

Lecture 15 binary trees

Read Schaum sections: 8.8, 9.4, 10.1-3, 10.5, 10.9

Recap



Tree: acyclic connected graph (undirected, directed, rooted, ordered) Rooted: there is a special element (formally: $T = (V, E, r), r \in V$ Ordered: children are *ordered*



implicit directionality

isomorphic as graphs, but not as ordered graphs...

Main properties of trees





Further:

Trees have n-1 edges.

They are minimally connected, maximally acyclic.

Properties of trees

recall: tree is an undirected acyclic graph

Lemma. Let G=(V,E) *be an [undirected] tree. Then* |E| = |V|-1

<u>Proof 1:</u> induction over the number of vertices.

(i) basis: n=1, works.

(ii) assume holds for all k<n.

take any tree of n vertices, and cut any edge. Now we have two trees (see last slide) with $n_1, n_2, n_1 + n_2 = n$ vertices.

By inductive hypothesis, they have $n_1 - 1 + n_2 - 1 = n - 2$ edges in total.

Since you cut one edge, the initial graph must have had n-1 edges







Lemma. Let G=(V,E) *be an [undirected] tree. Then* |E| = |V|-1

Proof 2:

Choose a root and look at levels of rooted tree.

Each vertes has exactly one prececessor in previous level...except the root. So n-1 edges.



Properties of trees



Theorem (Characterization of trees).

For a graph G (over n vertices) the following are equivalent

G is a tree (connected acyclic graph)
 G is maximally acyclic : adding an edge to G creates a cycle
 G is minimally connected: removing any edge makes it unconnected
 G is acyclic and has n-1 edges
 G is connected and has n-1 edges

Use?

NB: this characterization is sometimes given in two parts: 1-2-3, and 1-4-5. See slides of previous lectures.

Properties of trees

Theorem (Characterization of trees).

For a graph G (over n vertices) the following are equivalent

- (1) G is a tree
- (2) G is maximally acyclic : adding an edge to G creates a cycle
- (3) G is minimally connected: removing any edge makes it unconnected
- (4) G is acyclic and has n-1 edges
- (5) G is connected and has n-1 edges

Proof:

a) is acyclic V 5) alloing edge males a cycle : add new e = {v,w}; but G was connected
-) I simple path V, Vy W. (and (V, w) is not in) So V, Vy W, V is a cycle.
(2) => - connected. Otherwise connecting two unconnected components doesn't make a cycle

(1)=> (3) free => connected. & acyclic.
=) remaining edge disconnects (or cycle!) [seen before] =) minimally connected
(3)=>(1) minimally connected => connected need acyclic.
agume connected & cyclic => cut cycle => not disconnected. => acyclic. tree.

Proof (continued):

$$(1) =>(4) \quad acyclic by definition \cdot n - n edges property proven before
(4) =>(5) \quad acyclic + (n-1) edges => connected
Assume not: k components rall acyclic => k trees
=> $n_1 + n_2 \cdot n_k = h$ & $n_{g-1} + n_{h-1} + -n_{k-1} = \gamma - 1$
=> $n_{-k} = n_{-1} => k = 1$ - 1 tree => connected$$

Main properties of trees





Each edge is a bi-implication



Binary trees

Def. A binary tree is a rooted ordered tree, where each vertex has at most 2 children. The ordering assigns the label "left" and "right" to each child, *even if the child is a single child*.



binary tree



Rooted ordered tree of outdegree <3

In CS binary trees are special



Def. (recursive) A binary tree T is a finite set of elements (vertices), such that it is

- 1) *T* is empty, or
- 2) *T* contains a distinguished node *R*, called the root of *T*, and the remaining nodes of T form an *ordered pair* of disjoint binary trees T_L and T_R .

Def. Binary tree (recursive) simplified

- **1)** *empty*
- 2) or has a root with a left and right subtree (each is a tree)



NB. subtrees can be empty

FLASHBACK





In CS binary trees are special



a *pointer* structure:



Types of binary trees

-complete:

- (1) every level, *except possibly the last*, is completely filled,
- (2) and all nodes in the last level are *as far left as possible*.

-full (proper): every node has 0 or 2 children





canonical...

Types of binary trees

-extended binary tree (2-tree): full tree, or extended binary tree (see Schaum)





Original notes: *internal nodes*. *new nodes: external nodes*.

First child - right sibling: encoding trees into binary trees





At each node, link children of same parent from left to right. Parent is be linked only with the first child.

This process of converting an *k*-ary tree to an left (first) child -right sibling binary tree is sometimes called the *Knuth transform*

1-1 correspondence between ordered rooted trees and binary trees of where the root has just a a left child.



Vertex ordering and traversal in trees

Enumerating all the nodes

Example: listing out all the section headings in a book, e.g. "contents"







Enumerating all the nodes

Example: listing out all the section headings in a book, e.g. "contents"



5C:c

5C:b



5A:a

5A:b

5B:a

5C:a

But 3 natural methods.





"first node, then children (subtrees)"

Preorder:

(1) Process the root N.

(2) Traverse the first subtree of N in *preorder*.

(3) Traverse the second subtree of N in *preorder*.

• • •

(n-1) Traverse the last subtree of N in *preorder*,



5, A, 5A:a, 5A:b, B, 5B:a, C, 5C:a, 5C:b:, 5C:c

"first node, then children (subtrees)"

Preorder:

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• • •

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5, A, 5A:a, 5A:b, B, 5B:a, C, 5C:a, 5C:b:, 5C:c









"first node, then children (subtrees)"

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(n-1) Traverse the last subtree of N in *preorder*,



"first visit": output the vertex the first time you see it

In binary trees: NLR (node-left-right)



"first node, then children (subtrees)"

Preorder:

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(2) Traverse the first subtree of N in *preorder*.

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(n-1) Traverse the last subtree of N in *preorder*,



5, A, 5A:a, 5A:b, B, 5B:a, C, 5C:a, 5C:b:, 5C:c

"first visit": output the vertex the first time you see it

In binary trees: NLR (node-left-right)

Postorder



"first children (subtrees), then node"

Postorder:

. . .

- (1) Traverse the first subtree of N in *postorder*.
- (2) Traverse the second subtree of N in *postorder*.

(n) Traverse the last subtree of N in *postorder*. (n+1) process the root N



5A:a, 5A:b, A, 5B:a, B, 5C:a, 5C:b, 5C:c, C, 5

Postorder



"first children (subtrees), then node"

Postorder:

(1) Traverse the first subtree of N in *postorder*.

(2) Traverse the second subtree of N in *postorder*.

(n) Traverse the last subtree of N in *postorder*. (n+1) process the root N



5A:a, 5A:b, A, 5B:a, B, 5C:a, 5C:b, 5C:c, C, 5

-"last visit"

-for binary trees: LRN (left-right-node)

Postorder



"first children (subtrees), then node"

Postorder:

(1) Traverse the first subtree of N in *postorder*.

(2) Traverse the second subtree of N in *postorder*.

(n) Traverse the last subtree of N in *postorder*. (n+1) process the root N



5A:a, 5A:b, A, 5B:a, B, 5C:a, 5C:b, 5C:c, C, 5

-"last visit"

-for binary trees: LRN (left-right-node)

Inorder (symmetric ordering)

"first left child (subtree), then node, then right child (subtree)"

Works only for binary trees

Inorder:

- (1) Traverse the left subtree of N in *inorder*.
- (2) Traverse the root N
- (3) Traverse the right subtree of N in *inorder*,



aa, i,a,ii,A,1,b,B,iii,c,iv



Inorder (symmetric ordering)

"first left child (subtree), then node, then right child (subtree)"

Works only for binary trees

Inorder:

(1) Traverse the left subtree of N in *inorder*.

(2) Traverse the root N

(3) Traverse the right subtree of N in *inorder*,

"second visit"

LNR (left-node-right)









preorder [NLR]: pre(T) = root(T), $pre(T_1)$, ..., $pre(T_k)$

postorder [LRN]: $post(T) = post(T_1), ..., post(T_k), root(T)$

inorder [LNR]: $in(T) = in(T_L)$, root(T), $in(T_R)$



An (algebraic) expression that only uses binary operations can be represented by a full binary tree 2-tree (the expression tree)

Various ways to traverse the expression tree lead to 3 main ways to specify algebraic expressions



$((2 \times 3) + ((5 \times 7) + (9 \times 11)))$





$((2 \times 3) + ((5 \times 7) + (9 \times 11)))$

Leaves are elementary values Inner nodes defined by brackets



Furthermore, this is *inorder traversal!*



What happens if we use *Preorder (NLR)*?





What happens if we use *Preorder (NLR)*?

 $+ \times 23 + \times 57 \times 911$

Famous *(normal) Polish notation.* Brackets not needed.





Postorder (LRN)

$$2 3 \times 5 7 \times 9 11 \times + +$$

Famous *reverse* Polish notation. Brackets not needed.





Foundations of Computer Science 1—<u>**LIACS</u></u></u>**


Comment: Can work with unary operations (other arities as well), provided the arity of each operator is known. Binary trees are a recursive structure can provide recursive method how to evaluate expression trees



basis: for a leaf x: f(leaf) = numerical value x

inductive step: for a node @: f(node) = f(root-left-subtree) @ f(root-right-subtree) (@ is the operation)



Binary trees are a recursive structure recursively analyzing other tree properties

basis: for a leaf x: f(leaf) = 1

<u>inductive step</u>: for a node y: f(node) = f(root-left-subtree) + f(root-right-subtree) + 1

What does this compute?





Binary trees are a recursive structure recursively analyzing tree properties



basis: for a leaf x: f(leaf) = 1

inductive step: for a node y: f(node) = f(root-left-subtree) + f(root-right-subtree) + 1





Modulo computation and equivalence relations

Schaum: 2.8, 11.5, 11.8



Equivalence relation: a binary relation which is simultanously

- (1) reflexive (xRx, for all x)
- (2) symmetric (*xRy implies yRx*)
- (3) transitive (xRy and yRz imply xRz)

Capture equalities up to (disregarding) some properties:

-"same colour"

_=

-parity-"being parallel" for lines

Modulo computation examples

- 12h clock.
 It is 5 o'clock; What time is it 8 hours later?
- 24h clock.
 It is 22.30; What time is it 3 h later?
- 24h clock & 60 minute hour.
 It is 22.30; What time is it 2h 33mins later?
- 7 day weeks:
 Mon = 1st day; Tue = 2nd day; ... Sun = 7th day.
 What day comes 20 days after a Tuesday?

Its about remainders when dividing with integers!

Congruence modulo *n*



Def. For integers *a*, *b*, *n*, n>0, we say <u>*a* is congruent with *b* modulo n</u>, written

 $a \equiv b \pmod{n}$

if *n* divides the difference (*a-b*) (in other words, *n* | (*a-b*)). *n* is called the modulus.

NB:

-a is congruent with b mod n if and only if a and b have the same remainder when divided with n. Eg: how many minutes past full hour. (245 = 305)

-Specially, if b is the remainder if a div n.

For any fixed n, "congruence mod n" is a relation (a and b are in that relation).

It is an equivalence relation: (1) reflexive, (2) symmetric, (3) transitive.

Congruence modulo *n*



Congruence mod n is an equivalence relation.

Proof:

(4) reflexive
$$A \equiv a$$
 because $h \mid 0$ always.
(4) symphil $A \equiv b => n \mid (A-b) \iff a = a + n \ (k \in \mathbb{Z})$
(5) $a \equiv b \pmod{h}$ $d \equiv b \equiv c \pmod{h}$
(3) $A \equiv b \pmod{h}$ $d \equiv b \equiv c \pmod{h}$
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(4) $a \equiv b \pmod{h}$ $b \equiv c \pmod{h}$
(5) $a \equiv b \pmod{h}$ $b \equiv c \pmod{h}$
(6) $a = b \pmod{h}$ $b \equiv c \pmod{h}$
(7) $a \equiv c \pmod{h}$, $b \equiv c \pmod{h}$

As we said:



Equivalence relations capture equalities "up to" some details.

"up to X" can be taken to mean "disregarding a possible difference in X"

(graph isomorphism is equivalence "up to" permutation of labels, equivalence relation "strings of equal lenght" ignores all except lenght...)

Equivalence class groups together all the elements that "are the same" according to the given equivalence relation.

Such a set is specified by one representative element.



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Equivalence classes.
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Let R be an equivalence relation on V, let x be some element of V.

With $[x]_R = \{y \in V | xRy\}$ we denote the equivalence class of x with respect to the relation R

Equivalence class groups together all the elements that "are the same" according to the given equivalence relation.

Such a set is specified by one representative element.



<u>**Residue classes mod n</u>** are equivalence classes relative to the relation of *congruence mod n*</u>

Consider the equivalence relation $R = congruence \ mod \ 7$

$$[0]_{R} = \{\dots - 14, -7, 0, 7, 14, 21, \dots\}$$

$$[1]_{R} = \{\dots - 13, -6, 1, 8, 15, 22, \dots\}$$

$$[2]_{R} = \{\dots - 12, -5, 2, 9, 16, 23, \dots\}$$

$$[3]_{R} = \{\dots - 11, -4, 3, 10, 17, 24, \dots\}$$

$$\vdots$$

$$[6]_{R} = \{\dots - 8, -1, 6, 13, 20, 27, \dots\}$$

$$[7]_{R} = ?$$
For index of a set of a s

[x].. take x see what remainder when div 7 collect all numbers with same remainder

Equivalence class [x] is also denoted



<u>**Residue classes mod n</u>** are equivalence classes relative to the relation of *congruence mod n*</u>

Residue class [x] is also denoted \bar{x}

$$[0]_{R} = \{ \dots - 14, -7, 0, 7, 14, 21, \dots \} = \bar{0}$$

$$[1]_{R} = \{ \dots - 13, -6, 1, 8, 15, 22, \dots \} = \bar{1}$$

$$[2]_{R} = \{ \dots - 12, -5, 2, 9, 16, 23, \dots \} = \bar{2}$$

$$[3]_{R} = \{ \dots - 11, -4, 3, 10, 17, 24, \dots \} = \bar{3}$$

$$\vdots$$

$$[6]_{R} = \{ \dots - 8, -1, 6, 13, 20, 27, \dots \} = \bar{6}$$

[x].. take x see what remainder when div 7 collect all numbers with same remainder

Mini example



Consider the equivalence relation $R = congruence \mod 2$

How many residue classes?

If I give you a number in binary, how can you tell what class it is in?

What about mod 4? If I give you a number in binary, how can you tell what class it is in?

Modulo arithmetic



Theorem. Suppose that $a \equiv b \pmod{n}$ **and** $c \equiv d \pmod{n}$ **. Then**

(1) a + c ≡ b + d (mod n)
(2) a - c ≡ b - d (mod n)
(3) a × c ≡ b × d (mod n)

Corollary. If $a \equiv b \pmod{n}$ then $a^k \equiv b^k \pmod{n}$ for all integer k > 0.

Example of use: last digit



What is the last digit of 3^{234} ?

Note: last digit of x the remainder of x divided by 10.

What $0 \le b < 10$ is 3^{234} congruent mod 10?

Use $e \cdot g \cdot 3^4 = 81$, so $81 \equiv 1 \pmod{10}$. (dropping mod 10 notation...)

 $3^{234} = 3^{4 \times 58 + 2}$ $3^{4 \times 58} = (3^4)^{58} \equiv 1^{58} \equiv 1$

 $3^2 = 9 \equiv 9$ $3^{234} = 3^{4 \times 58 + 2} = (3^{4 \times 58} \times 3^2) \equiv 1 \times 9 = 9$

Example of use: days of the week

1-Jan-2000 - Sat 2-Jan-2000 - Sun 31-Feb-2000 -

31-Dec-2000 - Sun 1-Jan-2001 - Mon

13-May-2023 - ?





Find out how many days ahead of a date with known day



- 1 1-Jan-2000 Sat
- 2 2-Jan-2000 Sun
- 31 31-Jan-2000 ...
- 32 1-Feb-2000 ...
- 365 31-Dec-2000 Sun
 - 1-Jan-2001 Mon
- x 13-May-2023 ?
- x mod 7 gives you the solution...

Compute number of days...



- 1 1-Jan-2000 Sat
- 2 2-Jan-2000 Sun
- 31 31-Jan-2000 ...
- 32 1-Feb-2000 ...
- 365 31-Dec-2000 Sun
 - 1-Jan-2001 Mon
- x 13-May-2023 ?

23 full years = 23 x 365 6 leap years = +6Jan - May = 31+28+31+3013 = +13

 $= 8534 \pmod{7}$

Compute number of days...



- 1 1-Jan-2000 Sat
- 2 2-Jan-2000 Sun
- 31 31-Jan-2000 ...
- 32 1-Feb-2000 ...
- 365 31-Dec-2000 Sun1-Jan-2001 Mon
- x 13-May-2023 ?

23 full years = 23 x 365 6 leap years = +6Jan - May = 31+28+31+3013 = +13

 $= 8534 \pmod{7}$

but can compute it in parts!

=2 x 1 + 6 + 3+0+3+2+6=22=1

Started Sat + 1 =Sun!



Theorem. Suppose that $a \equiv b \pmod{n}$ **and** $c \equiv d \pmod{n}$ **. Then**

(1) a + c ≡ b + d (mod n)
(2) a - c ≡ b - d (mod n)
(3) a × c ≡ b × d (mod n)

Corollary. If $a \equiv b \pmod{n}$ then $a^k \equiv b^k \pmod{n}$ for all integer k > 0.

 $100^{102} \mod 13$ $100^{102} \equiv 9^{102} \equiv (81)^{51} \equiv (3)^{51} \equiv (27)^{17} \equiv 1^{17} \equiv 1$

41²⁰¹⁶ mod 13

 $41^{2016} \equiv 2^{2016} \equiv 16^{504} \equiv 3^{504} = 27^{167} = 1$

 $100^{102} + 41^{2016} \equiv 1 + 1 = 2$

A trick you may use (not necessary)



Little Fermat's theorem

Theorem. For any prime *p* and any integer *a*:

 $a^{p-1} \equiv 1 \pmod{p}.$

Eg. $100^{102} \mod 13 = 100^{(8*12+6)} = [100^{(12)}]^{8*100^{6}} = 100^{6} = 10^{12} = 1$



Note, the questions of divisibility ("is x divisible by y") is the question is: $x \equiv 0 \pmod{y}$

Partitions and equivalence classes



Def. Given a set V, the set {V₁,...V_k}of subsets of V is called a partition of V if
(1) (pariwise disjointness) V_i ∩ V_j = Ø, for all i ≠ j
(2) (cover) ⋃_{i=1}^k V_k = V

in other words, every x from V is in exactly one subset V_j

Residue classes partition the set of integers



Theorem. Let $[0]_R, ... [k-1]_R$, be the residure classes with respect to the equivalence relation congruent modulo k. Then $\{[0]_R, ... [k-1]_R\}$ is a partition of \mathbb{Z} .

NB, partitions can be infinite:

 $V_k = \{l \times 2^k | l \text{ is odd}\}, \ k \ge 0$



Consider the equivalence relation $R = congruence \mod n$

 $[x]_R = \{y | xRy\} = \{an + k | a \in \mathbb{Z}, k \text{ is remainder of dividing } x \text{ with } n\}$

 $y \in [x]_R$, such that $y \neq x$

nonetheless:

 $[x]_R = [y]_R$

Representative does not matter: well-defined

Computing using residual classes



Can do arithmetic!

 $[x]_{R} + [y]_{R} = [x + y]_{R}$

E.g. mod 7. [3] + [3] = [6]; [3] + [4] = [7] = [0].

Mathematically, this is addition mod 7 (n).

Also notation : $\bar{x} + \bar{y} = \overline{x + y}$

Computing using residual classes



Can do arithmetic!

 $[x]_R \times [y]_R = [x \times y]_R$

E.g. mod 7. $[3] \times [3] = [9] = [2]; [3] \times [4] = [12] = [5].$

Mathematically, this is multiplication mod 7 (n).

Also notation : $\bar{x} \times \bar{y} = \overline{x \times y}$



Interesting thing happens when *n* is not prime...

 $[x]_R \times [y]_R = [x \times y]_R$

E.g. *mod 6*. [3] x [2] = [6] = [0]

So modular arithmetic behaves almost the same but,

if the order (n) is not prime $a \times b \pmod{n} \Rightarrow a = 0$ or b = 0.

if *n* is prime all good.

Computing using residue classes



We denote these structures (of mod *n*) arithmetic \mathbb{Z}_n

On one hand, elements are integers, and operations are mod n

On the other, the elements of \mathbb{Z}_n are residue classes (subsets) [k].

These structures are isomorphic.

Computing using residue classes





+	0	1	2	3	4	5	_
0	0	1	2	3	4	5	
1	1	2	3	4	5	0	
2	2	3	4	5	0	1	
3	3	4	5	0	1	2	
4	4	5	0	1	2	3	
5	5	0	1	2	3	4	

	•	0	1	2	3	4	5
()	0	0	0	0	0	0
1	L	0	1	2	3	4	5
2	2	0	2	4	0	2	4
3	3	0	3	0	3	0	3
2	1	0	4	2	0	4	2
	5	0	5	4	3	2	1

Computing using residue classes





+	0	1	2	3	4	5	6	
0	0	1	2	3	4	5	6	
1	1	2	3	4	5	6	0	
2	2	3	4	5	6	0	1	
3	3	4	5	6	0	1	2	
4	4	5	6	0	1	2	3	
5	5	6	0	1	2	3	4	
6	6	0	1	2	3	4	5	

	0	1	2	3	4	5	6
0	0	0	0	0	0	0	0
1	0	1	2	3	4	5	6
2	0	2	4	6	1	3	5
3	0	3	6	2	5	1	4
4	0	4	1	5	2	6	3
5	0	5	3	1	6	4	2
6	0	6	5	4	3	2	1

Exercises:





- (i) WLR (PREORDER)
- (ii) LNR (INDRDER)
- (ili) LRN (POSTORDER)

Exercises:





- (i) NLR (PREDEDER) (ii) LNR (INDRDER)
- (ili) LRN (POSTORDER)

ABDEGH(F) DBGEHACIF DGHEBIFCA



$$224 \equiv 768 \mod 8$$

 $|N| = 213$. With $-a$ we denote $x \in 2$
 $st = x + a \equiv 0 \pmod{3}$
 $-3?$
 $|N| = 217 - 15?$