

# Free Algebraic Theories as Higher Inductive Types

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# Introduction

# Interest

- Universal (higher) algebra in constructive type theory  
There are two more talks on this!
  - Andreas Lyngbe and Bas Spitters: *Universal Algebra in HoTT*
  - Stefano Piccighello: *Coherence for symmetric monoidal groupoids in HoTT/UF*
- Extension of type systems:
  - Well-behaved class of HITs
  - Coinductive types over HIT-generated functors

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# Extending Type Systems With HITs

- We use set-truncated HITs (QITs) to generate free algebras
  - Free monoids, groups, ...
  - Finite sets as free join-semilattices
  - Generally adjunctions between **Set** and  $\text{Alg}(T)$  for some algebraic theory  $T$
- Instead we could introduce QITs as free algebras
- This covers most (all?) interesting examples of QITs
- How to go higher? Free  $(\infty, 1)$ -algebras!
- For a first step, go to Stefano's talk

# More Coinductive Types

- QITs from algebraic theories give many functors: accessible functor
- Interesting for the theory of coalgebras
- Represent finite powerset  $\mathcal{P}_f$  as HIT and introduce

$$\text{Tr}_f: \mathbf{Set} \rightarrow \mathbf{Set}$$

$$\text{step}: \text{Tr}_f A \rightarrow \mathcal{P}_f(A \times \text{Tr}_f A)$$

$$\frac{c: X \rightarrow \mathcal{P}_f(A \times X)}{\text{corec } c: X \rightarrow \text{Tr}_f A}$$

- Semantics of finitely branching labelled transition systems

# Algebraic Theories and Their Algebras

# Signatures and Terms

- Signature with symbols of arbitrary arity

record Signature :  $\mathcal{U}_1$  where

sym :  $\mathcal{U}_0$

ar : sym  $\rightarrow$   $\mathcal{U}_0$

- Given a signature  $\Sigma$ , we write  $|\Sigma|$  for sym  $\Sigma$
- Polynomial functor associated to signature

$[\_]$  : ( $\Sigma$  : Signature)  $\rightarrow$   $\mathcal{U}_0 \rightarrow \mathcal{U}_0$

$[\Sigma]$   $X = \coprod |\Sigma| \lambda s \rightarrow$  ar  $\Sigma$   $s \rightarrow X$

- Terms over a signature (free monad)

data Term ( $\Sigma$  : Signature) ( $V$  :  $\mathcal{U}_0$ ) :  $\mathcal{U}_0$  where

leaf :  $V \rightarrow$  Term  $\Sigma$   $V$

node :  $[\Sigma]$  (Term  $\Sigma$   $V$ )  $\rightarrow$  Term  $\Sigma$   $V$



# Algebraic Theories

- Algebraic theories are given by a signature and equations

record AlgTheory :  $\mathcal{U}_1$  where  
sig : Signature  
eqs :  $\forall \{X : \mathcal{U}_0\} \rightarrow \text{Rel} (\text{Term sig } X)$

# Algebras for a Theory

- Pre-algebras do not have to respect the equations

record PreAlgebra :  $\mathcal{U}_1$  where

carrier :  $\mathcal{U}_0$

algebra :  $[[ \Sigma ]] \text{ carrier} \rightarrow \text{carrier}$

algebra\* : Term  $\Sigma \text{ carrier} \rightarrow \text{carrier}$

algebra\* = Term-rec  $(\lambda x \rightarrow x)$  algebra

- Algebras also respect the equations

record Algebra :  $\mathcal{U}_1$  where

pre-algebra : PreAlgebra

open PreAlgebra pre-algebra public

carrier-set : is-set carrier

resp-eq :  $\forall \{t u : \text{Term } \Sigma \text{ carrier}\} \rightarrow$

eqs  $t u \rightarrow \text{algebra}^* t == \text{algebra}^* u$

# Basics for Induction

- We denote a (pre-)algebra by

$$\mathcal{A} = (A, a)$$

- Given a signature  $\Sigma$ , we lift  $\llbracket \Sigma \rrbracket$  to predicates:

$$\langle\langle \Sigma \rangle\rangle : (A : \mathcal{U}_0) (P : A \rightarrow \mathcal{U}_0) \rightarrow \llbracket \Sigma \rrbracket A \rightarrow \mathcal{U}_0$$

- We also lift terms to predicates:

$$\mathbf{TermP} \Sigma : \{X : \mathcal{U}_0\} (P : X \rightarrow \mathcal{U}_0) \rightarrow \mathbf{Term} \Sigma X \rightarrow \mathcal{U}_0$$

# Induction for Pre-Algebras

record PreInductive ( $\mathcal{A} : \text{PreAlgebra}$ ) :  $\mathcal{U}_1$  where  
 predicate :  $A \rightarrow \mathcal{U}_0$   
 ind :  $[[ \Sigma ]] A \rightarrow \langle\langle \Sigma \rangle\rangle A$  predicate  $x \rightarrow$  predicate (a x)

predicate\* :  $\text{Term } \Sigma A \rightarrow \mathcal{U}_1$   
predicate\* = predicate  $\circ$  algebra\*

ind\* :  $\forall \{t : \text{Term } \Sigma A\} \rightarrow \text{TermP } \Sigma$  predicate  $t \rightarrow$  predicate\*  $t$   
ind\* = TermP-rec ...

# Induction for Algebras

```
record InductiveProp ( $\mathcal{A} : \text{Algebra}$ ) :  $\mathcal{U}_1$  where
  pre-inductive : PreInductive (pre-algebra  $\mathcal{A}$ )
open PreInductive pre-inductive public
ind-resp-eq :  $\forall\{t\ u\} (r : \text{eqs } t\ u)$ 
   $\rightarrow (pt : \text{TermP } \Sigma \text{ predicate } t) (pu : \text{TermP } \Sigma \text{ predicate } u)$ 
   $\rightarrow \text{ind}^* pt == \text{ind}^* pu [ \text{predicate } \downarrow \text{resp-eq } r ]$ 
```

# The Free Algebra

Free algebra  $\text{FreeAlgebra } T$  for a theory  $T$

- has exactly the constructors of the signature  $\Sigma$
- fulfils exactly the equations of the theory
- is a set
- for each inductive property  $P : (\text{FreeAlgebra } T) \rightarrow \mathcal{U}_0$ , has
$$\text{elim} : (x : \text{FreeAlgebra } T) \rightarrow P x$$
- $\text{elim}$  fulfils the expected reduction rules

**Allows us to prove that  $\text{FreeAlgebra } T$  is initial algebra.**

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# Adding Generators

- To get an adjunction

$$\text{Alg}(T) \begin{array}{c} \xleftarrow{L} \\ \perp \\ \xrightarrow{U} \end{array} \text{Set}$$

we need to embed generators

- Add inclusion of generators  $X$  in (pre-)algebras

$$\text{inj} : X \rightarrow A$$

- Add base case to (pre-)inductive properties



Ok Papa, this is all very cool ...



...but I knew that already!

So, What's Next?

# Universal Algebra

- Note that our HITs work even for non-finitary theories
- We would like to generate quotient algebras as HITs
- This requires generating the free theory + quotient over the given algebra
- Hope: No need for axiom of choice
- Constructive Birkhoff variety theorem!
- NB: Niels and Herman showed that the adjunction can be constructed from quotients for finitary theories (MFPS'19)

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# Universal Coalgebra

- Many functors of interest are finitary or accessible
- Allow coinductive types over the associated HITs
- This gives theory of finitely-branching LTS etc.

Thank you!