

# Fundamentele Informatica 3

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<http://www.liacs.leidenuniv.nl/~vlietrvan1/fi3/>

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- 8. Recursively Enumerable Languages
  - 8.5. Not Every Language is Recursively Enumerable
- 9. Undecidable Problems
  - 9.2. Reductions and the Halting Problem
  - 9.3. More Decision Problems Involving Turing Machines

## 8.5. Not Every Language is Recursively Enumerable

reg. languages	FA	reg. grammar	reg. expression
determ. cf. languages	DPDA		
cf. languages	PDA	cf. grammar	
cs. languages	LBA	cs. grammar	
re. languages	TM	unrestr. grammar	

From Fundamentele Informatica 1:

**Definition 8.24.**

**Countably Infinite and Countable Sets**

A set  $A$  is *countably infinite* (the same size as  $\mathbb{N}$ ) if there is a bijection  $f : \mathbb{N} \rightarrow A$ , or a list  $a_0, a_1, \dots$  of elements of  $A$  such that every element of  $A$  appears exactly once in the list.

$A$  is *countable* if  $A$  is either finite or countably infinite.

*uncountable*: not countable

**Example 8.29.** Languages Are Countable Sets

$$L \subseteq \Sigma^* = \bigcup_{i=0}^{\infty} \Sigma^i$$

*A slide from lecture 4*

**Some** Crucial features of any encoding function  $e$ :

1. It should be possible to decide algorithmically, for any string  $w \in \{0, 1\}^*$ , whether  $w$  is a legitimate value of  $e$ .
2. A string  $w$  should represent at most one Turing machine **with a given input alphabet  $\Sigma$** , or at most one string  $z$ .
3. If  $w = e(T)$  or  $w = e(z)$ , there should be an algorithm for *decoding*  $w$ .

*A slide from lecture 4*

**Assumptions:**

1. Names of the states are irrelevant.
2. Tape alphabet  $\Gamma$  of every Turing machine  $T$  is subset of infinite set  $\mathcal{S} = \{a_1, a_2, a_3, \dots\}$ , where  $a_1 = \Delta$ .

*A slide from lecture 4*

**Definition 7.33.** An Encoding Function

Assign numbers to each state:

$$n(h_a) = 1, n(h_r) = 2, n(q_0) = 3, n(q) \geq 4 \text{ for other } q \in Q.$$

Assign numbers to each tape symbol:

$$n(a_i) = i.$$

Assign numbers to each tape head direction:

$$n(R) = 1, n(L) = 2, n(S) = 3.$$

*A slide from lecture 4*

**Definition 7.33.** An Encoding Function (continued)

For each move  $m$  of  $T$  of the form  $\delta(p, \sigma) = (q, \tau, D)$

$$e(m) = 1^{n(p)}01^{n(\sigma)}01^{n(q)}01^{n(\tau)}01^{n(D)}0$$

We list the moves of  $T$  in **some** order as  $m_1, m_2, \dots, m_k$ , and we define

$$e(T) = e(m_1)0e(m_2)0 \dots 0e(m_k)0$$

If  $z = z_1z_2 \dots z_j$  is a string, where each  $z_i \in \mathcal{S}$ ,

$$e(z) = 01^{n(z_1)}01^{n(z_2)}0 \dots 01^{n(z_j)}0$$



**Example 8.30.** The Set of Turing Machines Is Countable

Let  $\mathcal{T}(\Sigma)$  be set of Turing machines with input alphabet  $\Sigma$

There is injective function  $e : \mathcal{T}(\Sigma) \rightarrow \{0, 1\}^*$

( $e$  is encoding function)

Hence ( . . . ), set of recursively enumerable languages is countable

**Example 8.31.** The Set  $2^{\mathbb{N}}$  Is Uncountable

Hence, because  $\mathbb{N}$  and  $\{0, 1\}^*$  are the same size, there are uncountably many languages over  $\{0, 1\}$

**Theorem 8.32.** Not all languages are recursively enumerable. In fact, the set of languages over  $\{0, 1\}$  that are not recursively enumerable is uncountable.

(Not) Recursively enumerable

vs.

(Not) Countable

*A slide from lecture 4:*

**Theorem 8.4.** If  $L_1$  and  $L_2$  are both recursively enumerable languages over  $\Sigma$ , then  $L_1 \cup L_2$  and  $L_1 \cap L_2$  are also recursively enumerable.

**Proof...**

### Exercise 8.3.

Is the following statement true or false?

If  $L_1, L_2, \dots$  are any recursively enumerable subsets of  $\Sigma^*$ , then  $\bigcup_{i=1}^{\infty} L_i$  is recursively enumerable.

Give reasons for your answer.

## **9.2. Reductions and the Halting Problem**

*A slide from lecture 6:*

For general decision problem  $P$ ,  
an encoding  $e$  of instances  $I$  as strings  $e(I)$  over alphabet  $\Sigma$   
is called *reasonable*, if

1. there is algorithm to decide if string over  $\Sigma$  is encoding  $e(I)$
2.  $e$  is injective
3. string  $e(I)$  can be decoded



*A slide from lecture 6:*

For general decision problem  $P$  and reasonable encoding  $e$ ,

$$Y(P) = \{e(I) \mid I \text{ is yes-instance of } P\}$$

$$N(P) = \{e(I) \mid I \text{ is no-instance of } P\}$$

$$E(P) = Y(P) \cup N(P)$$

$E(P)$  must be recursive

*A slide from lecture 6:*

**Definition 9.3.** Decidable Problems

If  $P$  is a decision problem, and  $e$  is a reasonable encoding of instances of  $P$  over the alphabet  $\Sigma$ , we say that  $P$  is *decidable* if  $Y(P) = \{e(I) \mid I \text{ is a yes-instance of } P\}$  is a recursive language.

*A slide from lecture 6:*

**Definition 9.6.** Reducing One Decision Problem to Another . . .

Suppose  $P_1$  and  $P_2$  are decision problems. We say  $P_1$  is reducible to  $P_2$  ( $P_1 \leq P_2$ )

- if there is an algorithm
- that finds, for an arbitrary instance  $I$  of  $P_1$ , an instance  $F(I)$  of  $P_2$ ,
- such that
  - for every  $I$  the answers for the two instances are the same,
  - or  $I$  is a yes-instance of  $P_1$ 
    - if and only if  $F(I)$  is a yes-instance of  $P_2$ .

. . .

*A slide from lecture 6:*

Two more decision problems:

*Accepts:* Given a TM  $T$  and a string  $w$ , is  $w \in L(T)$  ?

*Halts:* Given a TM  $T$  and a string  $w$ , does  $T$  halt on input  $w$  ?

*A slide from lecture 6:*

**Theorem 9.9.** The following five decision problems are undecidable.

1. *Accepts- $\Lambda$* : Given a TM  $T$ , is  $\Lambda \in L(T)$  ?

**Proof.**

1. Prove that *Accepts*  $\leq$  *Accepts- $\Lambda$*  ...

Reduction from *Accepts* to *Accepts- $\Lambda$* .

Instance of *Accepts* is  $(T_1, x)$  for TM  $T_1$  and string  $x$ .

Instance of *Accepts- $\Lambda$*  is TM  $T_2$ .

$$T_2 = F(T_1, x) =$$

$$\text{Write}(x) \rightarrow T_1$$

$T_2$  accepts  $\Lambda$ , if and only if  $T_1$  accepts  $x$ .

If we had an algorithm/TM  $A_2$  to solve *Accepts- $\Lambda$* , then we would also have an algorithm/TM  $A_1$  to solve *Accepts*, as follows:

$A_1$ :

Given instance  $(T_1, x)$  of *Accepts*,

1. construct  $T_2 = F(T_1, x)$ ;
2. run  $A_2$  on  $T_2$ .

$A_1$  answers 'yes' for  $(T_1, x)$ ,  
if and only if  $A_2$  answers 'yes' for  $T_2$ ,  
if and only if  $T_2$  accepts  $\Lambda$ ,  
if and only if  $T_1$  accepts  $x$ .

## Theorem 9.7.

...

Suppose  $P_1$  and  $P_2$  are decision problems, and  $P_1 \leq P_2$ . If  $P_2$  is decidable, then  $P_1$  is decidable.

Order  $P_1 \leq P_2$

**Proof...**



In context of decidability: decision problem  $P \approx$  language  $Y(P)$

Question

“is instance  $I$  of  $P$  a yes-instance ?”

is **essentially** the same as

“does string  $x$  represent yes-instance of  $P$  ?” ,

i.e.,

“is string  $x \in Y(P)$  ?”

*A slide from lecture 6:*

**Theorem 9.9.** The following five decision problems are undecidable.

2. *AcceptsEverything*:

Given a TM  $T$  with input alphabet  $\Sigma$ , is  $L(T) = \Sigma^*$  ?

**Proof.**

2. Prove that *Accepts- $\Lambda$*   $\leq$  *AcceptsEverything* . . .

**Theorem 9.9.** The following five decision problems are undecidable.

3. *Subset*: Given two TMs  $T_1$  and  $T_2$ , is  $L(T_1) \subseteq L(T_2)$  ?

**Proof.**

3. Prove that *AcceptsEverything*  $\leq$  *Subset* ...

**Theorem 9.9.** The following five decision problems are undecidable.

4. *Equivalent*: Given two TMs  $T_1$  and  $T_2$ , is  $L(T_1) = L(T_2)$

**Proof.**

4. Prove that *Subset*  $\leq$  *Equivalent* ...

'The intersection of two Turing machines'

**Theorem 9.9.** The following five decision problems are undecidable.

4. *Equivalent*: Given two TMs  $T_1$  and  $T_2$ , is  $L(T_1) = L(T_2)$

**Proof.**

4. Prove that *Subset*  $\leq$  *Equivalent* ...

*Subset*: Given two TMs  $T_1$  and  $T_2$ , is  $L(T_1) \subseteq L(T_2)$  ?

*Equivalent*: Given two TMs  $T_1$  and  $T_2$ , is  $L(T_1) = L(T_2)$

### **Exercise 9.10.**

- a. Given two sets  $A$  and  $B$ , find two sets  $C$  and  $D$ , defined in terms of  $A$  and  $B$ , such that  $A = B$  if and only if  $C \subseteq D$ .
- b. Show that the problem *Equivalent* can be reduced to the problem *Subset*.

*Accepts- $\Lambda$* : Given a TM  $T$ , is  $\Lambda \in L(T)$  ?

**Theorem 9.9.** The following five decision problems are undecidable.

5. *WritesSymbol*:

Given a TM  $T$  and a symbol  $a$  in the tape alphabet of  $T$ , does  $T$  ever write  $a$  if it starts with an empty tape ?

**Proof.**

5. Prove that *Accepts- $\Lambda$*   $\leq$  *WritesSymbol* ...



*AtLeast10MovesOn- $\Lambda$ :*

Given a TM  $T$ , does  $T$  make at least ten moves on input  $\Lambda$  ?

*WritesNonblank:* Given a TM  $T$ , does  $T$  ever write a nonblank symbol on input  $\Lambda$  ?