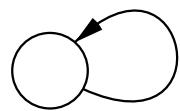


## Determinism



$a; Z/ZA$   
 $b; Z/ZB$   
 $\lambda; Z/\lambda$   
 $a; A/\lambda$   
 $b; B/\lambda$

$Z \rightarrow aZA$   
 $Z \rightarrow bZB$   
 $Z \rightarrow \lambda$   
 $A \rightarrow a$   
 $B \rightarrow b$

$P = \{ ww^R \mid w \in \{a, b\}^*\}$  guessing the middle

$(aabbaa, Z) \vdash (aabbaa, \lambda) \not\vdash$

$\top$

$(abbaa, ZA) \vdash (abbaa, A) \vdash (bbaa, \lambda) \not\vdash$

$\top$

$(bbaa, ZAA) \vdash (bbaa, AA) \not\vdash$

$\top$

$(baa, ZBAA) \vdash (baa, BAA) \vdash (aa, AA) \vdash (a, A) \vdash (\lambda, \lambda)$  ok.

$\top$

$(aa, ZBBA) \vdash (aa, BBAA) \not\vdash$

$\top$

$(a, ZABBAA) \vdash (a, ABAA) \vdash (\lambda, BBAA) \not\vdash$

$\top$

$(\lambda, ZAABBA) \vdash (\lambda, ABAA) \not\vdash$

also  $\{ a^n b^n \mid n \in \mathbb{N} \} \cup \{ a^n b^\ell c^n \mid \ell, n \in \mathbb{N} \}$

determinism means ‘no choice’ . . .

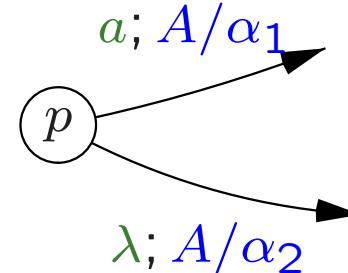
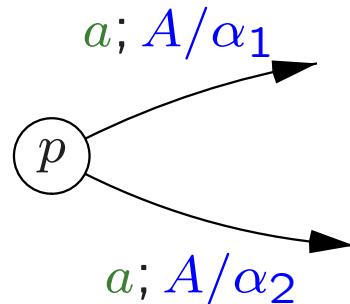
. . . where to start (ok)

. . . between two actions

with same *tape & stack* symbols

. . . between letter or  $\lambda$

not allowed



( $p, a, A, q_1, \alpha_1$ )

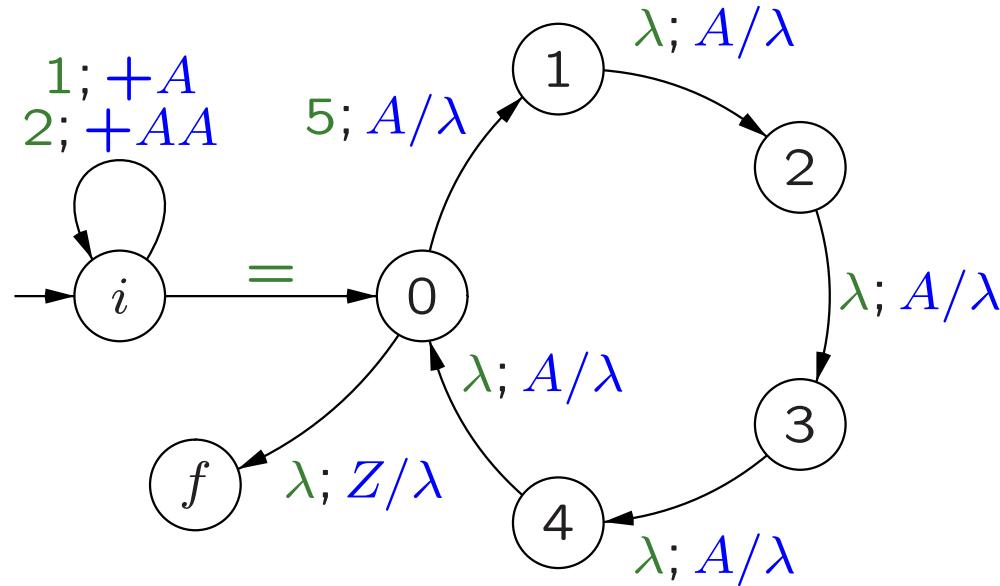
( $p, a, A, q_2, \alpha_2$ )

( $p, a, A, q_1, \alpha_1$ )

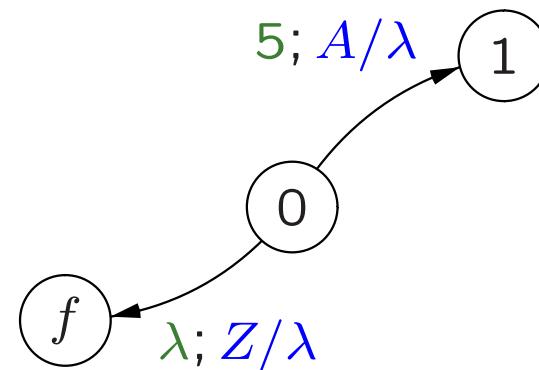
( $p, \lambda, A, q_2, \alpha_2$ )

FSA = DFSA = RLIN
PDn = P $\ell$ = CF
DPDn ⊂ DPD $\ell$ ⊂ CF

final state      DPD $\ell$       deterministic CF languages  
 empty stack    DPDn



in particular we allow

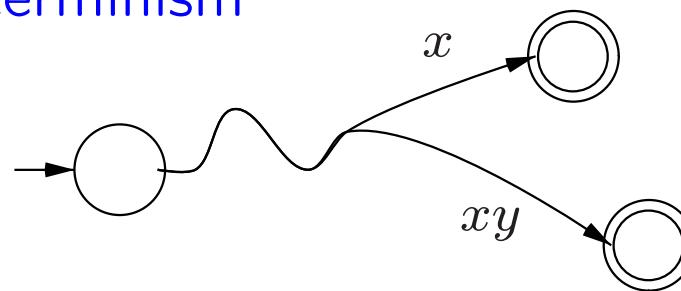


$$\{ a^m b^n \mid m \geq n \}$$

$$\{ a^n b^m a^n \mid m, n \in \mathbb{N} \}$$

language  $L \quad x \in L, xy \in L$

\* nondeterminism

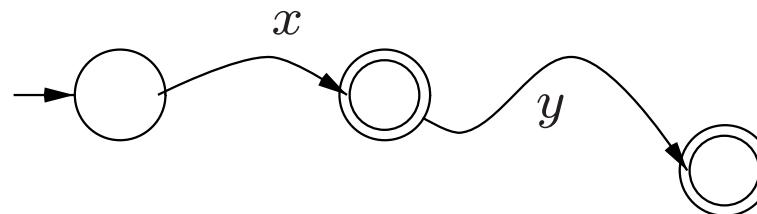


$$\frac{a^n \ b^n}{\_ \ \_}$$

$$\frac{a^n \ b^m \ c^n}{\_ \ \_}$$

different behaviour on  $b$ 's

\* determinism



computation on  $xy$  and on  $x$  must coincide!

apply this to:

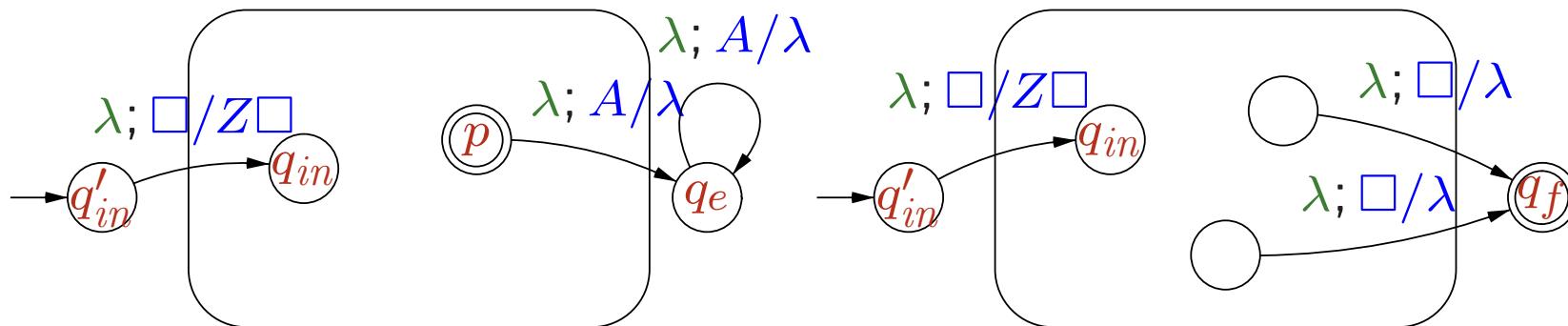
$$\text{haspref}(L) = \{ \underline{xy} \mid \underline{x} \in L, \underline{xy} \in L, y \neq \lambda \}$$

$$\text{PD}\ell \subseteq \text{PDn} \quad \text{PDn} \subseteq \text{PD}\ell$$

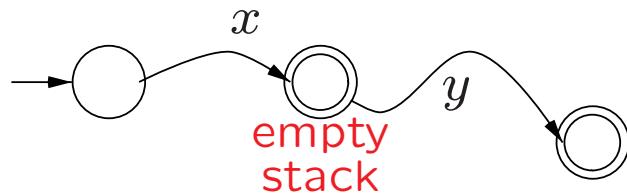
what about determinism?

✗  $f \rightsquigarrow e$

✓  $e \rightsquigarrow f$



$$\text{DPDn} \subseteq \text{DPD}\ell$$



blocking on empty stack:  
DPDn languages are *prefix-free*

$$x, xy \in L \Rightarrow y = \lambda$$

$$\text{REG} \not\subseteq \text{DPDn} \quad a^*, \{\lambda, a\}$$

$$\text{REG} \subset \text{DPD}\ell$$

$$\text{haspref}(L) = \{ xy \mid x \in L, xy \in L, y \neq \lambda \}$$

$$L_0 = \{ a^n b^n \mid n \geq 1 \} \cup \{ a^n b^m c^n \mid m, n \geq 1 \}$$

$$\text{haspref}(L_0) = \{ a^n b^m c^n \mid m \geq n \geq 1 \} \notin \text{CF}$$

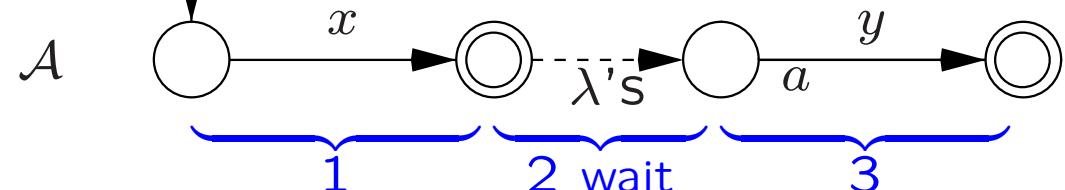
- \* CF = PD $\ell$  is not closed under haspref
- \* DPD $\ell$  is closed under haspref

[proof follows]

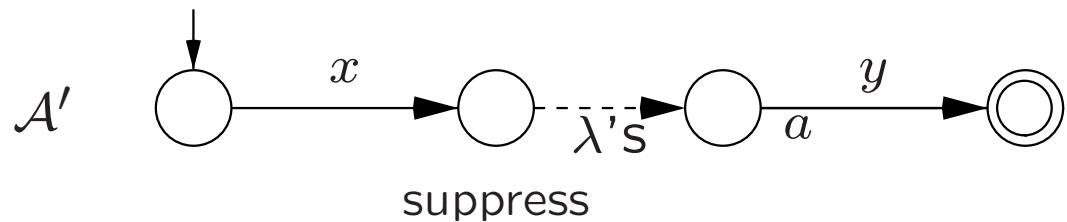
consequences

- \* DPD $\ell \subset \text{PD}\ell = \text{CF} \quad L_0 \in \text{CF} - \text{DPD}\ell$
- \* DPD $\ell$  is not closed under union
- \* also  $\{ ww^R \mid w \in \{a, b\}^* \} \notin \text{DPD}\ell$

$$\text{haspref}(L) = \{ xy \mid x \in L, xy \in L, y \neq \lambda \}$$



▷ intuition



▷ construction

$$Q' = Q \times \{1, 2, 3\}$$

$$q'_{in} = \langle q_{in}, 1 \rangle$$

$$F' = F \times \{3\}$$

$\delta$	$\delta'$	when
$(p, a, A, q, \alpha)$	$(\langle p, 1 \rangle, a, A, \langle q, 1 \rangle, \alpha)$	$q \notin F$
	$(\langle p, 1 \rangle, a, A, \langle q, 2 \rangle, \alpha)$	$q \in F$
	$(\langle p, 2 \rangle, \lambda, A, \langle q, 2 \rangle, \alpha)$	$a = \lambda$
	$(\langle p, 2 \rangle, a, A, \langle q, 3 \rangle, \alpha)$	$a \neq \lambda$
	$(\langle p, 3 \rangle, a, A, \langle q, 3 \rangle, \alpha)$	

$$Q' = Q \times \{1, 2, 3\}$$

$$q'_{in} = \langle q_{in}, 1 \rangle$$

$$F' = F \times \{3\}$$

$$(p, a, A, q, \alpha) \in \delta$$

$\delta'$  contains when

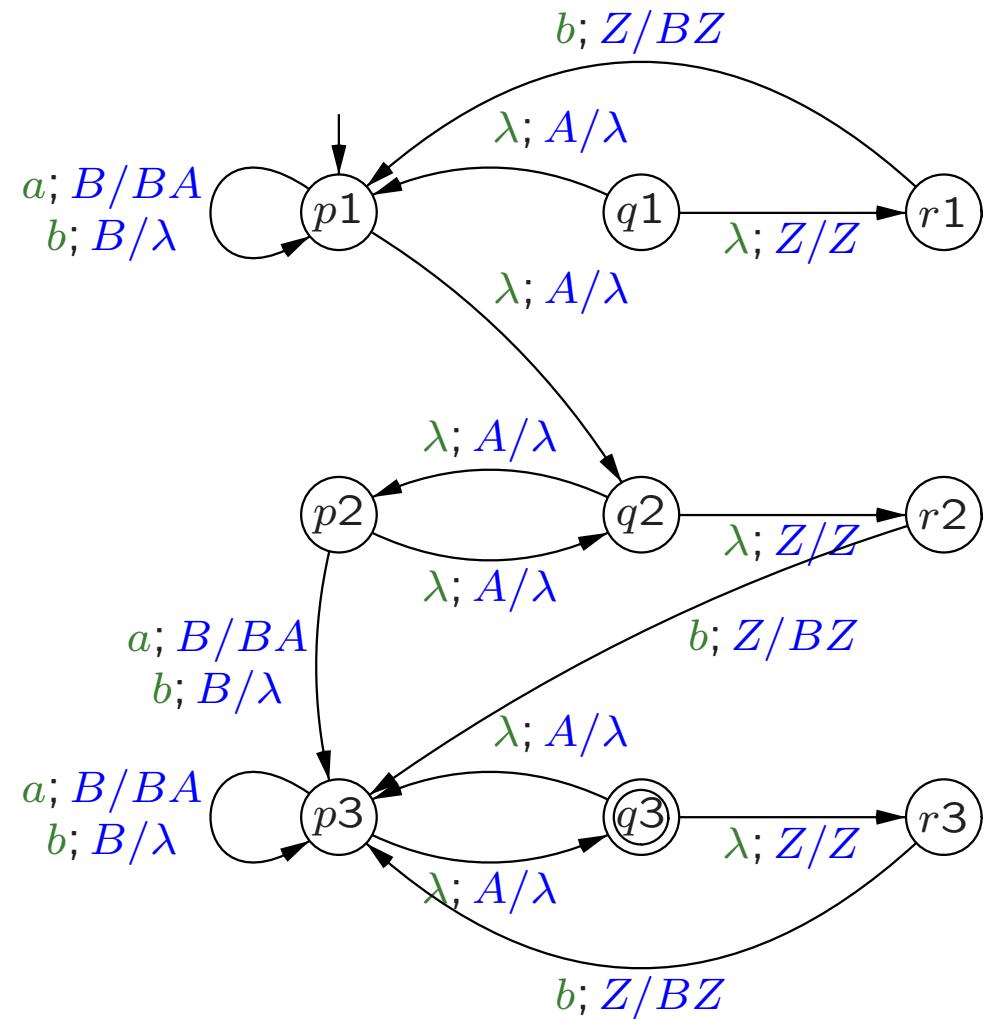
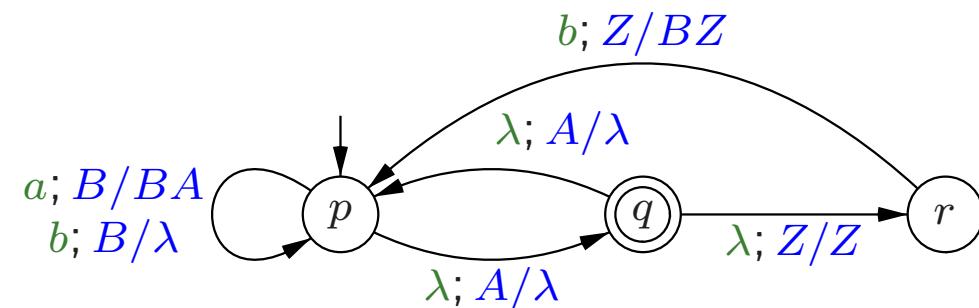
$$(\langle p, 1 \rangle, a, A, \langle q, 1 \rangle, \alpha) \quad q \notin F$$

$$(\langle p, 1 \rangle, a, A, \langle q, 2 \rangle, \alpha) \quad q \in F$$

$$(\langle p, 2 \rangle, \lambda, A, \langle q, 2 \rangle, \alpha) \quad a = \lambda$$

$$(\langle p, 2 \rangle, a, A, \langle q, 3 \rangle, \alpha) \quad a \neq \lambda$$

$$(\langle p, 3 \rangle, a, A, \langle q, 3 \rangle, \alpha)$$



$$L \in \text{DPDn} \Rightarrow L \in \text{DPD}\ell$$

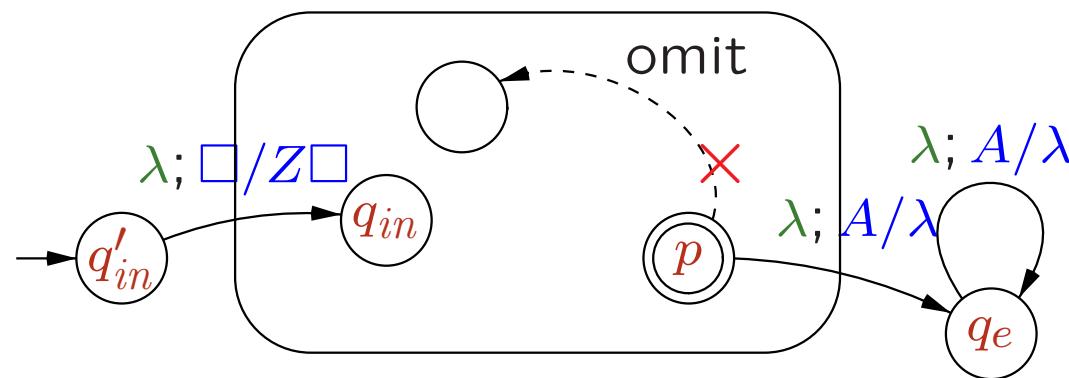
$\Leftarrow$

$$L \in \text{DPDn} \Leftrightarrow L \in \text{DPD}\ell \text{ and prefix-free}$$

$$(p, w, \alpha) \vdash^* (q, \lambda, \lambda)$$

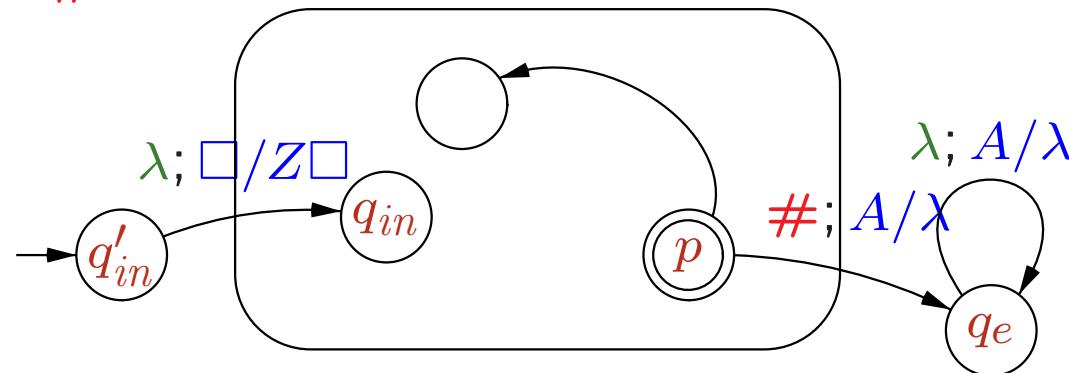
iff

$$(p, wz, \alpha) \vdash^* (q, z, \lambda)$$



endmarker: new letter  $\#$

$$L\# \in \text{DPDn} \Leftarrow L \in \text{DPD}\ell$$



... only if final states have no outgoing  $\lambda$  !!

## CFG characterization for DPDn

Harrison-Havel 1973

$$\begin{array}{l} X \rightarrow \alpha w \\ \equiv \\ X' \rightarrow \alpha w \end{array}$$

CFG  $G = (N, T, S, P)$  strict deterministic iff equivalence relation  $\equiv$  on  $N \cup T$

then

$$\begin{array}{l} X \rightarrow \alpha \\ \equiv \\ X' \rightarrow \alpha \end{array}$$

- $T$  is a class for  $\equiv$
- for all  $X, X' \in N$ ,  $\alpha, w, w' \in (N \cup T)^*$   
if  $X \equiv X'$ ,  $X \rightarrow \alpha w$ ,  $X' \rightarrow \alpha w'$   
then  $w = w' = \lambda$  and  $X = X'$   
or  $w = Yv$ ,  $w' = Y'v'$  for some  $Y \equiv Y'$   
 $Y, Y' \in (N \cup T)$

or

$$\begin{array}{l} X \rightarrow \alpha Y v \\ \equiv \quad \equiv \\ X' \rightarrow \alpha Y' v' \end{array}$$

# *Pushdown Automata*

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transparencies made for a course at the

International PhD School  
in Formal Languages and Applications

Rovira i Virgili University  
Tarragona, Spain

Hendrik Jan Hoogeboom, Leiden

<http://www.liacs.nl/~hoogeboo/praatjes/tarragona/>