

# Fundamentele Informatica 3

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- 9. Undecidable Problems
- 9.1. A Language That Can't Be Accepted, and a Problem That Can't Be Decided
- 9.2. Reductions and the Halting Problem
- 9.3. More Decision Problems Involving Turing Machines

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A slide from lecture 8:

**Definition 9.1.** The Languages  $NSA$  and  $SA$

Let

$$NSA = \{e(I) \mid I \text{ is a TM, and } e(I) \notin L(I)\}$$
$$SA = \{e(I) \mid I \text{ is a TM, and } e(I) \in L(I)\}$$

( $NSA$  and  $SA$  are for "non-self-accepting" and "self-accepting.")

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A slide from lecture 8:

**Decision problem:** problem for which the answer is 'yes' or 'no':

Given . . . , is it true that . . . ?

yes-instances of a decision problem:  
instances for which the answer is 'yes'

no-instances of a decision problem:  
instances for which the answer is 'no'

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A slide from lecture 8:

**Theorem 9.2.** The language  $NSA$  is not recursively enumerable.

The language  $SA$  is recursively enumerable but not recursive.

**Proof . . .**

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A slide from lecture 8:

**Self-Accepting:** Given a TM  $I$ , does  $I$  accept the string  $e(I)$ ?

Three languages corresponding to this problem:

1.  $SA$ : strings representing yes-instances
2.  $NSA$ : strings representing no-instances
3.  $E^?$ : strings not representing instances

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A slide from lecture 8:

For general decision problem  $P$ ,

an encoding  $e$  of instances  $I$  as strings  $e(I)$  over alphabet  $\Sigma$  is called *reasonable*, if

1. there is algorithm to decide if string over  $\Sigma$  is encoding  $e(I)$
2.  $e$  is injective
3. string  $e(I)$  can be decoded

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A slide from lecture 8:

For general decision problem  $P$  and reasonable encoding  $e$ ,

$$Y(P) = \{e(I) \mid I \text{ is yes-instance of } P\}$$
$$N(P) = \{e(I) \mid I \text{ is no-instance of } P\}$$
$$E(P) = Y(P) \cup N(P)$$

$E(P)$  must be recursive

**Definition 9.3.** Decidable Problems

If  $P$  is a decision problem, and  $e$  is a reasonable encoding of instances of  $P$  over the alphabet  $\Sigma$ , we say that  $P$  is *decidable* if  $Y(P) = \{e(I) \mid I \text{ is a yes-instance of } P\}$  is a recursive language.

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**Theorem 9.4.** The decision problem *Self-Accepting* is undecidable.

**Proof...**

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For every decision problem, there is *complementary* problem  $P'$ , obtained by changing 'true' to 'false' in statement.

*Non-Self-Accepting:*

Given a TM  $T$ , does  $T$  fail to accept  $e(T)$  ?

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**Theorem 9.5.** For every decision problem  $P$ ,  $P$  is decidable if and only if the complementary problem  $P'$  is decidable.

**Proof...**

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## 9.2. Reductions and the Halting Problem

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### (Informal) Examples of reductions

1. Recursive algorithms
2. Given NEA  $M$  and string  $x$ , is  $x \in L(M)$  ?
3. Given FAs  $M_1$  and  $M_2$ , is  $L(M_1) \subseteq L(M_2)$  ?

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### Theorem 2.15.

Suppose  $M_1 = (Q_1, \Sigma, q_1, A_1, \delta_1)$  and  $M_2 = (Q_2, \Sigma, q_2, A_2, \delta_2)$  are finite automata accepting  $L_1$  and  $L_2$ , respectively.

Let  $M$  be the FA  $(Q, \Sigma, q_0, A, \delta)$ , where

$$Q = Q_1 \times Q_2$$

$$q_0 = (q_1, q_2)$$

and the transition function  $\delta$  is defined by the formula  $\delta((p, q), \sigma) = (\delta_1(p, \sigma), \delta_2(q, \sigma))$

for every  $p \in Q_1$ , every  $q \in Q_2$ , and every  $\sigma \in \Sigma$ .

Then

1. If  $A = \{(p, q) \mid p \in A_1 \text{ or } q \in A_2\}$ ,  
 $M$  accepts the language  $L_1 \cup L_2$ .
2. If  $A = \{(p, q) \mid p \in A_1 \text{ and } q \in A_2\}$ ,  
 $M$  accepts the language  $L_1 \cap L_2$ .
3. If  $A = \{(p, q) \mid p \in A_1 \text{ and } q \notin A_2\}$ ,  
 $M$  accepts the language  $L_1 - L_2$ .

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**Definition 9.6.** Reducing One Decision Problem to Another, and Reducing One Language to Another

Suppose  $P_1$  and  $P_2$  are decision problems. We say  $P_1$  is reducible to  $P_2$  ( $P_1 \leq P_2$ )

- if there is an algorithm
- that finds, for an arbitrary instance  $I$  of  $P_1$ , an instance  $F(I)$  of  $P_2$ ,
- such that
- for every  $I$  the answers for the two instances are the same, or  $I$  is a yes-instance of  $P_1$  if and only if  $F(I)$  is a yes-instance of  $P_2$ .

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**Definition 9.6.** Reducing One Decision Problem to Another, and Reducing One Language to Another (continued)

If  $L_1$  and  $L_2$  are languages over alphabets  $\Sigma_1$  and  $\Sigma_2$ , respectively, we say  $L_1$  is reducible to  $L_2$  ( $L_1 \leq L_2$ )

- if there is a Turing-computable function
  - $f : \Sigma_1^* \rightarrow \Sigma_2^*$
  - such that for every  $x \in \Sigma_1^*$
- $$x \in L_1 \text{ if and only if } f(x) \in L_2$$

Less / more formal definitions.

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In context of decidability: decision problem  $P \approx$  language  $Y(P)$

Question

"Is instance  $I$  of  $P$  a yes-instance?"

is **essentially** the same as

"does string  $x$  represent yes-instance of  $P$ ?",

i.e.,

"Is string  $x \in Y(P)$ ?"

Therefore,  $P_1 \leq P_2$ , if and only if  $Y(P_1) \leq Y(P_2)$ .

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**Theorem 9.7.** Suppose  $L_1 \subseteq \Sigma_1^*$ ,  $L_2 \subseteq \Sigma_2^*$ , and  $L_1 \leq L_2$ . If  $L_2$  is recursive, then  $L_1$  is recursive.  
Suppose  $P_1$  and  $P_2$  are decision problems, and  $P_1 \leq P_2$ . If  $P_2$  is decidable, then  $P_1$  is decidable.

**Proof...**

Two more decision problems:

**Accepts:** Given a TM  $T$  and a string  $w$ , is  $w \in L(T)$  ?

**Halts:** Given a TM  $T$  and a string  $w$ , does  $T$  halt on input  $w$  ?

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**Theorem 9.8.** Both **Accepts** and **Halts** are undecidable.

**Proof.**

1. Prove that **Self-Accepting**  $\leq$  **Accepts** ...

**Theorem 9.8.** Both **Accepts** and **Halts** are undecidable.

**Proof.**

1. Prove that **Self-Accepting**  $\leq$  **Accepts** ...
2. Prove that **Accepts**  $\leq$  **Halts** ...

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Application:

```
n = 4;  
while (n is the sum of two primes)  
  n = n+2;
```

This program loops forever, if and only if Goldbach's conjecture is true.

**Accepts:** Given a TM  $T$  and a string  $x$ , is  $x \in L(T)$  ?  
Instances are ...

**Halts:** Given a TM  $T$  and a string  $x$ , does  $T$  halt on input  $x$  ?  
Instances are ...

**Self-Accepting:** Given a TM  $T$ , does  $T$  accept the string  $e(L(T))$ ?  
Instances are ...

Now fix a TM  $T$ :  
 **$T$ -Accepts:** Given a string  $x$ , does  $T$  accept  $x$  ?  
Instances are ...  
**Decidable or undecidable ?** (cf. **Exercise 9.7.**)

### 9.3. More Decision Problems Involving Turing Machines

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**Exercise 9.7.**

As discussed at the beginning of Section 9.3, there is at least one TM  $T$  such that the decision problem

“Given  $w$ , does  $T$  accept  $w$ ?”

is unsolvable.

Show that every TM accepting a nonrecursive language has this property.

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**Theorem 9.9.** The following five decision problems are undecidable.

1. *Accepts- $\Lambda$* : Given a TM  $T$ , is  $\Lambda \in L(T)$ ?

**Proof.**

1. Prove that *Accepts*  $\leq$  *Accepts- $\Lambda$*  . . .

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Reduction from *Accepts* to *Accepts- $\Lambda$* .

Instance of *Accepts* is  $(T_1, x)$  for TM  $T_1$  and string  $x$ .

Instance of *Accepts- $\Lambda$*  is TM  $T_2$ .

$T_2 = F(T_1, x) =$

$Write(x) \rightarrow T_1$

$T_2$  accepts  $\Lambda$ , if and only if  $T_1$  accepts  $x$ .

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If we had an algorithm/TM  $A_2$  to solve *Accepts- $\Lambda$* , then we would also have an algorithm/TM  $A_1$  to solve *Accepts*, as follows:

$A_1$ :

Given instance  $(T_1, x)$  of *Accepts*,

1. construct  $T_2 = F(T_1, x)$ ;
2. run  $A_2$  on  $T_2$ .

$A_1$  answers ‘yes’ for  $(T_1, x)$ , if and only if  $A_2$  answers ‘yes’ for  $T_2$ , if and only if  $T_2$  accepts  $\Lambda$ , if and only if  $T_1$  accepts  $x$ .

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**Theorem 9.9.** The following five decision problems are undecidable.

2. *AcceptsEverything*: Given a TM  $T$  with input alphabet  $\Sigma$ , is  $L(T) = \Sigma^*$ ?

**Proof.**

2. Prove that *Accepts- $\Lambda$*   $\leq$  *AcceptsEverything* . . .

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**Theorem 9.9.** The following five decision problems are undecidable.

3. *Subset*: Given two TMs  $T_1$  and  $T_2$ , is  $L(T_1) \subseteq L(T_2)$ ?

**Proof.**

3. Prove that *AcceptsEverything*  $\leq$  *Subset* . . .

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**Theorem 9.9.** The following five decision problems are undecidable.

4. *Equivalent*: Given two TMs  $T_1$  and  $T_2$ , is  $L(T_1) = L(T_2)$ ?

**Proof.**

4. Prove that *Subset*  $\leq$  *Equivalent* . . .

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**Theorem 9.9.** The following five decision problems are undecidable.

5. *WriteSymbol*: Given a TM  $T$  and a symbol  $a$  in the tape alphabet of  $T$ , does  $T$  ever write  $a$  if it starts with an empty tape?

**Proof.**

5. Prove that *Accepts- $\Lambda$*   $\leq$  *WriteSymbol* . . .

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