

**RESIT AUTOMATA THEORY**

Thursday 3 February 2022, 10.15 - 13.15

This exam consists of eight exercises, where [ $x$  pt] indicates how many points can be earned per exercise. A total of 100 points can be earned.

It is important to provide an explanation or motivation when a question asks for it.

A finite automaton in this exam (without further addition), refers to a deterministic finite automaton without  $\Lambda$ -transitions (which is elsewhere called *DFA*).

1. [9 pt] Let

$$L = \{x \in \{a, b\}^* \mid x \text{ contains at least an occurrence of } aa \\ \text{and an occurrence of } abb\}$$

Note that substring occurrences may overlap. For example,  $aabb \in L$ .

Draw a finite automaton  $M$ , such that  $L(M) = L$ .

2. [12 pt]

- (a) Let  $M_1 = (Q_1, \Sigma, q_1, A_1, \delta_1)$  and  $M_2 = (Q_2, \Sigma, q_2, A_2, \delta_2)$  be any two finite automata with the same input alphabet  $\Sigma$ . Let  $L_1 = L(M_1)$  and  $L_2 = L(M_2)$ . Using the product construction you can construct a finite automaton  $M = (Q, \Sigma, q_0, A, \delta)$  from  $M_1$  and  $M_2$ , such that  $L(M) = L_1 \cup L_2$ .

Describe (in words and/or formulas, but in any case clearly and completely) what are the components  $Q$ ,  $q_0$ ,  $A$  and  $\delta$  of this automaton  $M$ .

- (b) Explain how to modify the product construction from part (a) to accept the language:

$$\{x \in \Sigma^* \mid \text{if } x \in L_1, \text{ then also } x \in L_2\}$$

Also briefly explain why the modified construction is correct.

3. [16 pt]

(a) Let  $L \subseteq \Sigma^*$  be a language, and let  $x \in \Sigma^*$  be a string.

How is the set  $L/x$  defined (the ‘future set’ of  $x$  with respect to  $L$ )? That is, what strings are in  $L/x$ ?

*If you do not know the answer to this question, you can ‘buy’ it from the lecturer. Perhaps you can then solve (b) and (c).*

Let

$$L_1 = \{x \in \{a, b\}^* \mid x = a^i(ba)^j a^k \text{ with } i, j, k \geq 0 \\ \text{and } x \text{ contains as many occurrences of the substring } ba \text{ as of the substring } aa\}$$

A string  $x \in L_1$  must thus satisfy all stated requirements. Note that occurrences may overlap. For example,  $x = aaa$  contains two occurrences of the substring  $aa$ .

Indeed, we know language  $L_1$  from the homework assignments. The first eight elements in canonical (shortlex) order of  $L_1$  are:  $\Lambda, a, baa, aaba, abaa, babaaa, aababaa, aababaa$ .

(b) Let  $m \geq 0$  be arbitrary,<sup>1</sup> and let  $x = a^m$ . Given the above language  $L_1$ , what is the set  $L_1/x$ ? Distinguish in your answer the case  $m = 0$  and the case  $m \geq 1$ .

Describe in your answer concretely what are the elements of  $L_1/x$ , in terms of  $a, ba, m, i, j$  and  $k$ . Only if the ‘future set’ were the entire language  $L_1$ , you can simply say  $L_1$ .

(c) In a previous exam we have seen that two strings  $a^m$  and  $a^n$  with  $m, n \geq 0$  and  $m \neq n$  are always  $L_1$ -distinguishable. In other words: that they have different future sets.

Give two different, concrete strings  $x, y \in \{a, b\}^*$ , such that  $L_1/x = L_1/y \neq \emptyset$ . That is, two different strings that have the same, non-empty ‘future set’. Give also the common set  $L_1/x = L_1/y$ . Describe in your answer concretely what are the elements of  $L_1/x = L_1/y$ , in terms of  $a, ba, i, j$  and  $k$ .

N.B.: Obviously, at least one of the strings  $x$  and  $y$  must contain (at least) an occurrence of the letter  $b$ .

<sup>1</sup>This does not mean that you can *choose* any  $m \geq 0$ , but that  $m$  can be any non-negative number.

4. [10 pt] Let

$$L = \{x \in \{a, b\}^* \mid |x| \geq 2 \text{ and } x \text{ begins and ends with } aa \text{ or } ba\}$$

Some elements of  $L$  are, for example,  $aaa$  and  $aababa$ . For each of the following regular expressions  $r_1, r_2, r_3, r_4$  indicate whether it is a correct expression for  $L$  or not. If an  $r_i$  is not a correct expression, explain this, by giving a string  $x$

- (a) that is in  $L$ , but does not satisfy  $r_i$ ,
- (b) or which is not in  $L$ , but satisfies  $r_i$ .

If strings  $x$  can be found for both situations, you only need to provide one string  $x$ . Clearly indicate whether situation (a) or (b) applies for your provided string  $x$ .

$$\begin{aligned} r_1 : & \quad (a + b)(a + b)^*a \\ r_2 : & \quad (a + b)a(a + b)^*a + aa \\ r_3 : & \quad (aa + ba)(b^*a)^* \\ r_4 : & \quad (aa + ba)((a + b)^*(aa + ba))^* \end{aligned}$$

5. [10 pt] Below are given two grammars  $G_1$  and  $G_2$ , that were once proposed for the language  $L_1$  from exercise 3. The grammars are not necessarily correct for language  $L_1$ , but that is not the point. For every  $G_i$ , answer the following questions:

Is  $G_i$  ambiguous?

If yes, show this by giving two different derivations trees for the same string  $x \in L(G_i)$ .

If not, show this by reasoning that different productions in  $G_i$  yield different strings. You do not need to provide a complete induction proof.

- (a)  $G_1$  is the context-free grammar with start variable  $S$  and the following productions:

$$\begin{aligned} S & \rightarrow AB \mid aAB \mid \Lambda \\ A & \rightarrow aAba \mid \Lambda \\ B & \rightarrow baBa \mid \Lambda \end{aligned}$$

- (b)  $G_2$  is the context-free grammar with start variable  $S$  and the following productions:

$$\begin{aligned} S & \rightarrow aA \mid B \mid aaAbabaBa \\ A & \rightarrow aAba \mid \Lambda \\ B & \rightarrow baBa \mid \Lambda \end{aligned}$$

6. [15 pt]

Let

$$L = \{a^i b^j c^k \mid i, j, k \geq 0 \text{ and } i < j + k\}$$

- (a) Give the first six elements in canonical (shortlex) order of  $L$ .
- (b) Give a context-free grammar  $G$ , such that  $L(G) = L$ .

Also, explain what is the role of the various variables and productions in  $G$  to generate  $L$ .

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7. [14 pt]

Let

$$L = \{a^i b^j c^k \mid i, j, k \geq 0 \text{ and } i \neq j + k\}$$

N.B.: this language is slightly different from the language  $L$  in exercise 6. Now  $i$  may also be greater than  $j + k$ .

Draw a pushdown automaton  $M$ , such that  $L(M) = L$ . Try to ensure that  $M$  is deterministic and does not contain any  $\Lambda$ -transitions. If you do not succeed in this, you can still earn most of the points.

Also explain how  $M$  uses its states and stack to accept precisely the right language.

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8. [14 pt] Let  $G$  be the context-free grammar with start variable  $S$  and the following productions:

$$S \rightarrow aSb \mid T \quad T \rightarrow aT \mid \Lambda$$

- (a) Draw the non-deterministic top-down pushdown automaton  $NT(G)$ .  
*If you do not know the answer to this question, you can 'buy' it from the lecturer. Perhaps you can then solve (b) and (c).*

- (b) Perform a successful computation in  $NT(G)$  for the input  $x = aab$ , i.e.: a computation that starts in the initial configuration for  $x$  and results in the acceptance of  $x$ .

Give this computation as in the lectures with a table containing columns for (1) the state, (2) the already matched input, (3) the remaining input, (4) the stack contents, and (5) the expand- or match-move (if applicable).

- (c) Give the leftmost derivation of  $aab$  in  $G$  that corresponds to the computation in part (b).
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end of exam