered



X

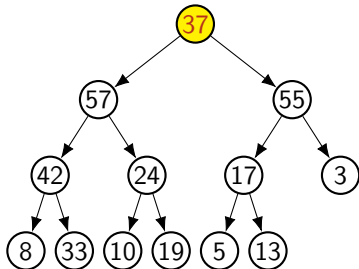
98	57	55	42	24	17	3	8	33	10	19	71	13
1	2	3	4	5	6	7	8	9	10	11	12	13



98	57	71	42	24	55	3	8	33	10	19	17	13
1	2	3	4	5	6	7	8	9	10	11	12	13

trickle down

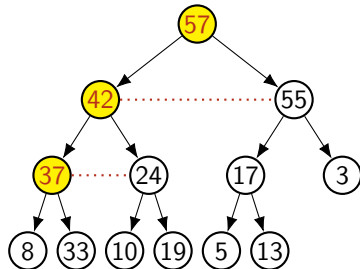
swap with *largest* child until heap-ordered



X

37	57	55	42	24	17	3	8	33	10	19	5	13
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1 2 3 4 5 6 7 8 9 10 11 12 13



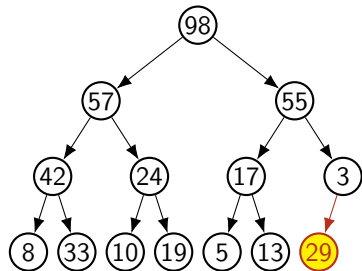
57	42	55	37	24	17	3	8	33	10	19	5	13
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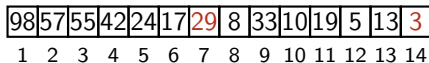
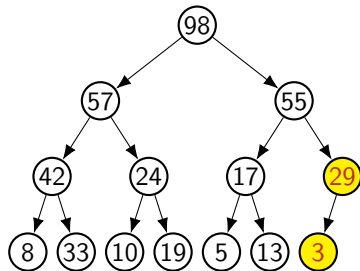
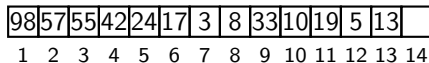
heap: implementing priority queue operations

- **INSERT**: add the new element at the next available position at the complete tree, then **BUBBLEUP**.
- **GETMAX**: the maximal element is present at the root of the tree.
- **DELETEMAX**: replace the root of the tree by the last element (in level order) of the tree. That element can be moved to its proper position using **TRICKLEDOWN**.
- **INCREASEKEY**: use **BUBBLEUP**.

insert 29

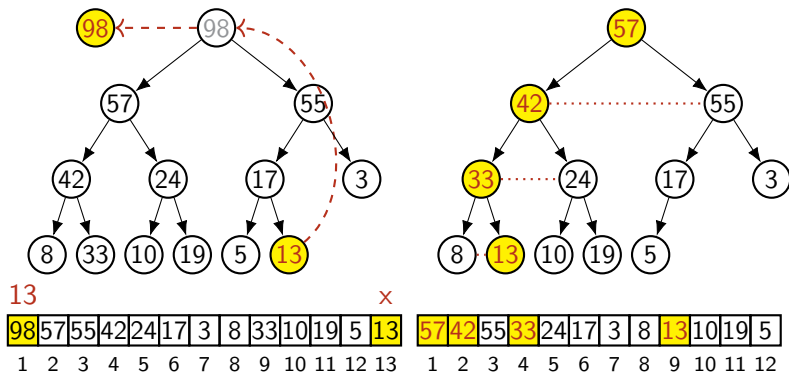


29



insert: add as last element, then BubbleUp

delete max

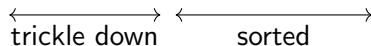
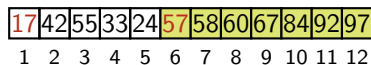
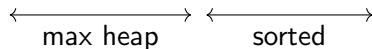
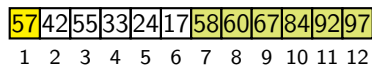


DeleteMax: move last element to first/root, TrickleDown

heapsort

array: heap + partially sorted

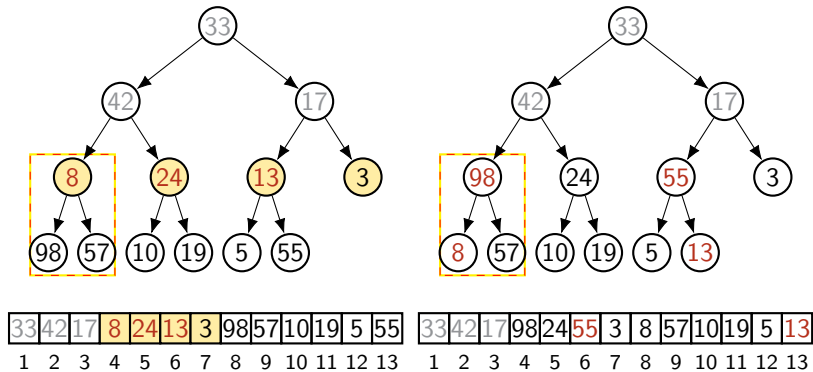
– move max heap to sorted part



– first step: build the heap (linear time)

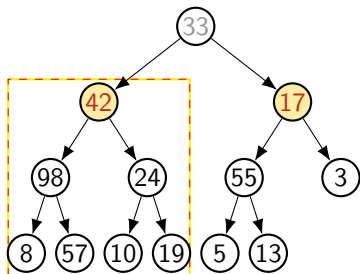
- **MAKEHEAP**: Given an array, reorder its elements so that the array is a binary heap. aka **HEAPIFY**

heapify (1)

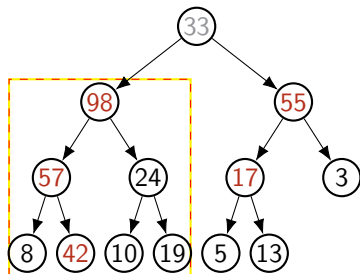


TrickleDown new key: swap with parent until heap-ordered

heapify (2)

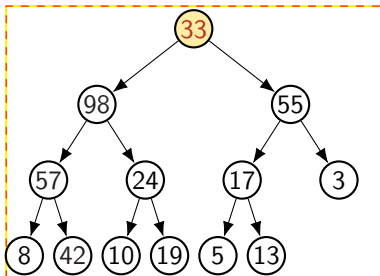


33	42	17	98	24	55	3	8	57	10	19	5	13
1	2	3	4	5	6	7	8	9	10	11	12	13



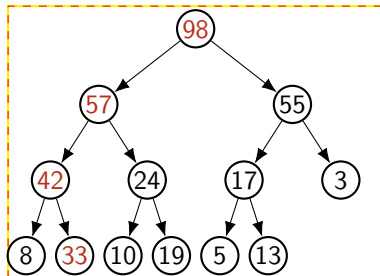
33	98	55	57	24	17	3	8	42	10	19	5	13
1	2	3	4	5	6	7	8	9	10	11	12	13

heapify (3)



33	98	55	57	24	17	3	8	42	10	19	5	13
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1 2 3 4 5 6 7 8 9 10 11 12 13

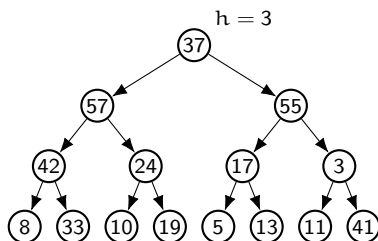


98	57	55	42	24	17	3	8	33	10	19	5	13
----	----	----	----	----	----	---	---	----	----	----	---	----

1 2 3 4 5 6 7 8 9 10 11 12 13

complexiteit

ℓ	2^ℓ	topdn $2^\ell \cdot \ell$	botup	sum $2^\ell \cdot h$
0	1	1 · 0	1 · 5	1 · 5
1	2	2 · 1	2 · 4	2 · 5
2	4	4 · 2	4 · 3	4 · 5
3	8	8 · 3	8 · 2	8 · 5
4	16	16 · 4	16 · 1	16 · 5
$h = 5$	32	32 · 5	32 · 0	32 · 5
Σ	63	258	57	315
				$63 \cdot 5$



complexity heapify

Lemma

$$\sum_{d=0}^h 2^d = 2^{h+1} - 1$$

$$\sum_{d=0}^h d 2^d = (h-1)2^{h+1} + 2$$

L levels, each level 2^ℓ keys, total $n = 2^L - 1$ keys

top-down (fout)

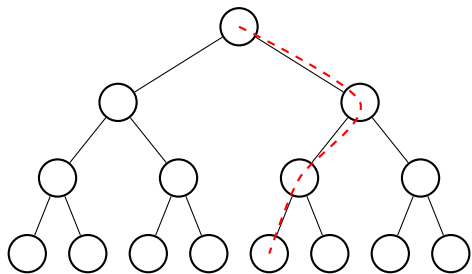
$$\sum_{\ell=0}^{L-1} 2^\ell \ell = (L-2)2^L + 2 = n \lg n \quad (\text{ongeveer})$$

bottom-up (goed)

$$\sum_{\ell=0}^{L-1} 2^\ell (L-1-\ell) = \sum_{\ell=0}^{L-1} 2^\ell (L-1) - \sum_{\ell=0}^{L-1} 2^\ell \ell = 2^L - L - 1$$

which is $\Theta(n)$

visueel bewijs



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leftist heaps

$npl(x)$ *nil path length*, shortest distance to external leaf

$$npl(x) = 1 + \min\{ npl(\text{left}(x)), npl(\text{right}(x)) \}$$

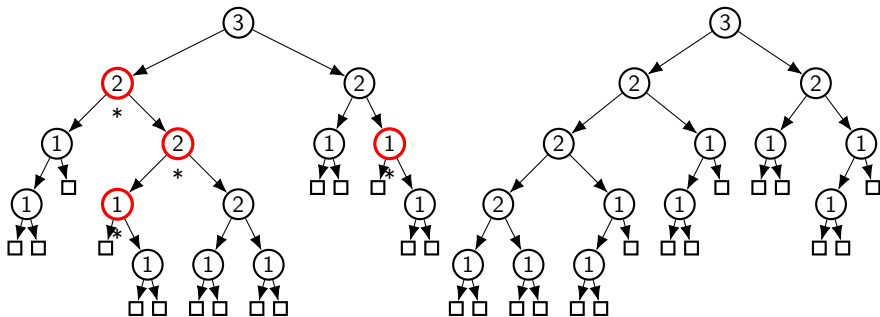
Definition

A *leftist tree* is an (extended) binary tree where for each internal node x , $npl(\text{left}(x)) \geq npl(\text{right}(x))$.

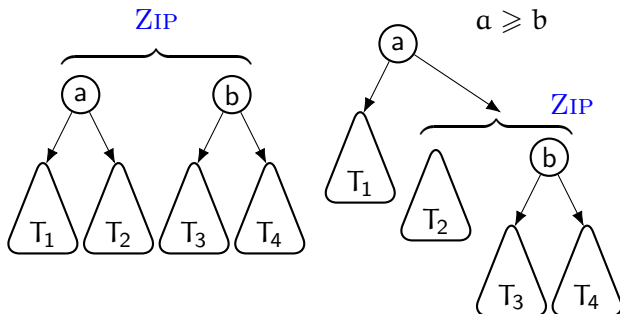
A *leftist heap* is a leftist tree where the priorities satisfy the heap order.

structure vs. node order

leftist tree (structure)

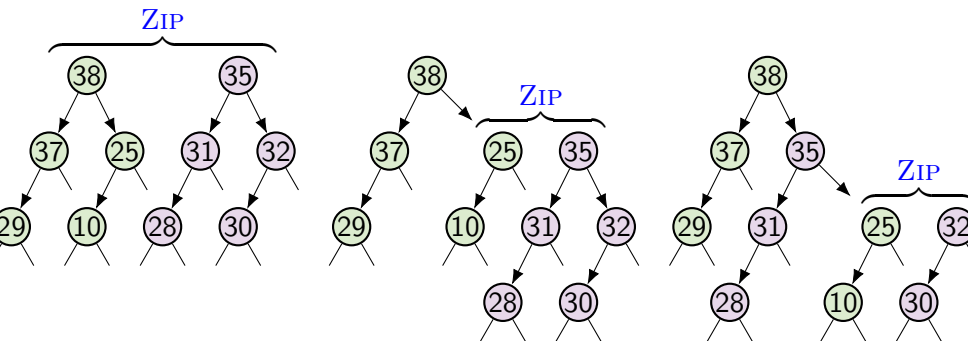


basic operation: Zip

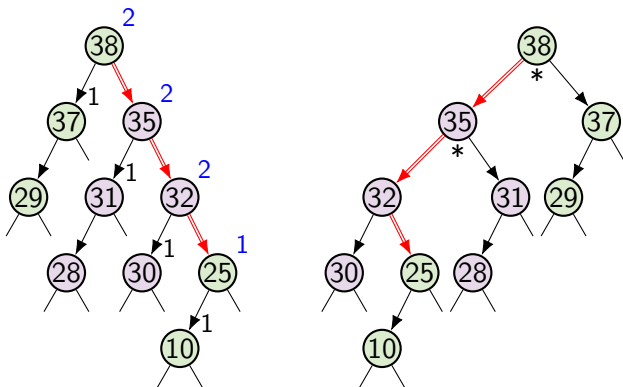


1. combine leftist heaps recursively as shown above
2. swap children at nodes where $npl(\text{left}(x)) < npl(\text{right}(x))$

example: (1) zipping trees recursively



(2) restructuring leftist property (bottom-up)

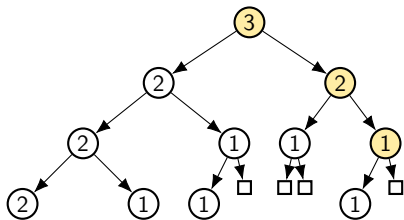


short rightmost path ...

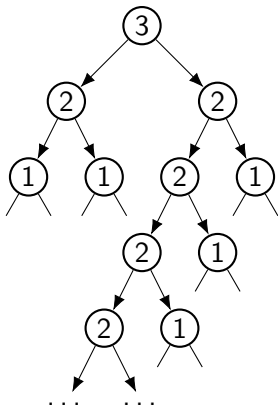
Lemma

Let T be a leftist tree with root v such that $\text{npl}(v) = k$, then

- (1) T contains at least $2^k - 1$ (internal) nodes, and
- (2) the rightmost path in T has exactly k (internal) nodes.



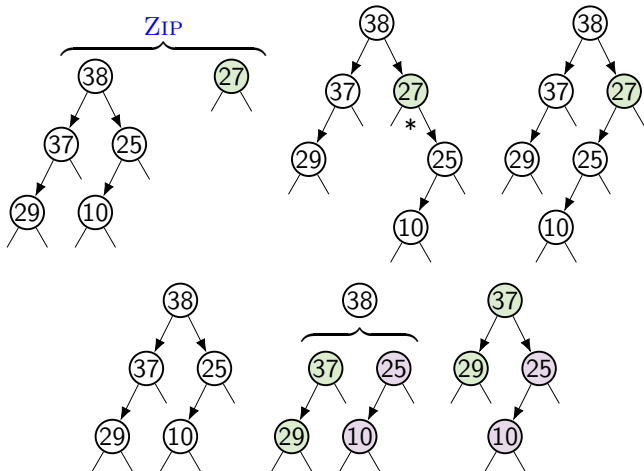
... but no bound other paths



priority queue operations

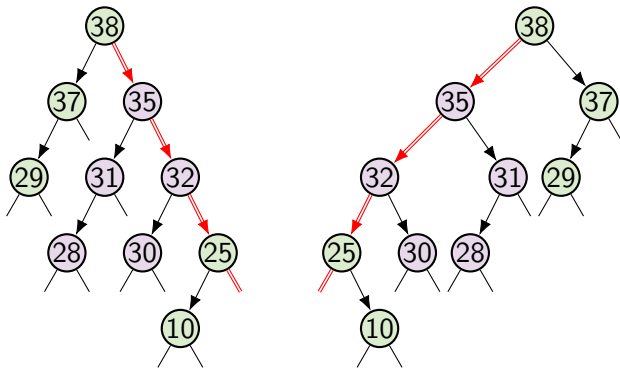
- **INSERT**: construct a single node tree and **ZIP** with the original tree.
- **GETMAX**: the maximal element is present at the root of the tree.
- **DELETEMAX**: delete the node at the root, **ZIP** the two subtrees of the root into a new tree.
- **MELD**: is performed by a **ZIP**.
- **INCREASEKEY**: cut the node with its subtree, repair npl remaining tree, **ZIP** the two trees. (tricky)

example heap operations



skew heap ☒

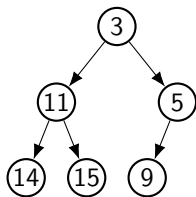
self-adjusting heap. skew merge: always swap left and right.



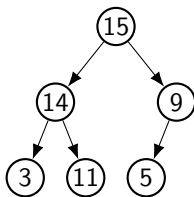
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dual structure

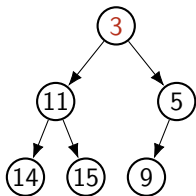


maxpos	4	5	6	2	1	3	
val	3	11	5	14	15	9	-
pos	1	2	3	4	5	6	7



minpos	5	4	6	1	2	3	
val	15	14	9	3	11	5	-
pos	1	2	3	4	5	6	7

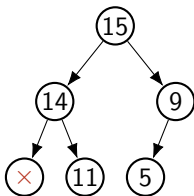
- pointer from min-heap item to same item in max-heap
- Insertion: as in ordinary heap, but twice: once in each heap
- Deletion: find item to delete in other heap using pointer, move last element to that position and do BubbleUp



4 5 6 2 1 3

3	11	5	14	15	9	-
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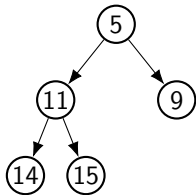
1 2 3 4 5 6 7



5 4 6 1 2 3

15	14	9	3	11	5	-
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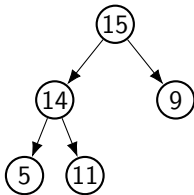
1 2 3 4 5 6 7



4 5 6 2 1

5	11	9	14	15	-	-
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1 2 3 4 5 6 7

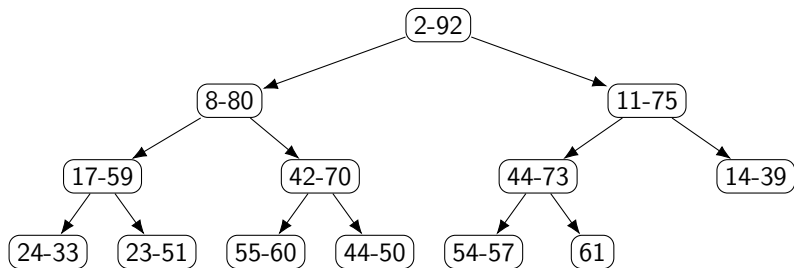


5 4 3 1 2

15	14	9	5	11	-	-
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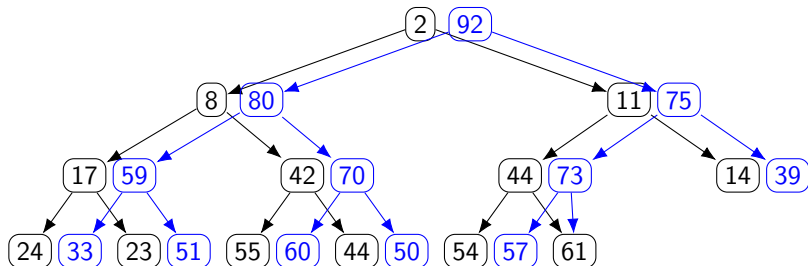
1 2 3 4 5 6 7

interval heap

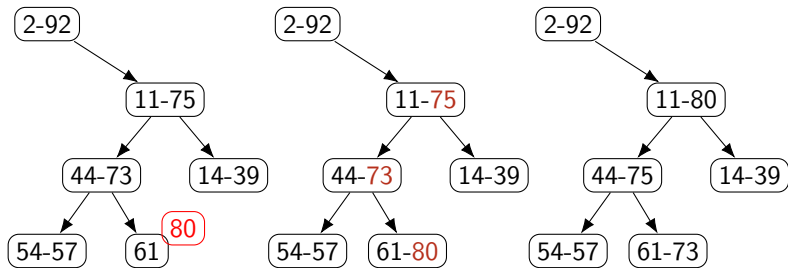


- nodes contain *two* items (minVal, maxVal) “intervals”
- child interval is subset of parent interval $[8, 80] \subseteq [2, 92]$

embedded min&max heap

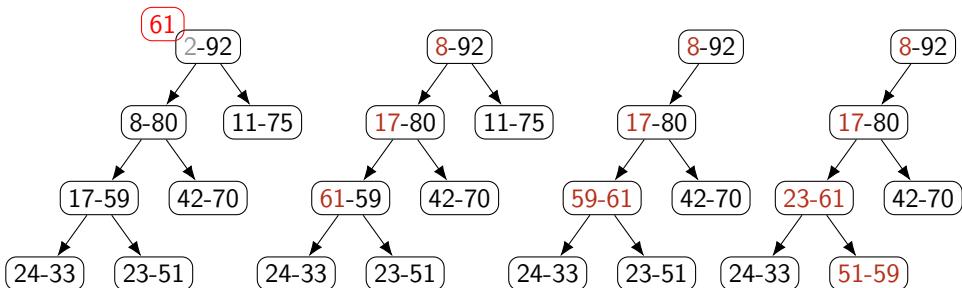


interval heap: insert



- Insert: add key in next position, (if needed) swap to ensure interval. Bubble up in min-heap if key smaller than parent's min-key, or in max-heap if key larger than parent's max-key

interval heap: deleteMin



- DeleteMIN: move last element to min-position in root node. Trickle down in min-heap and (if needed) swap elements to ensure at each node: $\text{node.minVal} \leq \text{node.maxVal}$

Double ended priority queue - use case

wikipedia

One example application of the double-ended priority queue is **external sorting**. In an external sort, there are more elements than can be held in the computer's memory.

end.