

# Computability

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<https://liacs.leidenuniv.nl/~vlietrvan1/computability/>

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- 8. Recursively Enumerable Languages
  - 8.5. Not Every Language is Recursively Enumerable
- 9. Undecidable Problems
  - 9.2. Reductions and the Halting Problem
  - 9.3. More Decision Problems Involving Turing Machines

## 8.5. Not Every Language is Recursively Enumerable

|                       |      |                  |                 |
|-----------------------|------|------------------|-----------------|
| reg. languages        | FA   | reg. grammar     | reg. expression |
| determ. cf. languages | DPDA |                  |                 |
| cf. languages         | PDA  | cf. grammar      |                 |
| cs. languages         | LBA  | cs. grammar      |                 |
| re. languages         | TM   | unrestr. grammar |                 |

From Fundamentele Informatica 1:

**Definition 8.24.**

**Countably Infinite and Countable Sets**

A set  $A$  is *countably infinite* (the same size as  $\mathbb{N}$ ) if there is a bijection  $f : \mathbb{N} \rightarrow A$ , or a list  $a_0, a_1, \dots$  of elements of  $A$  such that every element of  $A$  appears exactly once in the list.

$A$  is *countable* if  $A$  is either finite or countably infinite.

*uncountable*: not countable

**Example 8.29.** Languages Are Countable Sets

$$L \subseteq \Sigma^* = \bigcup_{i=0}^{\infty} \Sigma^i$$

*A slide from lecture 4*

**Some** Crucial features of any encoding function  $e$ :

1. It should be possible to decide algorithmically, for any string  $w \in \{0, 1\}^*$ , whether  $w$  is a legitimate value of  $e$ .
2. A string  $w$  should represent at most one Turing machine **with a given input alphabet  $\Sigma$** , or at most one string  $z$ .
3. If  $w = e(T)$  or  $w = e(z)$ , there should be an algorithm for *decoding*  $w$ .

*A slide from lecture 4*

**Assumptions:**

1. Names of the states are irrelevant.
2. Tape alphabet  $\Gamma$  of every Turing machine  $T$  is subset of infinite set  $\mathcal{S} = \{a_1, a_2, a_3, \dots\}$ , where  $a_1 = \Delta$ .

*A slide from lecture 4*

**Definition 7.33.** An Encoding Function

Assign numbers to each state:

$$n(h_a) = 1, n(h_r) = 2, n(q_0) = 3, n(q) \geq 4 \text{ for other } q \in Q.$$

Assign numbers to each tape symbol:

$$n(a_i) = i.$$

Assign numbers to each tape head direction:

$$n(R) = 1, n(L) = 2, n(S) = 3.$$

*A slide from lecture 4*

**Definition 7.33.** An Encoding Function (continued)

For each move  $m$  of  $T$  of the form  $\delta(p, \sigma) = (q, \tau, D)$

$$e(m) = 1^{n(p)}01^{n(\sigma)}01^{n(q)}01^{n(\tau)}01^{n(D)}0$$

We list the moves of  $T$  in **some** order as  $m_1, m_2, \dots, m_k$ , and we define

$$e(T) = e(m_1)0e(m_2)0 \dots 0e(m_k)0$$

If  $z = z_1z_2 \dots z_j$  is a string, where each  $z_i \in \mathcal{S}$ ,

$$e(z) = 01^{n(z_1)}01^{n(z_2)}0 \dots 01^{n(z_j)}0$$



**Example 8.30.** The Set of Turing Machines Is Countable

Let  $\mathcal{T}(\Sigma)$  be set of Turing machines with input alphabet  $\Sigma$

There is injective function  $e : \mathcal{T}(\Sigma) \rightarrow \{0, 1\}^*$

( $e$  is encoding function)

Hence ( . . . ), set of recursively enumerable languages is countable

**Example 8.31.** The Set  $2^{\mathbb{N}}$  Is Uncountable

Hence, because  $\mathbb{N}$  and  $\{0, 1\}^*$  are the same size, there are uncountably many languages over  $\{0, 1\}$

**Theorem 8.32.** Not all languages are recursively enumerable. In fact, the set of languages over  $\{0, 1\}$  that are not recursively enumerable is uncountable.

(Not) Recursively enumerable

vs.

(Not) Countable

*A slide from lecture 4:*

**Theorem 8.4.** If  $L_1$  and  $L_2$  are both recursively enumerable languages over  $\Sigma$ , then  $L_1 \cup L_2$  and  $L_1 \cap L_2$  are also recursively enumerable.

**Proof...**

### Exercise 8.3.

Is the following statement true or false?

If  $L_1, L_2, \dots$  are any recursively enumerable subsets of  $\Sigma^*$ , then  $\bigcup_{i=1}^{\infty} L_i$  is recursively enumerable.

Give reasons for your answer.

## **9.2. Reductions and the Halting Problem**

*A slide from lecture 6:*

For general decision problem  $P$ ,  
an encoding  $e$  of instances  $I$  as strings  $e(I)$  over alphabet  $\Sigma$   
is called *reasonable*, if

1. there is algorithm to decide if string over  $\Sigma$  is encoding  $e(I)$
2.  $e$  is injective
3. string  $e(I)$  can be decoded



*A slide from lecture 6:*

For general decision problem  $P$  and reasonable encoding  $e$ ,

$$Y(P) = \{e(I) \mid I \text{ is yes-instance of } P\}$$

$$N(P) = \{e(I) \mid I \text{ is no-instance of } P\}$$

$$E(P) = Y(P) \cup N(P)$$

$E(P)$  must be recursive

*A slide from lecture 6:*

**Definition 9.3.** Decidable Problems

If  $P$  is a decision problem, and  $e$  is a reasonable encoding of instances of  $P$  over the alphabet  $\Sigma$ , we say that  $P$  is *decidable* if  $Y(P) = \{e(I) \mid I \text{ is a yes-instance of } P\}$  is a recursive language.

*A slide from lecture 6:*

*Self-Accepting:*

Given a TM  $T$ , does  $T$  accept the string  $e(T)$ ?

For every decision problem, there is *complementary* problem  $P'$ , obtained by changing 'true' to 'false' in statement.

*Non-Self-Accepting:*

Given a TM  $T$ , does  $T$  fail to accept  $e(T)$  ?

**Theorem 9.5.** For every decision problem  $P$ ,  $P$  is decidable if and only if the complementary problem  $P'$  is decidable.

**Proof...**

*A slide from lecture 6:*

**Definition 9.6.** Reducing One Decision Problem to Another . . .

Suppose  $P_1$  and  $P_2$  are decision problems. We say  $P_1$  is reducible to  $P_2$  ( $P_1 \leq P_2$ )

- if there is an algorithm
- that finds, for an arbitrary instance  $I$  of  $P_1$ , an instance  $F(I)$  of  $P_2$ ,
- such that
  - for every  $I$  the answers for the two instances are the same,
  - or  $I$  is a yes-instance of  $P_1$ 
    - if and only if  $F(I)$  is a yes-instance of  $P_2$ .

. . .

*A slide from lecture 6:*

**Theorem 9.7.**

...

Suppose  $P_1$  and  $P_2$  are decision problems, and  $P_1 \leq P_2$ . If  $P_2$  is decidable, then  $P_1$  is decidable.

*A slide from lecture 6:*

Two more decision problems:

*Accepts:* Given a TM  $T$  and a string  $w$ , is  $w \in L(T)$  ?

*Halts:* Given a TM  $T$  and a string  $w$ , does  $T$  halt on input  $w$  ?

*A slide from lecture 6:*

**Theorem 9.9.** The following five decision problems are undecidable.

1. *Accepts- $\Lambda$* : Given a TM  $T$ , is  $\Lambda \in L(T)$  ?

**Proof.**

1. Prove that *Accepts*  $\leq$  *Accepts- $\Lambda$*  ...



Reduction from *Accepts* to *Accepts- $\Lambda$* .

Instance of *Accepts* is  $(T_1, x)$  for TM  $T_1$  and string  $x$ .

Instance of *Accepts- $\Lambda$*  is TM  $T_2$ .

$$T_2 = F(T_1, x) =$$

$$\text{Write}(x) \rightarrow T_1$$

$T_2$  accepts  $\Lambda$ , if and only if  $T_1$  accepts  $x$ .

If we had an algorithm/TM  $A_2$  to solve *Accepts- $\Lambda$* , then we would also have an algorithm/TM  $A_1$  to solve *Accepts*, as follows:

$A_1$ :

Given instance  $(T_1, x)$  of *Accepts*,

1. construct  $T_2 = F(T_1, x)$ ;
2. run  $A_2$  on  $T_2$ .

$A_1$  answers 'yes' for  $(T_1, x)$ ,

if and only if  $A_2$  answers 'yes' for  $T_2$ ,

if and only if  $T_2$  is yes-instance of *Accepts- $\Lambda$*  ( $T_2$  accepts  $\Lambda$ ),

if and only if  $(T_1, x)$  is yes-instance of *Accepts* ( $T_1$  accepts  $x$ )

## Theorem 9.7.

...

Suppose  $P_1$  and  $P_2$  are decision problems, and  $P_1 \leq P_2$ . If  $P_2$  is decidable, then  $P_1$  is decidable.

Order  $P_1 \leq P_2$

**Proof...**

### Informal proof:

Suppose that  $P_1 \leq P_2$ , and that function  $F$  maps instance  $I_1$  of  $P_1$  to instance  $I_2 = F(I_1)$  of  $P_2$  with same answer yes/no

If we have an algorithm/TM  $A_2$  to solve  $P_2$ ,  
then we also have an algorithm/TM  $A_1$  to solve  $P_1$ ,  
as follows:

$A_1$ :

- Given instance  $I_1$  of  $P_1$ ,
1. construct  $I_2 = F(I_1)$ ;
  2. run  $A_2$  on  $I_2$ .

$$A_1 : \quad I_1 \xrightarrow{F} I_2 \xrightarrow{A_2} \text{yes/no}$$

$A_1$  answers 'yes' for  $I_1$ ,  
if and only if  $A_2$  answers 'yes' for  $I_2$ ,  
if and only if  $I_2 = F(I_1)$  is yes-instance of  $P_2$ ,  
if and only if  $I_1$  is yes-instance of  $P_1$

In context of decidability: decision problem  $P \approx$  language  $Y(P)$

Question

“is instance  $I$  of  $P$  a yes-instance ?”

is **essentially** the same as

“does string  $x$  represent yes-instance of  $P$  ?” ,

i.e.,

“is string  $x \in Y(P)$  ?”

*A slide from lecture 6:*

**Theorem 9.9.** The following five decision problems are undecidable.

1. *Accepts- $\Lambda$* : Given a TM  $T$ , is  $\Lambda \in L(T)$  ?

**Proof.**

1. Prove that *Accepts*  $\leq$  *Accepts- $\Lambda$*  ...

**Theorem 9.9.** The following five decision problems are undecidable.

2. *AcceptsEverything*:

Given a TM  $T$  with input alphabet  $\Sigma$ , is  $L(T) = \Sigma^*$  ?

**Proof.**

2. Prove that  $\text{Accepts-}\Lambda \leq \text{AcceptsEverything} \dots$

**Theorem 9.9.** The following five decision problems are undecidable.

3. *Subset*: Given two TMs  $T_1$  and  $T_2$ , is  $L(T_1) \subseteq L(T_2)$  ?

**Proof.**

3. Prove that *AcceptsEverything*  $\leq$  *Subset* ...



**Theorem 9.9.** The following five decision problems are undecidable.

4. *Equivalent*: Given two TMs  $T_1$  and  $T_2$ , is  $L(T_1) = L(T_2)$

**Proof.**

4. Prove that *Subset*  $\leq$  *Equivalent* ...

'The intersection of two Turing machines'

*Accepts- $\Lambda$* : Given a TM  $T$ , is  $\Lambda \in L(T)$  ?

**Theorem 9.9.** The following five decision problems are undecidable.

5. *WritesSymbol*:

Given a TM  $T$  and a symbol  $a$  in the tape alphabet of  $T$ , does  $T$  ever write  $a$  if it starts with an empty tape ?

**Proof.**

5. Prove that *Accepts- $\Lambda$*   $\leq$  *WritesSymbol* ...

*AtLeast10MovesOn- $\Lambda$ :*

Given a TM  $T$ , does  $T$  make at least ten moves on input  $\Lambda$  ?

*WritesNonblank:* Given a TM  $T$ , does  $T$  ever write a nonblank symbol on input  $\Lambda$  ?

**Theorem 9.10.**

The decision problem *WritesNonblank* is decidable.

**Proof...**

**Definition 9.11.** A Language Property of TMs

A property  $R$  of Turing machines is called a *language property* if, for every Turing machine  $T$  having property  $R$ , and every other TM  $T_1$  with  $L(T_1) = L(T)$ ,  $T_1$  also has property  $R$ .

A language property of TMs is *nontrivial* if there is at least one  $TM$  that has the property and at least one that doesn't.

In fact, a language property is a property *of the languages accepted by TMs*.

Example of nontrivial language property:

2. *AcceptsSomething*:

Given a TM  $T$ , is there at least one string in  $L(T)$  ?

**Theorem 9.12.** Rice's Theorem

If  $R$  is a nontrivial language property of TMs, then the decision problem

$P_R$ : Given a TM  $T$ , does  $T$  have property  $R$  ?

is undecidable.

**Proof...**

Prove that  $\text{Accepts-}\Lambda \leq P_R \dots$

(or that  $\text{Accepts-}\Lambda \leq P_{\text{not-}R} \dots$ )



Examples of decision problems to which Rice's theorem can be applied:

1. *Accepts-L*: Given a TM  $T$ , is  $L(T) = L$  ? (assuming ...)
2. *AcceptsSomething*:  
Given a TM  $T$ , is there at least one string in  $L(T)$  ?
3. *AcceptsTwoOrMore*:  
Given a TM  $T$ , does  $L(T)$  have at least two elements ?
4. *AcceptsFinite*: Given a TM  $T$ , is  $L(T)$  finite ?
5. *AcceptsRecursive*:  
Given a TM  $T$ , is  $L(T)$  recursive ? (note that ...)

All these problems are undecidable.

Rice's theorem cannot be applied (directly)

- if the decision problem does not involve just one TM  
*Equivalent:* Given two TMs  $T_1$  and  $T_2$ , is  $L(T_1) = L(T_2)$

Rice's theorem cannot be applied (directly)

- if the decision problem does not involve just one TM

*Equivalent*: Given two TMs  $T_1$  and  $T_2$ , is  $L(T_1) = L(T_2)$

- if the decision problem involves the *operation* of the TM

*WritesSymbol*: Given a TM  $T$  and a symbol  $a$  in the tape alphabet of  $T$ , does  $T$  ever write  $a$  if it starts with an empty tape ?

*WritesNonblank*: Given a TM  $T$ , does  $T$  ever write a nonblank symbol on input  $\Lambda$  ?

- if the decision problem involves a *trivial* property

*Accepts-NSA*: Given a TM  $T$ , is  $L(T) = NSA$  ?

# Tentamen

donderdag 31 maart 2022, 09.00-12.00 uur

vragenuur, 29 maart 2022, 13.30-15.30