

**EXAM AUTOMATA THEORY**Thursday 23 December 2021, 10:15 - 13:15

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This exam consists of eight questions, where the approximate number of points that can be earned is written between [ and ]. There are 100 points to be earned in total. Whenever a question asks for an explanation, motivation or clarification, it is important to give it.

Whenever we talk about a finite automaton in this exam (without further specification), we mean a deterministic finite automaton without  $\Lambda$ -transitions (which is called a DFA elsewhere).

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1. [10 pts]

a. Draw a finite automaton  $M$  such that

$$L(M) = \{a^i(ba)^j a^k \mid i, j, k \geq 1\}$$

b. Formally, a finite automaton is a 5-tuple  $(Q, \Sigma, q_0, A, \delta)$ .

What are, for your finite automaton  $M$  from part (a):  $Q, \Sigma, q_0, A$  and  $\delta^*(q_0, aaba)$ ?  
Give concrete answers for  $M$ , so no general explanation.

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2. [9 pts] Let  $L = \{x \in \{a, b\}^* \mid x = a^i(ba)^j a^k \text{ with } i, j, k \geq 0 \text{ and } x \text{ contains as many occurrences of the substring } ba \text{ as of the substring } aa\}$ .

So a string  $x \in L$  has to meet all the given requirements. Note that occurrences may overlap. For example,  $x = aaa$  contains two occurrences of the substring  $aa$ .

Indeed, we know this language  $L$  from the homework assignments. The first eight elements in the canonical order of  $L$  are  $\Lambda, a, baa, aaba, abaa, babaaa, aaababa, aababaa$ .

Show that the elements of the infinite set  $\{a^n \mid n \geq 0\}$  are pairwise  $L$ -distinguishable. In other words, let  $m, n$  be arbitrary numbers  $\geq 0$  with  $m \neq n$ ; use the definition of distinguishability to show that  $a^m$  and  $a^n$  are  $L$ -distinguishable.

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3. [8 pts]

Give a regular expression for the language

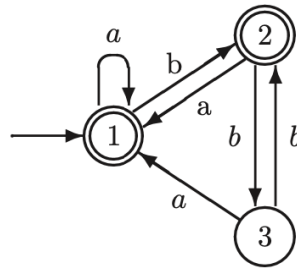
$$L = \{x \in \{a, b\}^* \mid |x| \geq 2 \text{ and } x \text{ starts and ends with } aa \text{ or } ba\}$$

Examples of elements of  $L$  are  $aababa$  and  $aaaaaaa$ .

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4. [15 pts] During the lectures we discussed two (related) constructions to systematically construct a regular expression that corresponds to a finite automaton. One method was the state-elimination method by Brzozowski and McCluskey. The other method builds up regular expressions  $r^k(i, j)$ ,<sup>1</sup> corresponding to the strings that take you from state  $i$  to state  $j$  in the automaton, while on the way only states 1 to  $k$  ( $k$  included) may be passed. Here, we assume that the states are numbered as  $1, 2, \dots$ . First all expressions  $r^0(i, j)$  will be determined, then the expressions  $r^1(i, j)$ , then the expressions  $r^2(i, j)$ , etc.

You are supposed to **partially** implement this second construction for the finite automaton  $M$  below:



Give the complete resulting matrices  $r^0$  and  $r^1$ , so with the values  $r^0(i, j)$  and  $r^1(i, j)$  for all  $i$  and  $j$ . In addition, give element  $r^2(3, 1)$ . Although with this we do not yet have a complete regular expression for  $L(M)$ , this is enough for this question.

You may simplify the regular expressions  $r^1(i, j)$  that you get to equivalent expressions. You may **not** simplify the expression  $r^2(3, 1)$  (based on your regular expressions  $r^1(i, j)$ ).

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<sup>1</sup>In the book this expression is written as  $r(i, j, k)$ .

5. [16 pts] Again, let  $L = \{x \in \{a, b\}^* \mid x = a^i(ba)^j a^k \text{ with } i, j, k \geq 0 \text{ and } x \text{ contains as many occurrences of the substring } ba \text{ as of the substring } aa\}$ .

So a string  $x \in L$  has to meet all the given requirements. Note that occurrences may overlap. For example,  $x = aaa$  contains two occurrences of the substring  $aa$ . Homework assignment 3 asked for a context-free grammar for  $L$ .

- a. Let  $G_1$  be the context-free grammar with start variable  $S$  and the following productions:

$$\begin{aligned} S &\rightarrow AB \mid aAB \mid \Lambda \\ A &\rightarrow aAba \mid \Lambda \\ B &\rightarrow baBa \mid \Lambda \end{aligned}$$

- i. Is  $L(G_1) \subseteq L$ ? If not, give an element of  $L(G_1)$  that is not in  $L$ .
  - ii. Is  $L \subseteq L(G_1)$ ? If not, give an element of  $L$  that is not in  $L(G_1)$ .
- b. Let  $G_2$  be the context-free grammar with start variable  $S$  and the following productions:

$$\begin{aligned} S &\rightarrow aA \mid B \mid aaAbabaBa \\ A &\rightarrow aAba \mid \Lambda \\ B &\rightarrow baBa \mid \Lambda \end{aligned}$$

- i. Is  $L(G_2) \subseteq L$ ? If not, give an element of  $L(G_2)$  that is not in  $L$ .
- ii. Is  $L \subseteq L(G_2)$ ? If not, give an element of  $L$  that is not in  $L(G_2)$ .

6. [17 pts] Let

$$L = \{a^i b^j a^k \mid i, j, k \geq 0 \text{ and } i + k \leq 2j\}$$

- a. Give the first six elements in the canonical order of  $L$ .
- b. Draw a pushdown automaton  $M$ , such that  $L(M) = L$ .  
This pushdown automaton must be directly based on the properties of the language. So it must not be the result of a standard construction to, e.g., transform a context-free grammar into a pushdown automaton.  
Try to make  $M$  deterministic, and not containing any  $\Lambda$ -transitions. If you do not succeed in this, you can still get part of the points.  
Also, explain how  $M$  uses its states and stack to accept exactly the right language.

7. [12 pts]

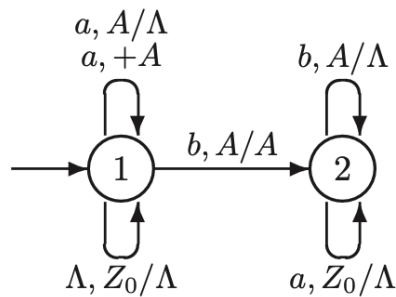
- a. Let  $M = (Q, \Sigma, \Gamma, q_0, Z_0, A, \delta)$  be an arbitrary pushdown automaton.

What does it mean when we say that a string  $x \in \Sigma^*$  is accepted by  $M$  *by empty stack*. You may formulate your answer with words and/or configurations, as long as it is clear and complete.

You will not earn points by answering that  $x$  is in the empty stack language  $L_e(M)$  of  $M$ , because  $L_e(M)$  is by definition the set of strings that are accepted by  $M$  by empty stack.

*If you do not know the answer to this question, you can 'buy' it from the teacher. Maybe then you can still make question (b).*

- b. i. Explain how you can transform an arbitrary pushdown automaton  $M = (Q, \Sigma, \Gamma, q_0, Z_0, A, \delta)$  into another pushdown automaton  $M_1$ , such that  $L(M_1) = L_e(M)$ . In other words:  $M_1$  accepts 'in the normal way' (with accepting states) the language that  $M$  accepts by empty stack.  
 N.B.: You don't have to show that the construction you describe is correct. (But of course it does have to be correct.)
- ii. Now let  $M$  be the following PDA (with initial stack symbol  $Z_0$ ):



Draw the pushdown automaton  $M_1$  that is the result of the construction from the previous question, such that  $L(M_1) = L_e(M)$ .

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8. [13 pts] The pumping lemma for context-free languages is as follows:

Suppose  $L$  is a context-free language.

Then there exists an integer  $n$ , such that

for every  $u \in L$  with  $|u| \geq n$ ,  $u$  can be written as  $u = vwxyz$  for certain strings  $v, w, x, y, z$  for which:

1.  $|wy| \geq 1$  (i.e.  $wy \neq \Lambda$ ).
2.  $|wxy| \leq n$ .
3. For every  $m \geq 0$  the string  $vw^mxy^mz$  is also in  $L$ .

Now let

$$L_1 = \{a^i b^{i+k} a^k \mid 0 \leq i < k\}$$

and let  $n$  be the the number from the pumping lemma for this language.

For each of the following four strings  $u_1, u_2, u_3, u_4$ , say whether it is suitable for finding a contradiction with the pumping lemma. In addition, for every string  $u_i$  that is **not** suitable, say why it is not suitable, for example by giving a concrete decomposition  $vwxyz$  of  $u_i$  that perfectly satisfies the pumping lemma.

$$u_1 = aabbbbbaaa$$

$$u_2 = a^{n-1} b^{2n-1} a^n$$

$$u_3 = a^n b^{2n+n} a^{2n}$$

$$u_4 = a^n b^{2n+1} a^{n+1}$$

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End of exam.