# **Fundamentele Informatica 3**

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9. Undecidable Problems

9.1. A Language That Can't Be Accepted, and a Problem That Can't Be Decided
9.2. Reductions and the Halting Problem
9.3. More Decision Problems Involving Turing Machines

Definition 9.1. The Languages NSA and SA

Let

$$NSA = \{e(T) \mid T \text{ is a TM, and } e(T) \notin L(T)\}$$
  
 $SA = \{e(T) \mid T \text{ is a TM, and } e(T) \in L(T)\}$ 

(NSA and SA are for "non-self-accepting" and "self-accepting.")

**Theorem 9.2.** The language *NSA* is not recursively enumerable. The language *SA* is recursively enumerable but not recursive.

Proof...

**Decision problem**: problem for which the answer is 'yes' or 'no':

Given ..., is it true that ...?

yes-instances of a decision problem: instances for which the answer is 'yes'

no-instances of a decision problem: instances for which the answer is 'no'

Self-Accepting: Given a TM T, does T accept the string e(T)?

Three languages corresponding to this problem:

- 1. SA: strings representing yes-instances
- 2. NSA: strings representing no-instances
- 3. E': strings not representing instances

For general decision problem P, an encoding e of instances I as strings e(I) over alphabet  $\Sigma$ is called *reasonable*, if

- 1. there is algorithm to decide if string over  $\Sigma$  is encoding e(I)
- 2. e is injective
- 3. string e(I) can be decoded

For general decision problem P and reasonable encoding e,

$$Y(P) = \{e(I) \mid I \text{ is yes-instance of } P\}$$
  

$$N(P) = \{e(I) \mid I \text{ is no-instance of } P\}$$
  

$$E(P) = Y(P) \cup N(P)$$

E(P) must be recursive

### **Definition 9.3.** Decidable Problems

If *P* is a decision problem, and *e* is a reasonable encoding of instances of *P* over the alphabet  $\Sigma$ , we say that *P* is *decidable* if  $Y(P) = \{e(I) \mid I \text{ is a yes-instance of } P\}$  is a recursive language.

**Theorem 9.4.** The decision problem *Self-Accepting* is undecidable.

Proof...

For every decision problem, there is *complementary* problem P', obtained by changing 'true' to 'false' in statement.

Non-Self-Accepting: Given a TM T, does T fail to accept e(T) ? **Theorem 9.5.** For every decision problem P, P is decidable if and only if the complementary problem P' is decidable.

Proof...

# 9.2. Reductions and the Halting Problem

# (Informal) Examples of reductions

- 1. Recursive algorithms
- 2. Given NFA M and string x, is  $x \in L(M)$  ?
- 3. Given FAs  $M_1$  and  $M_2$ , is  $L(M_1) \subseteq L(M_2)$  ?

### Theorem 2.15.

Suppose  $M_1 = (Q_1, \Sigma, q_1, A_1, \delta_1)$  and  $M_2 = (Q_2, \Sigma, q_2, A_2, \delta_2)$ are finite automata accepting  $L_1$  and  $L_2$ , respectively. Let M be the FA  $(Q, \Sigma, q_0, A, \delta)$ , where

 $Q = Q_1 \times Q_2$ 

 $q_0 = (q_1, q_2)$ 

and the transition function  $\delta$  is defined by the formula

 $\delta((p,q),\sigma) = (\delta_1(p,\sigma), \delta_2(q,\sigma))$ for every  $p \in Q_1$ , every  $q \in Q_2$ , and every  $\sigma \in \Sigma$ .

Then

1. If 
$$A = \{(p,q) | p \in A_1 \text{ or } q \in A_2\}$$
,  
 $M$  accepts the language  $L_1 \cup L_2$ .  
2. If  $A = \{(p,q) | p \in A_1 \text{ and } q \in A_2\}$ ,  
 $M$  accepts the language  $L_1 \cap L_2$ .  
3. If  $A = \{(p,q) | p \in A_1 \text{ and } q \notin A_2\}$ ,  
 $M$  accepts the language  $L_1 - L_2$ .

**Definition 9.6.** Reducing One Decision Problem to Another, and Reducing One Language to Another

Suppose  $P_1$  and  $P_2$  are decision problems. We say  $P_1$  is reducible to  $P_2$  ( $P_1 \leq P_2$ )

- if there is an algorithm
- that finds, for an arbitrary instance I of  $P_1$ , an instance F(I) of  $P_2$ ,
- such that

for every I the answers for the two instances are the same, or I is a yes-instance of  $P_1$ 

if and only if F(I) is a yes-instance of  $P_2$ .

**Definition 9.6.** Reducing One Decision Problem to Another, and Reducing One Language to Another (continued)

If  $L_1$  and  $L_2$  are languages over alphabets  $\Sigma_1$  and  $\Sigma_2$ , respectively, we say  $L_1$  is reducible to  $L_2$  ( $L_1 \leq L_2$ )

- if there is a Turing-computable function
- $f: \Sigma_1^* \to \Sigma_2^*$
- such that for every  $x \in \Sigma_1^*$ ,

 $x \in L_1$  if and only if  $f(x) \in L_2$ 

Less / more formal definitions.

**Theorem 9.7.** Suppose  $L_1 \subseteq \Sigma_1^*$ ,  $L_2 \subseteq \Sigma_2^*$ , and  $L_1 \leq L_2$ . If  $L_2$  is recursive, then  $L_1$  is recursive.

Suppose  $P_1$  and  $P_2$  are decision problems, and  $P_1 \leq P_2$ . If  $P_2$  is decidable, then  $P_1$  is decidable.

Proof...

In context of decidability: decision problem  $P \approx$  language Y(P)

Question

"is instance I of P a yes-instance ?"

is essentially the same as

"does string x represent yes-instance of P?",

i.e.,

"is string  $x \in Y(P)$  ?"

Therefore,  $P_1 \leq P_2$ , if and only if  $Y(P_1) \leq Y(P_2)$ .

Two more decision problems:

Accepts: Given a TM T and a string w, is  $w \in L(T)$  ?

Halts: Given a TM T and a string w, does T halt on input w?

Theorem 9.8. Both Accepts and Halts are undecidable.

Proof.

1. Prove that Self-Accepting  $\leq$  Accepts ...

Theorem 9.8. Both Accepts and Halts are undecidable.

Proof.

- 1. Prove that Self-Accepting  $\leq$  Accepts ...
- 2. Prove that  $Accepts \leq Halts \dots$

Application:

```
n = 4;
while (n is the sum of two primes)
n = n+2;
```

This program loops forever, if and only if Goldbach's conjecture is true.

# 9.3. More Decision Problems Involving Turing Machines

Accepts: Given a TM T and a string x, is  $x \in L(T)$  ? Instances are . . .

*Halts*: Given a TM T and a string x, does T halt on input x? Instances are . . .

Self-Accepting: Given a TM T, does T accept the string e(T)? Instances are ...

Now fix a TM T: T-Accepts: Given a string x, does T accept x ? Instances are ... Decidable or undecidable ? (cf. **Exercise 9.7.**)

### Exercise 9.7.

As discussed at the beginning of Section 9.3, there is at least one TM T such that the decision problem

"Given w, does T accept w?"

is unsolvable.

Show that every TM accepting a nonrecursive language has this property.

1. Accepts-A: Given a TM T, is  $\Lambda \in L(T)$  ?

# Proof.

1. Prove that  $Accepts \leq Accepts - \Lambda$  . . .

Reduction from *Accepts* to *Accepts*- $\Lambda$ .

Instance of *Accepts* is  $(T_1, x)$  for TM  $T_1$  and string x. Instance of *Accepts*- $\Lambda$  is TM  $T_2$ .

 $T_2 = F(T_1, x) =$  $Write(x) \rightarrow T_1$ 

 $T_2$  accepts  $\Lambda$ , if and only if  $T_1$  accepts x.

If we had an algorithm/TM  $A_2$  to solve Accepts- $\Lambda$ , then we would also have an algorithm/TM  $A_1$  to solve Accepts, as follows:

```
A<sub>1</sub>:
Given instance (T_1, x) of Accepts,
1. construct T_2 = F(T_1, x);
2. run A<sub>2</sub> on T<sub>2</sub>.
```

```
A_1 answers 'yes' for (T_1, x),
if and only if A_2 answers 'yes' for T_2,
if and only T_2 accepts \Lambda,
if and only if T_1 accepts x.
```

2. AcceptsEverything: Given a TM T with input alphabet  $\Sigma$ , is  $L(T) = \Sigma^*$ ?

## Proof.

2. Prove that Accepts- $\Lambda \leq AcceptsEverything \dots$ 

3. Subset: Given two TMs  $T_1$  and  $T_2$ , is  $L(T_1) \subseteq L(T_2)$  ?

# Proof.

3. Prove that  $AcceptsEverything \leq Subset \dots$ 

4. Equivalent: Given two TMs  $T_1$  and  $T_2$ , is  $L(T_1) = L(T_2)$ 

## Proof.

4. Prove that  $Subset \leq Equivalent \dots$ 

5. WritesSymbol:

Given a TM T and a symbol a in the tape alphabet of T, does T ever write a if it starts with an empty tape ?

### Proof.

5. Prove that Accepts- $\Lambda \leq WritesSymbol \dots$